

From: [Passantino, Stefan C. EOP/WHO](#)
To: [Deborah J. Bortot](#)
Cc: [Schultz, James D. EOP/WHO](#); [Teresa L. Williamson](#)
Subject: Re: question on two Ambassador reports
Date: Tuesday, February 14, 2017 8:52:14 AM

(b) (5), (b) (6). I will make an effort to insure that information is transmitted if I see it is missing in the future.

Stefan C. Passantino
Deputy Counsel to the President
Office of the White House Counsel

On Feb 13, 2017, at 10:47 AM, Deborah J. Bortot <djbortot@oge.gov> wrote:

Stefan and Jim,

The position title identified on the reports for (b) (5), (b) (6) is "Ambassador." Please provide the name of the country for each report.

Thanks,

Deb

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From: [Passantino, Stefan C. EOP/WHO](#)
To: [Teresa L. Williamson](#)
Cc: [Deborah J. Bortot](#); [Schultz, James D. EOP/WHO](#)
Subject: Re: question on position
Date: Wednesday, February 15, 2017 8:59:10 AM

Good morning. He is nominated as the (b) (5), (b) (6)
[REDACTED].

Stefan C. Passantino
Deputy Counsel to the President
Office of the White House Counsel

On Feb 15, 2017, at 8:04 AM, Teresa L. Williamson <tlwillia@oge.gov> wrote:

Good Morning, The position title was not included on the report for (b) (5), (b) (6)
Would you please provide the title and I will add to the report.

Thanks

Teresa

Teresa Williamson

US Office of Government Ethics

1201 New York Avenue, NW - Suite 500

Washington, DC 20005

202-482-9283

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From: [David J. Apol](#)
To: "Schultz, James D. EOP/WHO"
Subject: Financial disclosure checklist
Date: Friday, February 17, 2017 12:17:47 PM
Attachments: [Financial Disclosure Checklists.pdf](#)

Attachment available online at [https://www.oge.gov/Web/OGES.nsf/0/BC975C546E68A21C852580560045BE83/\\$FILE/Financial%20Disclosure%20Checklists.pdf](https://www.oge.gov/Web/OGES.nsf/0/BC975C546E68A21C852580560045BE83/$FILE/Financial%20Disclosure%20Checklists.pdf)

Jim,

Here is a checklist that we use in reviewing reports which may be helpful to you as well.

Dave

From: [David J. Apol](#)
To: ["Schultz, James D. EOP/WHO"](#)
Subject: RE: (b) (5), (b) (6)
Date: Tuesday, February 21, 2017 10:54:41 AM

Deb, Heather Jones, and Lorna Syme. 482 9227 would be your best #. Issue?

-----Original Message-----

From: Schultz, James D. EOP/WHO (b) (6)]
Sent: Monday, February 20, 2017 3:13 PM
To: David J. Apol
Subject: (b) (5), (b) (6)

Who worked on his 278?

JAMES D. SCHULTZ

Associate Counsel to the President, Ethics & Compliance Office of the White House Counsel

(b) (6) (o)
(b) (6) (m)

From: [David J. Apol](#)
To: ["Passantino, Stefan C. EOP/WHO"](#); ["Schultz, James D. EOP/WHO"](#)
Subject: release of new entrant 278s
Date: Tuesday, February 21, 2017 4:26:17 PM

Walt asked me to follow up with you on calls I had with Jim and Walt had with Stefan. We are anticipating starting to receive request for White House 278s. I suspect you will be receiving some as well. (b) (5)

[REDACTED]

David J. Apol
General Counsel
U.S. Office of Government Ethics
1201 New York Ave., NW, Suite 500
Washington, DC 20005-3917
(202) 482-9292

From: [David J. Apol](#)
To: "[Passantino, Stefan C. EOP/WHO](#)"; "[Schultz, James D. EOP/WHO](#)"
Subject: RE: 11:30 briefing
Date: Friday, February 24, 2017 10:28:44 AM

Great. I'll call you at your number unless you prefer I set up a conference call in.

From: Passantino, Stefan C. EOP/WHO (b) (6)
Sent: Friday, February 24, 2017 10:24 AM
To: David J. Apol; Schultz, James D. EOP/WHO
Subject: RE: 11:30 briefing

I would prefer we talk today so I can update our team. If Walt wants to schedule something for early next week – including possibly a visit by us to your offices – we are happy to set that up in addition.

STEFAN C. PASSANTINO
Deputy Counsel to the President, Compliance & Ethics
Office of the White House Counsel

From: David J. Apol [<mailto:djapol@oge.gov>]
Sent: Friday, February 24, 2017 10:08 AM
To: Passantino, Stefan C. EOP/WHO <(b) (6)>; Schultz, James D. EOP/WHO (b) (6) >
Subject: 11:30 briefing

Walt is out this morning. Do you want to reschedule the briefing for Monday or get it from me at the normal time?

David J. Apol
General Counsel
U.S. Office of Government Ethics
1201 New York Ave., NW, Suite 500
Washington, DC 20005-3917
(202) 482-9292

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From: [Schultz, James D. EOP/WHO](#)
To: [David J. Apol](#)
Cc: [Mullis, Dianna](#)
Subject: COHN COD REQUEST
Date: Friday, February 24, 2017 2:22:10 PM
Attachments: [COHN FINAL COD PACKAGE.PDF](#)
[JDS DESIGNATION.PDF](#)

7 page attachment withheld in full - (b)
(4), (5), (6)

Dave - attached you will find the Cohn COD Package. Thank you for your assistance. J

JAMES D. SCHULTZ
Senior Associate Counsel and Special Assistant to the President
Office of the White House Counsel

(b) (6) (o)
(b) (6) (m)

From: [Swartz, Christopher J. EOP/WHO](#)
To: [Seth Jaffe](#); [David J. Apol](#)
Subject: Bowling at the White House
Date: Friday, February 24, 2017 6:06:57 PM

Seth & Dave:

I have secured a time to bowl at the White House on **Thursday, March 9, 2017 at 05:00 PM - 07:00 PM**. I'd like to invite **12 people from OGE**, who have **NOT** been to the White House before, to come over and see a tiny bit of White House Campus, do a little bowling, and (to the extent they want to) hit the gift shop. I know that there are a lot of junior folks and desk officers who have not had the opportunity to see the White House/EEOB, and I think it would be nice to offer them that experience.

If you all disagree, or think there is a better way to invite, please let me know. If this sounds like a permissible matter to you, then I leave it to you to select who will come, though I would prefer if we could invite desk officers, compliance folks, and others who have not had the opportunity to come on campus before. I cannot offer slots to spouses, family, children, friends or any other guest. I also ask that this not be offered as an award or benefit for any person. Once folks have been selected, I would ask that you send their email addresses so that I can send them security access information.

Please let me know your thoughts!

Thank you both!
Have a great weekend,

Christopher J. Swartz
Ethics Counsel
Office of the White House Counsel
(O) (b) (6) / (BB) (b) (6)

From: [Ambrosini, Michael J. EOP/WHO](#)
To: [George Hancock](#)
Cc: [Swartz, Christopher J. EOP/WHO](#)
Subject: RE: Integrity Access - Filer or Filer Designee?
Date: Monday, February 27, 2017 10:38:03 AM

I can successfully log on via max.gov

Then when I attempt to route to integrity.gov it gives me the "Access Denied" screen. I am not signing on as a designee, I am signing on as the primary user. I simply created the password and account for him and have always been able to access it without any issues. I've always accessed it on either Internet Explorer or Chrome with simply entering the username and password.

I will need a assign a designee (his financial advisor) as soon as I am able to access it again.

-----Original Message-----

From: George Hancock [<mailto:ghancock@oge.gov>]
Sent: Monday, February 27, 2017 8:19 AM
To: Ambrosini, Michael J. EOP/WHO (b) (6)
Cc: Swartz, Christopher J. EOP/WHO (b) (6) >
Subject: Integrity Access - Filer or Filer Designee?

Mike:

The email below suggests you may be a filer designee trying to access Integrity as well as being a filer.

As a filer designee, you need to use the special ID emailed to you when the filer added you. You have to set a password for that. Did you receive a special ID and set a password for it?

As a filer yourself: Can you successfully login to <https://MAX.gov>? If so, how did you access it, e.g., WH network single sign on, PIV card, user ID (b) (6) & password?

What Internet browser and version are you using?

Thank you.

-----Original Message-----

From: Ambrosini, Michael J. EOP/WHO (b) (6)
Sent: Monday, February 27, 2017 7:31 AM
To: George Hancock
Subject: RE: 278 Form

George - any idea how to get around this issue?

-----Original Message-----

From: Swartz, Christopher J. EOP/WHO
Sent: Sunday, February 26, 2017 6:17 PM
To: Ambrosini, Michael J. EOP/WHO (b) (6) >
Cc: Passantino, Stefan C. EOP/WHO (b) (6) >; ghancock@oge.gov
Subject: Re: 278 Form

Mike- I am sorry to hear that the trouble shooting methods that normally work are not working for you. I am going to put you in touch with George Hancock who should be able to help you. He runs the tech side of integrity.

Sent from my iPhone

Nonresponsive record



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From: Daniel L. Skalla
To: "Gast, Scott F. EOP/WHO"
Subject: RE: Question
Date: Wednesday, March 01, 2017 12:28:37 PM

Thanks Scott. (b)(3), (5), (6)

I'll stand by for any more information once it comes your way.

From: Gast, Scott F. EOP/WHO (b) (6)]
Sent: Wednesday, March 01, 2017 12:21 PM
To: Daniel L. Skalla
Subject: RE: Question
Hi, Dan. Apologies for the delay in getting back to you. (b)(5)

I'm hopeful that will be done soon. If I don't hear anything by the end of this week, let's touch base then.

(b)(3), (4), (5), (6)

From: Daniel L. Skalla [mailto:dlskalla@oge.gov]
Sent: Tuesday, February 28, 2017 2:27 PM
To: Gast, Scott F. EOP/WHO <(b) (6)>
Subject: RE: Question

Hi Scott,
I haven't heard back on this reporting questions, so I wanted to touch base. Whenever you have a moment, can you shoot me an update?
Thanks,
Dan

From: Daniel L. Skalla
Sent: Tuesday, February 07, 2017 3:13 PM
To: 'Gast, Scott F. EOP/WHO'
Subject: RE: Question
Contact info:

(b) (5), (b) (6)

From: Daniel L. Skalla
Sent: Tuesday, February 07, 2017 2:44 PM
To: 'Gast, Scott F. EOP/WHO'
Subject: RE: Question

No problem!

From: Gast, Scott F. EOP/WHO (b) (6)]
Sent: Tuesday, February 07, 2017 2:42 PM
To: Daniel L. Skalla
Subject: RE: Question
Can we push this to 3 pm?

From: Daniel L. Skalla [mailto:dlskalla@oge.gov]
Sent: Tuesday, February 7, 2017 10:45 AM
To: Gast, Scott F. EOP/WHO (b) (6)
Subject: RE: Question
Will do – thanks Scott.

From: Gast, Scott F. EOP/WHO (b) (6)
Sent: Tuesday, February 07, 2017 10:43 AM
To: Daniel L. Skalla
Subject: RE: Question
Let's plan on a call at 2:45? Feel free to call me at (b) (6)

From: Daniel L. Skalla [mailto:dlskalla@oge.gov]
Sent: Tuesday, February 7, 2017 9:57 AM
To: Gast, Scott F. EOP/WHO (b) (6)
Subject: RE: Question
I leave for the day at 3:30 (I'm one of the early-risers), but 3:00 or 3:15 works for me – I don't have a lot of info to convey, but I want to make sure you have some background.
Dan

From: Gast, Scott F. EOP/WHO (b) (6)
Sent: Tuesday, February 07, 2017 9:54 AM
To: Daniel L. Skalla
Subject: RE: Question
Dan, sorry for the delay in getting back with you. Are you available later this afternoon for a call? I'm generally free after 3.

From: Daniel L. Skalla [mailto:dlskalla@oge.gov]
Sent: Monday, February 6, 2017 2:36 PM
To: Gast, Scott F. EOP/WHO (b) (6)
Subject: RE: Question
Hi Scott,

At your convenience, could you let me know how you'd like to handle the issue below. I have a little bit of background on the filer I'd like to share so the WH has a better idea of the situation when you consult with to the agency.

Thanks very much,

Dan

Dan Skalla

Chief, Financial Disclosure Branch

Compliance Division

U.S. Office of Government Ethics

1201 New York Ave., NW, Suite 500

Washington, DC 20005-3917

Tel. 202.482.9222

Fax 202.482.9238

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From: Swartz, Christopher J. EOP/WHO (b) (6)
Sent: Thursday, February 02, 2017 7:54 PM
To: Daniel L. Skalla
Cc: Gast, Scott F. EOP/WHO
Subject: Re: Question
Dan-

I think the best bet is Scott, CC'd. He can farm from there if need be.

Scott, this is the (b) (5), (b) (6) issue we talked about earlier this week. Dan is from OGE and is our POC on compliance side issues. Occasionally his folks flag for us things that implicate WH policies.

Sent from my iPhone

On Feb 2, 2017, at 8:49 AM, Daniel L. Skalla <dlskalla@oge.gov> wrote:

Hi Chris,

Can I put the ethics official at this filer's agency in touch with someone at the WH so she knows exactly how to handle this situation and so that no details of the filer's history are missed? She's relatively new to ethics and (b) (5), (b) (6)

(b) (5), (b) (6)

. I'm happy to pass along her contact info to anyone that can help her out.

Thanks,
Dan

From: Daniel L. Skalla
Sent: Monday, January 30, 2017 1:50 PM
To: 'Swartz, Christopher J. EOP/WHO'

(b)(3), (4), (5), (6)



From: Daniel L. Skalla
Sent: Monday, January 30, 2017 1:44 PM
To: 'Swartz, Christopher J. EOP/WHO'
Subject: RE: Question
202-482-9222

From: Daniel L. Skalla
Sent: Monday, January 30, 2017 1:43 PM
To: Swartz, Christopher J. EOP/WHO
Subject: Question

Congrats on your new position. Could you give me a call when you have a moment?

Thanks,
Dan

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From: [George Hancock](#)
To: ["Passantino, Stefan C. EOP/WHO"](#)
Cc: [Swartz, Christopher J. EOP/WHO](#); [Deborah J. Bortot](#)
Subject: RE: Integrity Question - Nominees?
Date: Thursday, March 02, 2017 8:39:37 AM
Attachments: [Nom-Counsel.docx](#)

10 page attachment withheld in full -
(b)(5)

Stefan:

Maybe, but I'll need more information. I know how Integrity Nominee functionality works, but cannot see any of it as a security measure.

Are these two Nominees? Are you trying to release the reports to OGE and the agency (after PPO released to WHCO)? If so, in the Target Agency area, do you see the "-Nominees (b)(5), (6) [REDACTED] [REDACTED]? Reference pp 5-6 in attached.

Is there a time today when I can call you to discuss?

Thank you,

George Hancock
Integrity Manager
Program Counsel Division
Legal, External Affairs and Performance Branch
1201 New York Avenue, NW, Suite 500
Washington, DC 20005
202.482.9309

-----Original Message-----

From: Passantino, Stefan C. EOP/WHO (b) (6) [REDACTED]
Sent: Thursday, March 02, 2017 8:26 AM
To: George Hancock
Cc: Swartz, Christopher J. EOP/WHO; Deborah J. Bortot
Subject: Integrity Question

George, I have two filers who are not properly in the Integrity system to allow me to forward their 278's along for agency and OGE review. I have tried using my "Admin" rights but don't seem to be able to do it. Are you able to assist me? The filers are:

(b)(5), (6) [REDACTED]

STEFAN C. PASSANTINO
Deputy Counsel to the President, Compliance & Ethics Office of the White House Counsel

From: [Daniel L. Skalla](#)
To: ["Swartz, Christopher J. EOP/WHO"](#)
Subject: Transaction Reports
Date: Thursday, March 02, 2017 2:29:54 PM

Hi Chris,

With all the moving parts and day-to-day challenges facing any new administration, I wanted touch base with you to make sure periodic transaction reporting is on the President's radar – especially given the broad range of the President's financial holdings and the short, required turnaround time between transactions and reporting. Although I'm fairly certain the President's financial disclosure isn't your particular bailiwick, if you could pass along this reminder to (or put me in touch with) the appropriate staff, I'd appreciate it.

Thanks for the assist,
Dan

Dan Skalla
Chief, Financial Disclosure Branch
Compliance Division
U.S. Office of Government Ethics
1201 New York Ave., NW, Suite 500
Washington, DC 20005-3917
Tel. 202.482.9222
Fax 202.482.9238

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From: [Passantino, Stefan C. EOP/WHO](#)
To: [Teresa L. Williamson](#)
Cc: [Schultz, James D. EOP/WHO](#)
Subject: RE: question on position title - (b)(5), (6)
Date: Monday, March 06, 2017 1:28:23 PM

Sorry, we have been getting a couple in recently without it and I can't edit once they get to me. Hopefully we have solved the problem but there may be one or two more still coming in.

(b)(5), (6)

STEFAN C. PASSANTINO
Deputy Counsel to the President, Compliance & Ethics
Office of the White House Counsel

From: Teresa L. Williamson [mailto:tlwillia@oge.gov]
Sent: Monday, March 6, 2017 11:14 AM
To: Passantino, Stefan C. EOP/WHO
Cc: Schultz, James D. EOP/WHO
Subject: question on position title - (b)(5), (6)

Good Morning,

The position is missing from the Integrity nominee report for (b)(5), (6). Would you please provide and I will add.

Thanks

Teresa

Teresa Williamson
US Office of Government Ethics
1201 New York Avenue, NW - Suite 500
Washington, DC 20005
202-482-9283

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From: [David J. Apol](#)
To: ["Passantino, Stefan C. EOP/WHO"](#)
Subject: RE: Rene Alex Acosta
Date: Tuesday, March 07, 2017 7:02:22 PM

Bob Shapiro, the ADAEO at Labor, is in Arizona and the DAEO position is vacant. Bob has left his Cubs game to go back to his hotel and sign the report. Walt will sign it once that is done and then it will be sent to the Hill, if there are no further glitches.

Hope that expedites the hearing scheduling. (It seems like the Hill should recognize the sacrifice involved in Bob leaving a Cubs game early).

-----Original Message-----

From: Passantino, Stefan C. EOP/WHO [(b) (6)]
Sent: Tuesday, March 07, 2017 5:50 PM
To: David J. Apol; Deborah J. Bortot
Cc: Carroll, James W. EOP/WHO
Subject: Rene Alex Acosta

I have confirmed that Rene Alex Acosta was nominated for Secretary of Labor today.

STEFAN C. PASSANTINO

Deputy Counsel to the President, Compliance & Ethics Office of the White House Counsel

(b) (6) (o)
(b) (6) (m)

From: [De La Vega, Scott](#)
To: [Monica M. G. Ashar](#)
Cc: (b) (6)
Subject: (b)(5), (6) Informational Call
Date: Wednesday, March 08, 2017 11:47:03 AM

Hi Monica –

Thank you for providing meeting times for the U.S. Office of Government Ethics (OGE) to speak about the potential (b)(5), (6)

(b)(5), (6) It has been agreed to accept OGE's March 15 time slot. (b)(5), (6) has set up a conference call number as follows:

March 15th at 11:30am.

Please dial into the following conference call number:

(b) (6)

Passcode (b) (6)

I will send an invite for calendar purposes momentarily.

Regards,

Scott

Scott A. de la Vega
Ethics Counsel
Office of the White House Counsel
(o) (b) (6) / (c) (b) (6)

From: [David J. Apol](#)
To: ["Passantino, Stefan C. EOP/WHO"](#)
Subject: Letter regarding February 28th letter
Date: Thursday, March 09, 2017 12:03:37 PM
Attachments: [Letter to Deputy Counsel to the President 9 Mar 2017.pdf](#)

Stephan,

Here is the electronic version of our response to your February 28th letter to us. An original will follow by US mail.

David J. Apol
General Counsel
U.S. Office of Government Ethics
1201 New York Ave., NW, Suite 500
Washington, DC 20005-3917
(202) 482-9292

UNITED STATES OFFICE OF
GOVERNMENT ETHICS



March 9, 2017

Stefan C. Passantino
Deputy Counsel to the President
and Designated Agency Ethics Official
The White House
1600 Pennsylvania Avenue, NW
Washington, DC 20500

Dear Mr. Passantino:

Thank you for your timely response to my February 13, 2017, letter regarding Kellyanne E. Conway, Special Counselor to the President. While I appreciate your explanation of the White House's ethics education and counseling efforts, I am concerned about the response for two reasons.

I remain concerned about Ms. Conway's misuse of position. Your letter concedes that her televised statements from the White House press briefing room implicated the prohibition on using one's official position to endorse any product or service. When an employee's conduct violates 5 C.F.R. § 2635.702, disciplinary action serves to deter future misconduct. Not taking disciplinary action against a senior official under such circumstances risks undermining the ethics program.

I am more concerned about the extraordinary assertion that "many" of OGE's regulations are inapplicable to employees of the Executive Office of the President. The assertion is incorrect, and the letter cites no legal basis for it.¹ Presidential administrations have not considered it appropriate to challenge the applicability of ethics rules to the entire executive branch. It is critical to the public's faith in the integrity of government that White House employees be held to the same standard of ethical accountability as other executive branch employees.

Sincerely,

A handwritten signature in blue ink that reads "Walter M. Shaub, Jr." with a stylized flourish at the end.

Walter M. Shaub, Jr.
Director

¹ OGE also disagrees with the separate assertion in a footnote to your letter that White House employees are outside OGE's purview. The underlying theory that the White House Office is not an "executive agency" for certain limited purposes under 5 U.S.C. § 105 has never been applied in the context of government ethics, nor should it be.



Stefan C. Passantino
Deputy Counsel to the President
and Designated Agency Ethics Official
Page 2

cc. Mr. Donald F. McGahn II
Counsel to the President

Rep. Jason E. Chaffetz
Chairman
Committee on Oversight and Government Reform
U.S. House of Representatives

Rep. Elijah E. Cummings
Ranking Minority Member
Committee on Oversight and Government Reform
U.S. House of Representatives

From: [Passantino, Stefan C. EOP/WHO](#)
To: [Teresa L. Williamson](#); [Augustine, Rene I. EOP/WHO](#)
Cc: [Carroll, James W. EOP/WHO](#); [Swartz, Christopher J. EOP/WHO](#)
Subject: RE: question on position title
Date: Thursday, March 09, 2017 8:27:26 PM

Teresa, I apologize but I am sending over (b)(5), (6) [REDACTED]
[REDACTED]. Rene, Jim, do you know the position he is slated for?
STEFAN C. PASSANTINO
Deputy Counsel to the President, Compliance & Ethics
Office of the White House Counsel

From: Passantino, Stefan C. EOP/WHO
Sent: Wednesday, March 8, 2017 11:16 AM
To: Teresa L. Williamson ; Augustine, Rene I. EOP/WHO
Cc: Carroll, James W. EOP/WHO ; Christopher J. EOP/WHO Swartz

(b) (6) [REDACTED]
Subject: RE: question on position title
I apologize, I had to send another over without the title – (b)(5), (6) [REDACTED]
[REDACTED]. It should be an easy one. ;-)
STEFAN C. PASSANTINO
Deputy Counsel to the President, Compliance & Ethics
Office of the White House Counsel

From: Passantino, Stefan C. EOP/WHO
Sent: Monday, March 6, 2017 8:36 AM
To: Teresa L. Williamson ; Augustine, Rene I. EOP/WHO
Cc: Schultz, James D. EOP/WHO ; Carroll, James W. EOP/WHO
Subject: Re: question on position title
I believe (b)(5), (6) [REDACTED] Rene, is that correct?

Stefan C. Passantino
Deputy Counsel to the President
Office of the White House Counsel

On Mar 6, 2017, at 8:30 AM, Teresa L. Williamson <tlwillia@oge.gov> wrote:

Good Morning,
I hope you had a great weekend! The position is missing from the Integrity nominee report for (b)(5), (6) [REDACTED]. Would you please provide and I will add.
Thanks
Teresa
Teresa Williamson
US Office of Government Ethics
1201 New York Avenue, NW - Suite 500
Washington, DC 20005
202-482-9283

From: [Passantino, Stefan C. EOP/WHO](#)
To: [Nominees](#)
Cc: [Teresa L. Williamson](#); [Megan V. Granahan](#); [Heather A. Jones](#)
Subject: RE: (b)(5), (6)
Date: Friday, March 10, 2017 2:23:50 PM

Thank you. If I hear anything different I will let you know – but I have not.

STEFAN C. PASSANTINO
Deputy Counsel to the President, Compliance & Ethics
Office of the White House Counsel

From: Nominees [mailto:Nominees@oge.gov]
Sent: Friday, March 10, 2017 8:26 AM
To: Passantino, Stefan C. EOP/WHO (b) (6)
Cc: Teresa L. Williamson <tlwillia@oge.gov>; Megan V. Khaner <mvkhaner@oge.gov>; Heather A. Jones <hajones@oge.gov>
Subject: (b)(5), (6)

Stefan,

Per the email below from the Department of State, I am going to go ahead and close this report out unless we hear otherwise from you.

Thanks,
Deb

Nonresponsive emails

From: [Passantino, Stefan C. EOP/WHO](#)
To: [Teresa L. Williamson](#)
Subject: (b)(5), (6)
Date: Monday, March 13, 2017 10:07:48 AM

Good morning. I just sent over (b)(5), (6). He is being looked at for (b)(5), (6).

STEFAN C. PASSANTINO
Deputy Counsel to the President, Compliance & Ethics
Office of the White House Counsel

From: [Heather A. Jones](#)
To: "Stefan Passantino (b) (6)"
Cc: [Jim Robertson](#); "(b)(5), (6)" [Ann Donaldson \(b\) \(6\)](#); [David J. Apol \(djapol@oge.gov\)](#); [Deborah J. Bortot \(djborot@oge.gov\)](#); [Heather A. Jones](#); [James D. Schultz \(b\) \(6\)](#); [Rodrick T. Johnson](#); [Sandra S. Mabry \(ssmabry@oge.gov\)](#); [Teresa L. Williamson \(tlwillia@oge.gov\)](#)
Subject: (b)(5), (6) - No longer Preleared
Date: Tuesday, March 14, 2017 10:10:37 AM

Stefan-

(b)(5), (6) is no longer preleared. We will let you know when we have the details of the new information worked out.

Thanks,
Heather

Heather Jones
(202) 482-9316
Office of Government Ethics

Visit OGE's website: www.oge.gov
Follow OGE on Twitter: @OfficeGovEthics

From: [Deborah J. Bortot](#)
To: ["Passantino, Stefan C. EOP/WHO"](#)
Cc: [Teresa L. Williamson](#)
Subject: RE: (b)(5), (6)
Date: Tuesday, March 14, 2017 3:04:58 PM

Thanks

From: Passantino, Stefan C. EOP/WHO (b) (6)
Sent: Tuesday, March 14, 2017 3:03 PM
To: Deborah J. Bortot
Cc: Teresa L. Williamson
Subject: RE: (b)(5), (6)

I am advised that the position is (b)(5), (6)

STEFAN C. PASSANTINO

Deputy Counsel to the President, Compliance & Ethics
Office of the White House Counsel

From: Deborah J. Bortot [<mailto:djbortot@oge.gov>]
Sent: Tuesday, March 14, 2017 2:30 PM
To: Passantino, Stefan C. EOP/WHO (b) (6)
Cc: Teresa L. Williamson <tlwillia@oge.gov>
Subject: (b)(5), (6)

Stefan,

The report for (b)(5), (6) identifies the position as (b)(5), (6) is not one of the PAS positions for that entity. Here are the PAS positions for that entity:

(b)(5), (6)

Please advise.

Thanks,

Deb

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From: [Monica M. G. Ashar](#)
To: "[De La Vega, Scott](#)"
Subject: RE: (b)(5), (6)
Date: Friday, March 17, 2017 1:09:50 PM

Thanks, Scott. We'll call her this afternoon.

Monica Ashar
Assistant Counsel
U.S. Office of Government Ethics
1201 New York Avenue, NW, Suite 500
Washington, DC 20005
Phone: (202) 482-9282

-----Original Message-----

From: De La Vega, Scott (b) (6)]
Sent: Friday, March 17, 2017 12:56 PM
To: Monica M. G. Ashar
Subject: RE: (b)(5), (6)

Hi Monica -

I just spoke with (b)(5), (6) and she said she is available between 3pm and 5pm today and would appreciate you calling her. Her number is: (b) (6)

Thank you!

Scott

Scott A. de la Vega
Ethics Counsel
Office of the White House Counsel
(o) (b) (6) / (c) (b) (6)

-----Original Message-----

From: Monica M. G. Ashar [<mailto:mmgashar@oge.gov>]
Sent: Friday, March 17, 2017 11:16 AM
To: De La Vega, Scott (b) (6) >
Subject: RE: (b)(5), (6)

Could Seth and I call you at 11:30? He's in a meeting at the moment.

Monica Ashar
Assistant Counsel
U.S. Office of Government Ethics
1201 New York Avenue, NW, Suite 500

Washington, DC 20005
Phone: (202) 482-9282

-----Original Message-----

From: De La Vega, Scott (b) (6)
Sent: Friday, March 17, 2017 11:14 AM
To: Monica M. G. Ashar
Subject: (b)(5), (6)

Hi Monica - can you give me a call when you get a moment to discuss (b)(5), (6) .

Thanks

Scott

Scott A. de la Vega
Ethics Counsel
Office of the White House Counsel
(o) (b) (6) / (c) (b) (6)

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From: [Seth Jaffe](#)
To: ["Swartz, Christopher J. EOP/WHO"](#)
Subject: RE: voicemail
Date: Friday, March 17, 2017 2:13:45 PM

Chris,

I may be on a call at that time – a call Scott knows about. Maybe we can talk then - or after 4:00pm.

Seth

From: Swartz, Christopher J. EOP/WHO (b) (6)
Sent: Friday, March 17, 2017 2:11 PM
To: Seth Jaffe
Cc: Gast, Scott F. EOP/WHO
Subject: RE: voicemail

Seth-

Scott and I will try to give you a call soon, I am going to grab lunch real quick as he is in a meeting but will call by around 3.

Chris

From: Seth Jaffe [<mailto:sjaffe@oge.gov>]
Sent: Friday, March 17, 2017 1:46 PM
To: Swartz, Christopher J. EOP/WHO (b) (6) >
Subject: voicemail

Chris,

I got your voicemail and I returned your call to your WH number where your voicemail does not work.

Thanks,
Seth

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From: [Passantino, Stefan C. EOP/WHO](#)
To: [David J. Apol](#)
Cc: [Director of OGE](#)
Subject: RE: Legal Advisory on EO
Date: Friday, March 17, 2017 5:14:29 PM
Attachments: [LA-17-03.pdf](#)

6 page attachment withheld in full - (b)(5)

Thank you. I have reviewed the attached and can confirm it reflects our conversation and has been cleared by the Chief of Staff to the President and Counsel to the President.

STEFAN C. PASSANTINO
Deputy Counsel to the President, Compliance & Ethics
Office of the White House Counsel

From: David J. Apol [mailto:djapol@oge.gov]
Sent: Monday, March 13, 2017 5:30 PM
To: Passantino, Stefan C. EOP/WHO (b) (6)
Cc: Director of OGE <director@oge.gov>
Subject: Legal Advisory on EO

6 page attachment withheld in full - (b)(5)

Stefan,

Attached is the legal advisory that we discussed and that you have reviewed. Please confirm that, as you indicated in our conversation, you have received confirmation that this has been cleared by all appropriate levels of the White House Office and accurately reflect the Administration's official position. Once we have your confirmation, you can start using this Legal Advisory as definitive advice.

David J. Apol
General Counsel
U.S. Office of Government Ethics
1201 New York Ave., NW, Suite 500
Washington, DC 20005-3917
(202) 482-9292

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From: [Seth Jaffe](#)
To: ["Swartz, Christopher J. EOP/WHO"](#)
Subject: FW: Accomplishment has been entered by Christopher J. Swartz
Date: Monday, March 20, 2017 8:54:12 AM

Chris,

Thanks for entering these significant accomplishments!

Seth

Nonresponsive emails



From: [Passantino, Stefan C. EOP/WHO](#)
To: [David J. Apol](#); [Walter M. Shaub](#)
Subject: Financial Disclosures
Date: Wednesday, March 22, 2017 4:15:51 PM

Please let me know if you would like for me to brief you on the plan here so we are on the same page:
<https://www.whitehouse.gov/the-press-office/2017/03/22/white-house-make-financial-disclosures-public>

STEFAN C. PASSANTINO
Deputy Counsel to the President, Compliance & Ethics
Office of the White House Counsel

From: [Passantino, Stefan C. EOP/WHO](#)
To: [Teresa L. Williamson](#)
Subject: Position title
Date: Thursday, March 23, 2017 9:03:48 AM

Good morning. I just sent over (b)(5), (6) who is slated for (b)(5), (6).
(b)(5), (6) It appears to have been left off the Integrity database when I received it.

STEFAN C. PASSANTINO

Deputy Counsel to the President, Compliance & Ethics
Office of the White House Counsel

From: [Schultz, James D. EOP/WHO](#)
To: [David J. Apol](#)
Subject: I am at my desk most of the day
Date: Wednesday, March 29, 2017 1:02:29 PM

JAMES D. SCHULTZ
Senior Associate Counsel and Special Assistant to the President
Office of the White House Counsel

(b) (6) (o)
(b) (6) (m)

From: [George Hancock](#)
To: ["Simms, Michelle C. EOP/WHO"](#)
Cc: ["Swartz, Christopher J. EOP/WHO"](#); ["Schultz, James D. EOP/WHO"](#)
Subject: RE: Bulk Export
Date: Wednesday, March 29, 2017 2:42:40 PM

Done, as requested.

I estimate that there are (b) (5) certified/closed.

Thank you.

-----Original Message-----

From: Simms, Michelle C. EOP/WHO (b) (6)]
Sent: Wednesday, March 29, 2017 2:35 PM
To: George Hancock
Cc: Swartz, Christopher J. EOP/WHO; Schultz, James D. EOP/WHO
Subject: FW: Bulk Export

Hi George,

We have been authorized to get our names added to the Alternate ADEO list (see below)

We have a new guy coming soon, but he's not in the system yet. We'll let you know when he can be added.

--Michelle

Nonresponsive records



> From: George Hancock [<mailto:ghancock@oge.gov>]

> Sent: Wednesday, March 29, 2017 12:11 PM

> To: Swartz, Christopher J. EOP/WHO (b) (6);

> Simms, Michelle C. EOP/WHO (b) (6)

> Subject: Bulk Export

No attachment found in search

> See attached. Only the agency Integrity DAEO (Stefan) or an alternate James or Annie can do a bulk export of certified reports.

> Only OGE can add more alternate Integrity DAEOs, so if they want you added to do this, please have them email me.

> Note: bulk export will take a while to process when more than 10 reports. Probably better to task the job about 6 or 7 pm and view results the next morning.

> Thank you,

> George Hancock

> Integrity Manager

> Program Counsel Division

> Legal, External Affairs and Performance Branch

> 1201 New York Avenue, NW, Suite 500

> Washington, DC 20005

> 202.482.9309

> Learn about Integrity<<https://community.max.gov/x/vQApLg>>

> OGE's website: www.oge.gov<<http://www.oge.gov>> Follow OGE on Twitter:

> @OfficeGovEthics [cid:image003.jpg@01D2A885.809F83A0]

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> <image003.jpg>

> <Bulk Export How to.docx>

From: [Seth Jaffe](#)
To: [Rachel K. Dowell](#)
Cc: ["Swartz, Christopher J. EOP/WHO"](#)
Subject: FW: Draft Waiver--Draft--Deliberative--Not For Release
Date: Wednesday, March 29, 2017 4:35:17 PM
Attachments: (b)(5), (6) Draft Waiver--Draft--Deliberative--Not For Release.docx

3 page attachment withheld in full - (b)(3), (4), (5), (6)

Rachel,

Per our conversation - thank you for handling this referral.

Thanks again,
Seth

-----Original Message-----

From: Swartz, Christopher J. EOP/WHO (b) (6)
Sent: Tuesday, March 28, 2017 4:32 PM
To: Seth Jaffe; David J. Apol
Cc: Passantino, Stefan C. EOP/WHO; Schultz, James D. EOP/WHO; Gast, Scott F. EOP/WHO; De La Vega, Scott
Subject: Draft Waiver--Draft--Deliberative--Not For Release

Dave & Seth-

3 page attachment withheld in full - (b)(3), (4), (5), (6)

We are contemplating issuing an 18 U.S.C. 208(b)(1) waiver to an official in the White House Office. I left Seth a VM in reference to this potential draft. Stefan has greenlighted my sending the draft to you for review and consultation under EO 12674 and 5 CFR 2640 subpart C.

We appreciate your consideration and input on the attached waiver. As you are aware, I will not be here after Friday. I have cc'd Scott De La Vega who is picking up part of my portfolio. He is going to be briefed on this issue.

Chris
Christopher J. Swartz
Ethics Counsel
Office of the White House Counsel
(O) (b) (6) / (BB) (b) (6)

From: [Schultz, James D. EOP/WHO](#)
To: [David J. Apol](#)
Subject: Please call my cell
Date: Thursday, March 30, 2017 9:39:15 AM

James D. Schultz
Senior Associate Counsel and Special Assistant to the President
Office of White House Counsel
(b) (6) (m)

From: [Teresa L. Williamson](#)
To: ["Passantino, Stefan C. EOP/WHO"](#)
Subject: Position clarification
Date: Friday, March 31, 2017 10:20:16 AM

Good Morning Stefan,

We received (b)(5), (6) in Integrity this morning, the position listed is (b)(5), (6). Would you please provide the position and I will add to Integrity.

Thanks!
Teresa

Teresa Williamson
Presidential Nominations Branch
U.S. Office of Government Ethics
1201 New York Avenue, NW - Suite 500
Washington, DC 20005
202-482-9283

From: [Christopher J. Swartz](#)
To: "[De La Vega, Scott](#)"
Subject: RE: (b)(5), (6)
Date: Tuesday, April 04, 2017 12:02:32 PM

Scott-

Following-up: (b)(3), (5), (6)
(b)(3), (5), (6). Please let me know if I can be of further assistance.

Chris

From: De La Vega, Scott (b) (6)
Sent: Monday, April 03, 2017 5:30 PM
To: (b) (6)
Cc: Schultz, James D. EOP/WHO; Christopher J. Swartz
Subject: RE: (b)(5), (6)

Hi (b) (6)

The purpose of this e-mail is to memorialize the verbal advice provided to you a few minutes ago.

(b)(3), (4), (5), (6)



Please promptly inform me by reply to this message if any of the above is incorrect or has been misstated. Otherwise, please feel free to reach out if you have any further questions or concerns and remember to keep a copy of this e-mail for your records.

Regards,

Scott

Scott A. de la Vega

Ethics Counsel

Office of the White House Counsel

(o) (b) (6) (c) (b) (6)

Nonresponsive emails



Nonresponsive emails

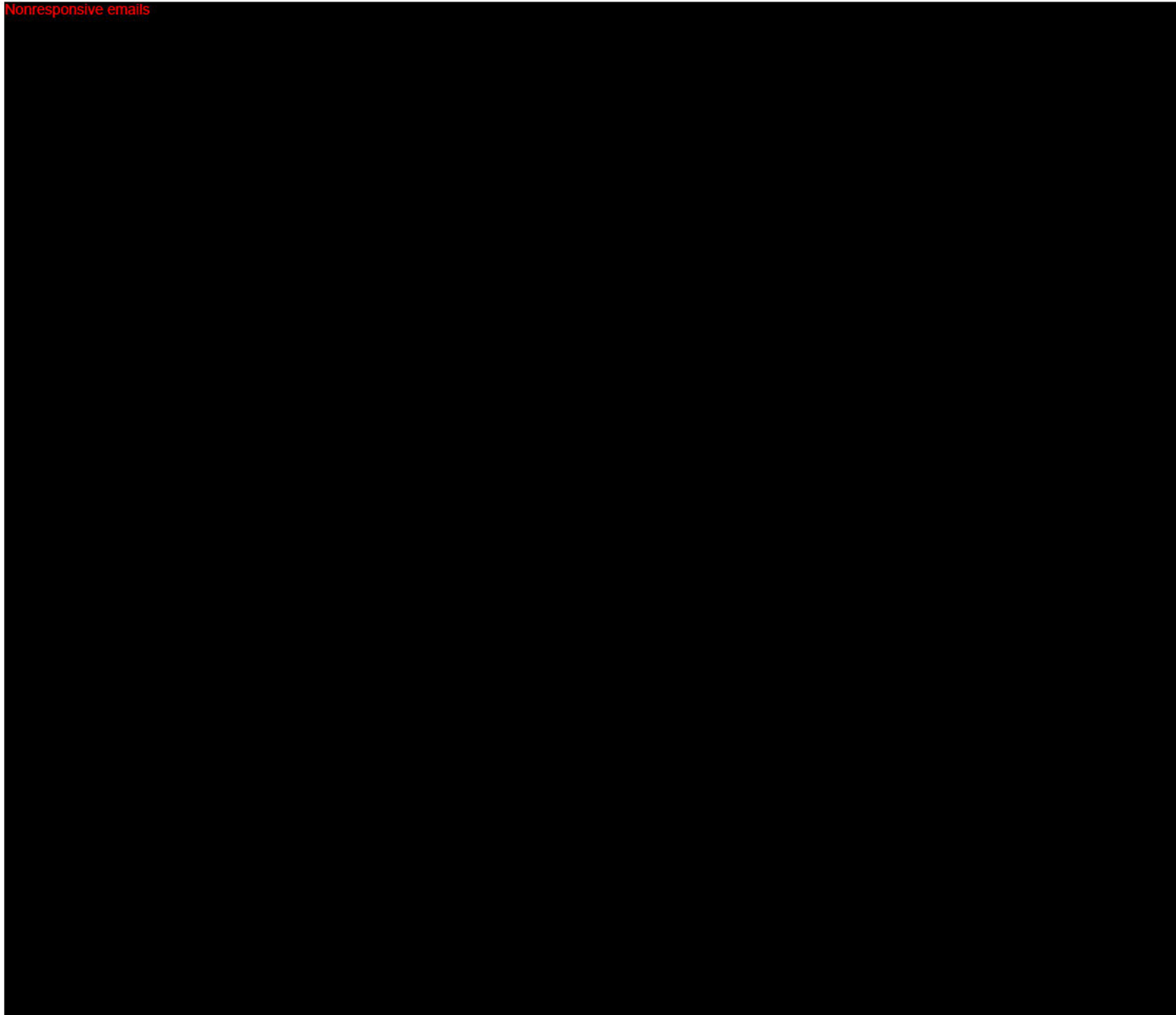
From: [De La Vega, Scott](#)
To: [Elaine Newton](#); [Seth Jaffe](#)
Subject: FW: Urgent - Timing of Divestments
Date: Tuesday, April 04, 2017 6:06:42 PM

Here is the affirmative statement indicating he understands the divestment timeframes.

Scott A. de la Vega
Ethics Counsel
Office of the White House Counsel
(o) (b) (6) / (c) (b) (6)

Nonresponsive emails





-----Original Message-----

From: Schultz, James D. EOP/WHO (b) (6)

Sent: Monday, March 06, 2017 11:01 AM

To: David J. Apol

Subject: COD PACKAGE

5 page attachment withheld in full - (b)(3), (4), (5), (6)

Dave - Attached please find the COD package for Mr. Bannon. His draft 278 is available for review on integrity.
Jim

JAMES D. SCHULTZ

Senior Associate Counsel and Special Assistant to the President Office of the White House Counsel

(b) (6) (o)

(b) (6) (m)

From: [Deborah J. Bortot](#)
To: ["Passantino, Stefan C. EOP/WHO"](#)
Subject: Department of Energy
Date: Monday, April 10, 2017 9:40:28 AM

Nonresponsive emails



From: [Kimberly L. Sikora Panza](#)
To: [David J. Apol](#)
Subject: FW: Bannon CD request
Date: Tuesday, April 11, 2017 7:09:02 AM

Hi Dave,

Below please find Jim's responses to your questions.

I am available to discuss at your convenience.

Kim

From: Schultz, James D. EOP/WHO (b) (6)
Sent: Monday, April 10, 2017 3:04 PM
To: Kimberly L. Sikora Panza
Subject: RE: Bannon CD request

Hi Jim,

The CD package is with Dave right now, and he wanted me to ask you these follow up questions:

(b)(4), (5), (6)



JAMES D. SCHULTZ
Senior Associate Counsel and Special Assistant to the President

Office of the White House Counsel

(b) (6) (o)

(b) (6) (m)

From: Kimberly L. Sikora Panza [<mailto:klspanza@oge.gov>]

Sent: Friday, April 7, 2017 1:25 PM

To: Schultz, James D. EOP/WHO (b) (6) >

Subject: RE: Bannon CD request

Hi Jim,

The CD package is with Dave right now, and he wanted me to ask you these follow up questions:

(b)(5), (6)



Thank you in advance for your input on these additional questions related to the CD. (As you know, I also am the reviewer for Mr. Bannon's New Entrant report; we will be sending questions on his report (not related to the CD) separately.)

Kim

From: Schultz, James D. EOP/WHO (b) (6)

Sent: Thursday, April 06, 2017 11:46 AM

To: Kimberly L. Sikora Panza

Subject: Re: Bannon CD request

Jim. I believe I responded. (b) (4), (b) (6). Any update on the COD?

James D. Schultz

Senior Associate Counsel and Special Assistant to the President

Office of White House Counsel

(b) (6) (m)

On Apr 4, 2017, at 3:39 PM, Kimberly L. Sikora Panza <klspanza@oge.gov> wrote:

Hi Jim,

I have one additional question.

(b)(5), (6)

Thanks,

Kim

From: Schultz, James D. EOP/WHO [(b) (6)]
Sent: Tuesday, April 04, 2017 9:48 AM
To: Kimberly L. Sikora Panza
Subject: RE: Bannon CD request

Yes.

JAMES D. SCHULTZ

Senior Associate Counsel and Special Assistant to the President
Office of the White House Counsel

(b) (6) (o)

(b) (6) (m)

From: Kimberly L. Sikora Panza [<mailto:klspanza@oge.gov>]
Sent: Tuesday, April 4, 2017 9:47 AM
To: Schultz, James D. EOP/WHO <(b) (6)>
Subject: RE: Bannon CD request

Good morning, Jim,

Thanks for your email. I am available to speak now, if you are free – is the
(b) (6) number the best number to reach you?

Thanks,

Kim

From: Schultz, James D. EOP/WHO (b) (6)
Sent: Tuesday, April 04, 2017 9:22 AM
To: Kimberly L. Sikora Panza
Subject: RE: Bannon CD request

Kim – as you know, Steve’s 278 has been finalized. Can we talk this morning regarding the COD?

JAMES D. SCHULTZ

Senior Associate Counsel and Special Assistant to the President
Office of the White House Counsel

(b) (6) (o)

(b) (6) (m)

From: Kimberly L. Sikora Panza [<mailto:klspanza@oge.gov>]
Sent: Friday, March 24, 2017 4:39 PM
To: Schultz, James D. EOP/WHO (b) (6)

Subject: RE: Bannon CD request

Good afternoon, Jim,

I hope you are well.

I am writing to touch base regarding the Bannon CD, and follow up re: a signed version of the report and my clarification questions below. At your convenience, could you please let me know the status of things?

Thank you in advance for your input.

Best regards,

Kim

From: Kimberly L. Sikora Panza

Sent: Wednesday, March 15, 2017 12:44 PM

To: 'Schultz, James D. EOP/WHO'

Subject: RE: Bannon CD request

Thank you for the additional information. Could you please let me know when the signed version of the report is available?

I also would appreciate your help clarifying a few items on the report. Could you please provide a brief description of the following companies:

(b)(3), (5), (6)



Thank you in advance for your input.

Best regards,

Kim

From: Schultz, James D. EOP/WHO [(b) (6)]

Sent: Monday, March 13, 2017 9:26 AM

To: Kimberly L. Sikora Panza

Subject: Re: Bannon CD request

The report will be available shortly.

(b)(5), (6)



(b)(5), (6)



James D. Schultz

Senior Associate Counsel and Special Assistant to the President

Office of White House Counsel

(b) (6) (m)

On Mar 8, 2017, at 2:48 PM, Kimberly L. Sikora Panza <klspanza@oge.gov> wrote:

Good afternoon,

No attachment found in search



I am the OGE reviewer assigned to review the CD request for Mr. Bannon.
I have the following questions regarding the CD request – thank you in

advance for your input!

(b)(5), (6)



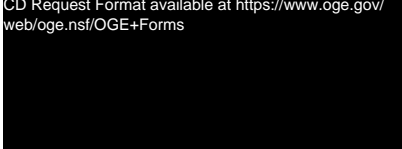
Finally, for your future reference, attached please find the sample CD request format, which asks questions regarding the various pieces of information we need to process a CD request. Most agencies submit CD requests using this form, and using the form can help streamline the CD review process on our end.

Thank you in advance for your input.

Best regards,

Kim

CD Request Format available at <https://www.oge.gov/web/oge.nsf/OGЕ+Forms>



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Nonresponsive record

-----Original Message-----

From: Passantino, Stefan C. EOP/WHO (b) (6)]
Sent: Monday, April 10, 2017 7:55 PM
To: George Hancock; Integrity
Cc: Augustine, Rene I. EOP/WHO; Bullock, Katja EOP/WHO; Carroll, James W. EOP/WHO; David J. Apol
Subject: RE: Initiating (b) (5) - Information Needed

We would like to do all three please. For the (b) (5)
(b) (6) as an alternate.

STEFAN C. PASSANTINO
Deputy Counsel to the President, Compliance & Ethics Office of the White House Counsel

-----Original Message-----

From: George Hancock [mailto:ghancock@oge.gov]
Sent: Saturday, April 8, 2017 3:12 PM
To: Passantino, Stefan C. EOP/WHO (b) (6) >; Integrity <Integrity@oge.gov>
Cc: Augustine, Rene I. EOP/WHO (b) (6) >; Bullock, Katja EOP/WHO
(b) (6) >; Carroll, James W. EOP/WHO (b) (6) >; David J. Apol
<djapol@oge.gov>
Subject: RE: Initiating (b) (5) - Information Needed

Stefan:

Yes.

Integrity has a process in Integrity that allows (b) (5)

Thank you.

-----Original Message-----

From: Passantino, Stefan C. EOP/WHO (b) (6)
Sent: Friday, April 07, 2017 1:06 PM
To: George Hancock; Integrity
Cc: Augustine, Rene I. EOP/WHO; Bullock, Katja EOP/WHO; Carroll, James W. EOP/WHO; David J. Apol
Subject: Initiating (b) (5)

Hello George. We would like to set up an account to allow (b) (5)

Do we set that up through you?

Stefan C. Passantino
Deputy Counsel to the President
Office of the White House Counsel

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From: [George Hancock](#)
To: "[Passantino, Stefan C. EOP/WHO](#)"
Cc: "[Augustine, Rene I. EOP/WHO](#)"; "[Bullock, Katja EOP/WHO](#)"; "[Carroll, James W. EOP/WHO](#)"
Subject: RE: Initiating (b) (5)
Date: Tuesday, April 11, 2017 12:41:13 PM

I'll let you know when finished.

Thank you.

From: Passantino, Stefan C. EOP/WHO (b) (6)
Sent: Tuesday, April 11, 2017 11:53 AM
To: George Hancock
Cc: Augustine, Rene I. EOP/WHO; Bullock, Katja EOP/WHO; Carroll, James W. EOP/WHO
Subject: RE: Initiating (b) (5) - Confirm?

OK. Let's start there and see if the agencies think any amendments are required as we get underway. Thank you.

STEFAN C. PASSANTINO
Deputy Counsel to the President, Compliance & Ethics
Office of the White House Counsel

From: George Hancock [<mailto:ghancock@oge.gov>]
Sent: Tuesday, April 11, 2017 11:00 AM
To: Passantino, Stefan C. EOP/WHO <(b) (6)>
Cc: Augustine, Rene I. EOP/WHO (b) (6); Bullock, Katja EOP/WHO <(b) (6)>; Carroll, James W. EOP/WHO (b) (6)
Subject: RE: Initiating (b) (5) - Confirm?

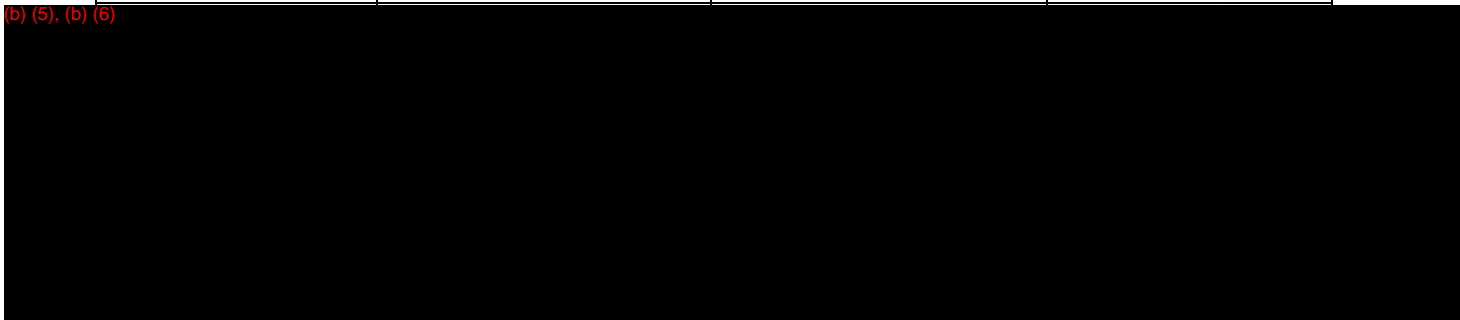
Stefan:

Please let me know if this works and I'll proceed.

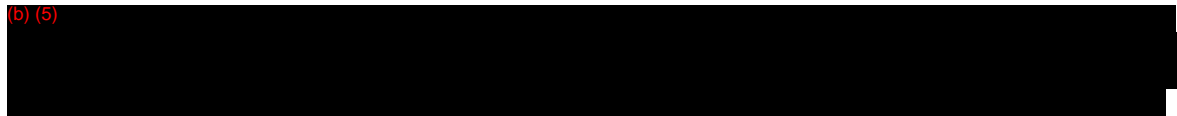
Based on the emails, this is what I plan and who will have initial access (see table below):

	Lead	Administrator	Alternate(s)
--	------	---------------	--------------

(b) (5), (b) (6)



(b) (5)



Thank you.

From: [Nicole Stein](#)
To: ["Passantino, Stefan C. EOP/WHO"](#)
Subject: RE: Quarterly DAEO Meeting: October 19, 10am
Date: Wednesday, April 12, 2017 8:16:21 AM

Good morning,

I've gone ahead and registered you for the event. A reminder email will be sent a few days prior.
Nicole

From: Passantino, Stefan C. EOP/WHO (b) (6)
Sent: Tuesday, April 11, 2017 5:36 PM
To: Nicole Stein <nstein@oge.gov>
Subject: FW: Quarterly DAEO Meeting: October 19, 10am

I was trying to RSVP to this but can't do it online for some reason. Do you mind assisting me with the registration process for this?

STEFAN C. PASSANTINO
Deputy Counsel to the President, Compliance & Ethics
Office of the White House Counsel

From: Nicole Stein [<mailto:nstein@oge.gov>]
Sent: Monday, April 3, 2017 4:51 PM
To: Nicole Stein <nstein@oge.gov>
Subject: FW: Quarterly DAEO Meeting: October 19, 10am

Good afternoon –

Please note that the next Quarterly DAEO Meeting will be held on Tuesday, April 25, 2017, from 10:00 a.m. to 11:15 a.m. at the Federal Bureau of Prisons. The meeting will be held in Conference Rooms A, B, and C at 400 First Street, NW, Washington, DC 20534. Judiciary Square is the closest metro stop. Exit via the Courthouse Exit. Make a left and continue down D Street until you reach First Street. Make a left at the corner and it is the first building.) **To expedite check-in please refrain from bringing electronic devices such as laptops. Remember to bring your Government ID.**

Due to space limitations, OGE asks that only one ethics official from each agency register to attend. Because these meetings provide the Director with the opportunity to share the most up-to-date information on OGE plans and initiatives, we urge you to ensure that the DAEO, ADAEO, or another high-level agency ethics official attends the meeting.

To register for the meeting, please click on this link: <https://community.max.gov/x/n4LySQ>

Because we will need to provide a list of attendees to Federal Bureau of Prisons security in advance of the meeting, **please register no later than April 19.** We cannot guarantee admittance for anyone who attempts to register after this time.

If you have any questions, please contact your Desk Officer.

From: [Schultz, James D. EOP/WHO](#)
To: [Rodrick T. Johnson](#)
Cc: [Elaine Newton](#); [Sandra S. Mabry](#); [Teresa L. Williamson](#); [Kimberly L. Sikora Panza](#)
Subject: RE: Certificate of Divestiture for Stephen K. Bannon
Date: Wednesday, April 12, 2017 11:02:38 AM

We are in receipt of Mr. Bannon's COD.

JAMES D. SCHULTZ
Senior Associate Counsel and Special Assistant to the President
Office of the White House Counsel

(b) (6) (o)

(b) (6) (m)

From: Rodrick T. Johnson [mailto:rjohnson@oge.gov]
Sent: Wednesday, April 12, 2017 9:33 AM
To: Schultz, James D. EOP/WHO (b) (6) >
Cc: Elaine Newton <enewton@oge.gov>; Sandra S. Mabry <ssmabry@oge.gov>; Teresa L. Williamson <tlwillia@oge.gov>; Kimberly L. Sikora Panza <klspanza@oge.gov>
Subject: Certificate of Divestiture for Stephen K. Bannon
Importance: High

2 page attachment withheld in full - (b)(3), (4), (5), (6)

Good Morning, Mr. Schultz:

Attached is the Certificate of Divestiture OGE-2017-031 for Stephen K. Bannon, Assistant to the President and Chief Strategist to the President. We would appreciate your forwarding the original certificate to Mr. Bannon. Also enclosed is a copy of the certificate for your files.

The original certificate and one copy for your records will be mailed to you. We would appreciate your forwarding the original certificate to Mr. Bannon, upon receipt.

Please confirm receipt of this email by replying to all.

Thanks, Again
Rodrick Johnson

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From: [Schultz, James D. EOP/WHO](#)
To: [David J. Apol](#)
Subject: COD
Date: Wednesday, April 12, 2017 11:20:55 AM

Dave

(b) (5)



JAMES D. SCHULTZ
Senior Associate Counsel and Special Assistant to the President
Office of the White House Counsel

(b) (6) (o)
(b) (6) (m)

From: [George Hancock](#)
To: ["Passantino, Stefan C. EOP/WHO"](#)
Cc: ["Schultz, James D. EOP/WHO"](#)
Subject: RE: WHCO-Type Agency Setup; Add Group to Use for Noms - Finishing Next Steps - Update
Date: Wednesday, April 12, 2017 5:18:53 PM

Stefan/James:

I spoke with POCs for (b) (5) today. (b) (5)

Please let me know after you add the group for each of the new WHCO-type agencies so I can inform the POCs and they can proceed to add their Nominees. Until you do, the new PPO agencies will not be able to assign their proposed Nominees reports.

Thank you.

From: Passantino, Stefan C. EOP/WHO (b) (6)
Sent: Wednesday, April 12, 2017 8:14 AM
To: George Hancock
Cc: Schultz, James D. EOP/WHO
Subject: RE: WHCO-Type Agency Setup; Add Group to Use for Noms - Finishing Next Steps

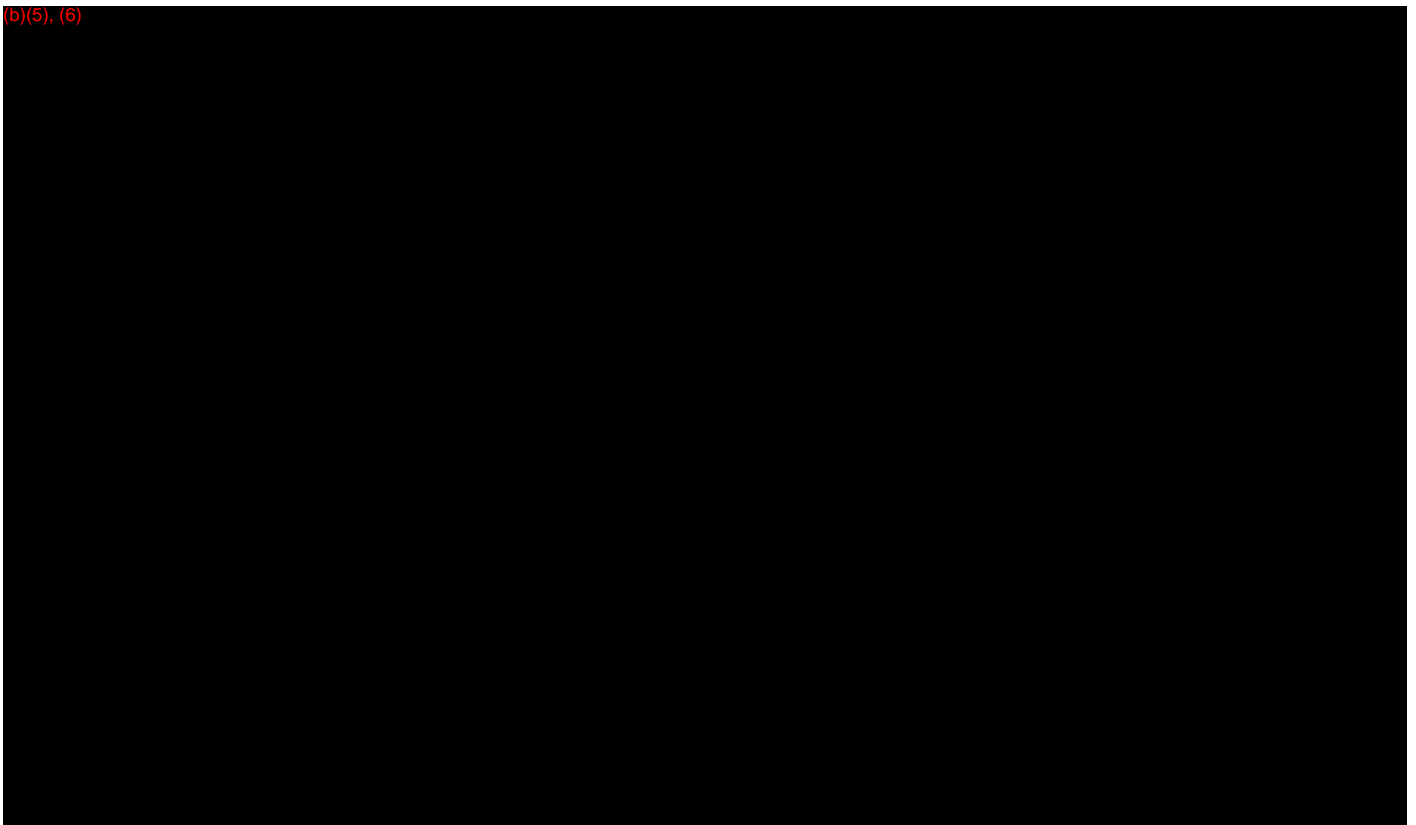
Good, thank you.

STEFAN C. PASSANTINO
Deputy Counsel to the President, Compliance & Ethics
Office of the White House Counsel

From: George Hancock [<mailto:ghancock@oge.gov>]
Sent: Wednesday, April 12, 2017 8:00 AM
To: Passantino, Stefan C. EOP/WHO (b) (6)
Cc: Schultz, James D. EOP/WHO (b) (6)
Subject: WHCO-Type Agency Setup; Add Group to Use for Noms - Finishing Next Steps

Stefan/James:

(b)(5), (6)



From: [Elaine Newton](#)
To: "Schultz, James D. EOP/WHO"
Bcc: [Elaine Newton](#)
Subject: RE: (b)(5), (6) CD
Date: Thursday, April 13, 2017 2:34:40 PM
Attachments: [image001.png](#)

No attachment found in search

Good Afternoon,

Last week you mentioned a (b)(5), (6) CD in your e-mail exchange with Deb Bortot. I wanted to let you know that OGE has not received the CD request yet. Did you have a specific question regarding the CD?

Elaine

Elaine Newton
Associate Counsel
U.S. Office of Government Ethics
1201 New York Ave., NW, Suite 500
Washington, DC 20005-3915
Telephone: 202-482-9265

From: Schultz, James D. EOP/WHO (b) (6)
Sent: Thursday, April 06, 2017 12:49 PM
To: Elaine Newton
Subject: RE: (b)(5), (6)

Thanks so much. Elaine – any idea on when Bannon’s will be ready?

JAMES D. SCHULTZ
Senior Associate Counsel and Special Assistant to the President
Office of the White House Counsel
(b) (6) (o)
(b) (6) (m)

From: Elaine Newton [<mailto:enewton@oge.gov>]
Sent: Thursday, April 6, 2017 11:26 AM
To: Schultz, James D. EOP/WHO (b) (6)
Subject: RE: (b)(5), (6)

Good Morning,

(b)(3), (5), (6)

I believe Lorna did a similar review last week for another individual.

Elaine

Elaine Newton
Associate Counsel
U.S. Office of Government Ethics
1201 New York Ave., NW, Suite 500
Washington, DC 20005-3915
Telephone: 202-482-9265

From: Schultz, James D. EOP/WHO (b) (6)
Sent: Thursday, April 06, 2017 10:32 AM
To: Elaine Newton
Subject: FW: (b)(5), (6)

Hi Elaine – could you provide some feedback for the (b)(5), (6) question?

JAMES D. SCHULTZ
Senior Associate Counsel and Special Assistant to the President
Office of the White House Counsel

(b) (6) (o)

(b) (6) (m)

From: Deborah J. Bortot [<mailto:djbortot@oge.gov>]
Sent: Wednesday, April 5, 2017 6:51 PM
To: Schultz, James D. EOP/WHO (b) (6)
Subject: RE: (b)(5), (6)

Elaine Newton handles CDs. Here is Elaine's contact info:

Phone: 202-482-9265

Email: enewton@oge.gov

I've assigned Lorna Syme to look the funds.

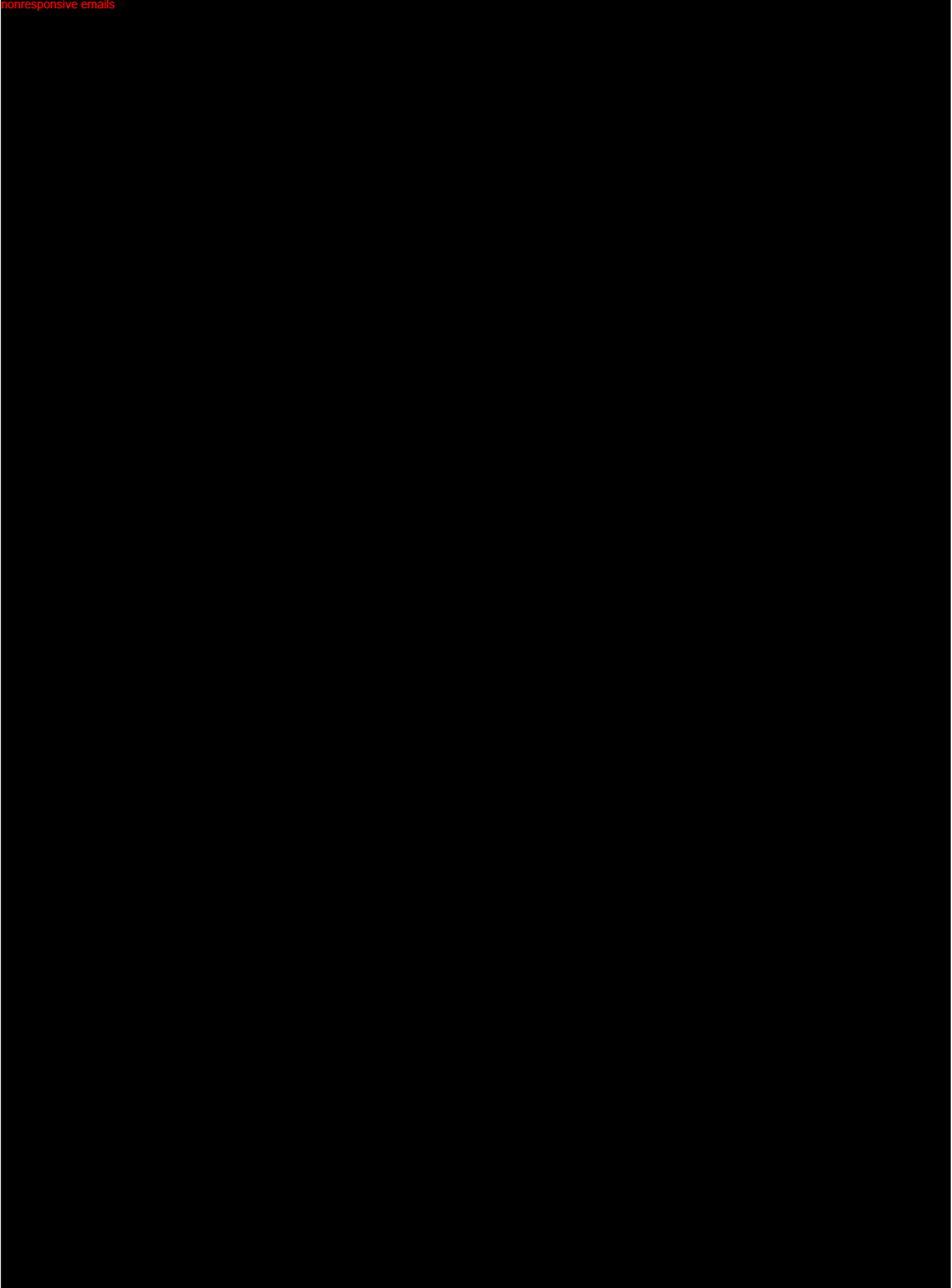
From: Schultz, James D. EOP/WHO (b) (6)
Sent: Wednesday, April 05, 2017 5:02 PM
To: Deborah J. Bortot
Subject: FW: (b)(5), (6)

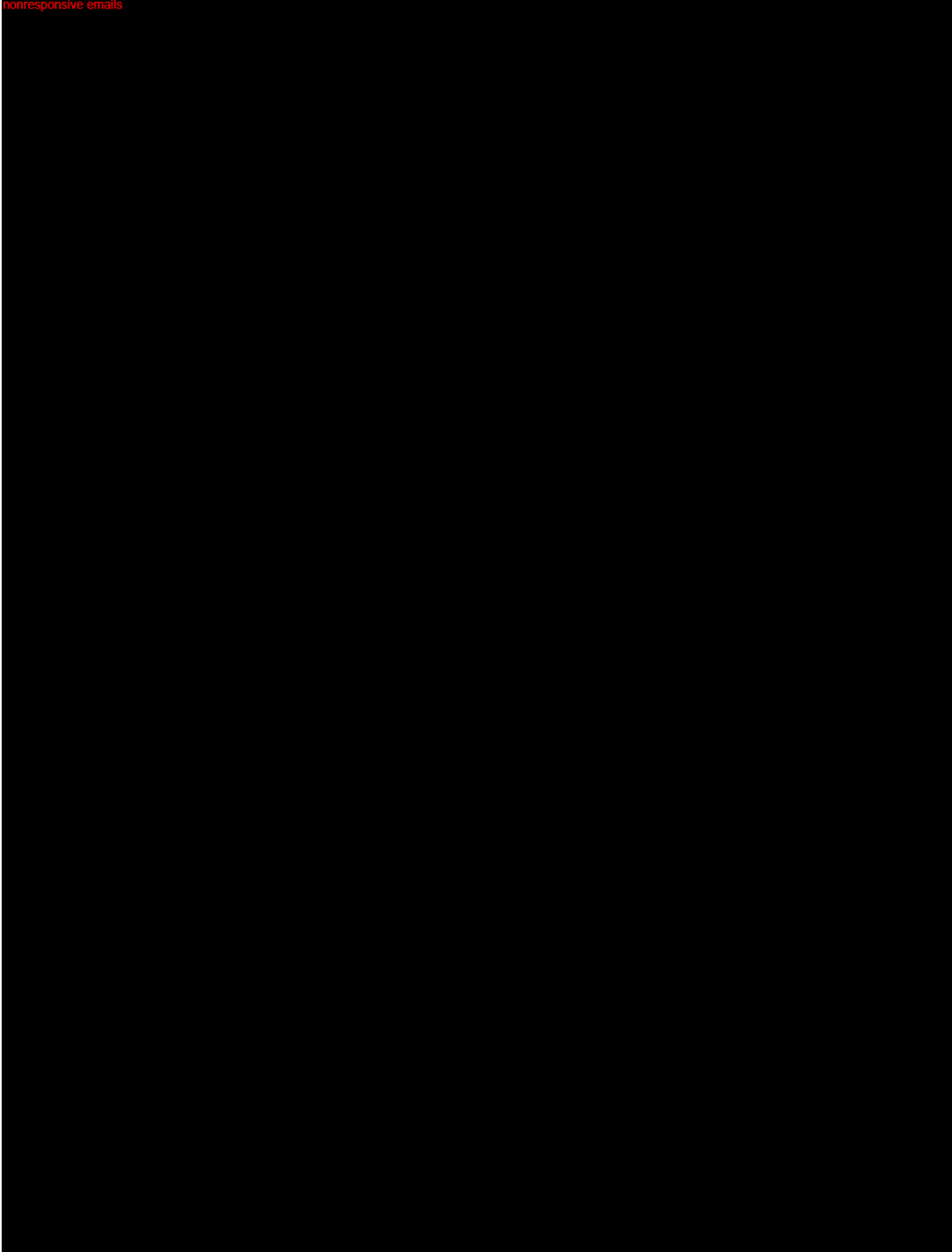
Deb – who is the right person to deal with on this? Also, should I talk with you on a (b)(5), (6) COD?

JAMES D. SCHULTZ
Senior Associate Counsel and Special Assistant to the President
Office of the White House Counsel

(b) (6) (o)

(b) (6) (m)





From: [Passantino, Stefan C. EOP/WHO](#)
To: [David J. Apol](#); "[Roberts, Blake](#)"
Subject: RE: Book
Date: Friday, April 14, 2017 2:35:00 PM

I can get on a call now.

STEFAN C. PASSANTINO
Deputy Counsel to the President, Compliance & Ethics
Office of the White House Counsel

From: David J. Apol [mailto:djapol@oge.gov]
Sent: Friday, April 14, 2017 2:30 PM
To: 'Roberts, Blake' <Blake.Roberts@wilmerhale.com>
Cc: Passantino, Stefan C. EOP/WHO (b) (6)
Subject: RE: Book

Blake,

Walt, Seth and I are available to talk now. Blake, do you want to talk even if Stefan is not available, or would you prefer to try to set up a later time?

Dave

From: Roberts, Blake [mailto:Blake.Roberts@wilmerhale.com]
Sent: Friday, April 14, 2017 10:59 AM
To: Stefan C. Passantino (b) (6); David J. Apol
Subject: Book

Can we do a call this afternoon to discuss? Dave, please feel free to loop in Seth. Ivanka wants to do the right thing and has decided on an approach that you will be happy with. I want to ensure we are in agreement on implementation. I am free 12:00-12:30 and after 2:00.

Thanks,
Blake

Blake Roberts | WilmerHale
1875 Pennsylvania Avenue NW
Washington, DC 20006 USA
+1 202 663 6920 (t)
+1 202 663 6363 (f)
blake.roberts@wilmerhale.com

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From: [Schultz, James D. EOP/WHO](#)
To: [David J. Apol](#)
Subject: If you are in. Please call cell.
Date: Friday, April 14, 2017 2:56:33 PM

James D. Schultz
Senior Associate Counsel and Special Assistant to the President
Office of White House Counsel
(b) (6) (m)

From: [David J. Apol](#)
To: ["Passantino, Stefan C. EOP/WHO"](#)
Subject: just left you a voice mail
Date: Monday, April 17, 2017 5:32:45 PM

(Seth tells me transposed some numbers in the voice mail. I'm at 482 9205.)

David J. Apol
General Counsel
U.S. Office of Government Ethics
1201 New York Ave., NW, Suite 500
Washington, DC 20005-3917
(202) 482-9292

From: [Passantino, Stefan C. EOP/WHO](#)
To: [Teresa L. Williamson](#)
Subject: (b)(5), (6)
Date: Tuesday, April 18, 2017 9:48:33 AM

FYI, (b)(5), (6) is being reviewed for posting as (b)(5), (6). The notation is not in Integrity.

STEFAN C. PASSANTINO
Deputy Counsel to the President, Compliance & Ethics
Office of the White House Counsel

From: [Schultz, James D. EOP/WHO](#)
To: (b)(5), (6)
Cc: (b)(5), (6) [David J. Apol](#)
Subject: ASSET LIST
Date: Wednesday, April 19, 2017 4:56:30 PM

(b)(5), (6)

Please confirm that I may forward the entire asset listing which was prepared by (b)(6) to OGE. J

JAMES D. SCHULTZ
Senior Associate Counsel and Special Assistant to the President
Office of the White House Counsel

(b)(6) (o)
(b)(6) (m)

From: [Passantino, Stefan C. EOP/WHO](#)
To: [David J. Apol](#)
Cc: [Schultz, James D. EOP/WHO](#)
Subject: Connecting
Date: Thursday, April 20, 2017 8:03:28 AM

Good morning Dave. Do you have some time today for a brief call? I am generally available between 11:00 and 2:00.

STEFAN C. PASSANTINO
Deputy Counsel to the President, Compliance & Ethics
Office of the White House Counsel

From: [Simms, Michelle C. EOP/WHO](#)
To: [George Hancock](#)
Cc: [Passantino, Stefan C. EOP/WHO](#)
Subject: FW (b)(5)
Date: Thursday, April 20, 2017 10:20:55 AM

Good morning George!

I'm trying to figure out the correct process by which the nominee 278s get assigned. As you know, (b)(5)

[REDACTED]

(b)(5)

[REDACTED]

Please give me a call if you have any questions.

V/R
Michelle

Michelle C. Simms
Ethics Counsel
Office of the White House Counsel
(O) (b) (6) / (M) (b) (6)
(b) (6)

From: [Simms, Michelle C. EOP/WHO](#)
To: [Christopher J. Swartz](#)
Subject: Give me a call when you have a chance.
Date: Thursday, April 20, 2017 12:07:39 PM

I have a follow-on question regarding an issue I talked to Rachel about. (b)(5)

■

V/R
Michelle

Michelle C. Simms
Ethics Counsel
Office of the White House Counsel
(O) (b) (6) (M) (b) (6)
(b) (6)

From: [Schultz, James D. EOP/WHO](#)
To: [David J. Apol](#)
Cc: [Passantino, Stefan C. EOP/WHO](#)
Subject: (b)(5), (6) COD REQUEST
Date: Thursday, April 20, 2017 1:24:20 PM
Attachments: (b)(5), (6) pdf
(b)(5), (6) [COD PACKAGE.PDF](#)

20 page attachment withheld in full -
(b)(3), (4), (5), (6)

Dave

Please find the attached COD request. Please call with any questions. J

JAMES D. SCHULTZ
Senior Associate Counsel and Special Assistant to the President
Office of the White House Counsel

(b) (6) (o)
(b) (6) (m)

From: [David J. Apol](#)
To: ["Passantino, Stefan C. EOP/WHO"](#)
Subject: today's call
Date: Friday, April 21, 2017 11:26:02 AM

Deb and Heather will be on today's call. Can you call my conference phone at 202 482 9280.
Thanks.

David J. Apol
General Counsel
U.S. Office of Government Ethics
1201 New York Ave., NW, Suite 500
Washington, DC 20005-3917
(202) 482-9292

From: [Passantino, Stefan C. EOP/WHO](#)
To: [Teresa L. Williamson](#)
Cc: [De La Vega, Scott](#); [Simms, Michelle C. EOP/WHO](#)
Subject: (b)(5), (6)
Date: Monday, April 24, 2017 10:38:49 AM

I don't know if this will hit your screen but I just processed two (b)(5), (6) without job positions attached. They are:

(b)(5), (6)

Are you able to update Integrity accordingly?

STEFAN C. PASSANTINO
Deputy Counsel to the President, Compliance & Ethics
Office of the White House Counsel

From: [Nicole Stein](#)
To: [Passantino, Stefan C. EOP/WHO](#)
Subject: Re: Reminder: You are registered to attend the DAEO Mtg tomorrow (4/25) @ 10am
Date: Tuesday, April 25, 2017 8:23:24 AM

Your cell phone is fine.

Sent from my BlackBerry 10 smartphone on the Verizon Wireless 4G LTE network.

From: Passantino, Stefan C. EOP/WHO
Sent: Tuesday, April 25, 2017 7:35 AM
To: Nicole Stein
Subject: Re: Reminder: You are registered to attend the DAEO Mtg tomorrow (4/25) @ 10am

Good morning. Will cell phones be prohibited as well?

Stefan C. Passantino
Deputy Counsel to the President
Office of the White House Counsel

On Apr 24, 2017, at 8:42 AM, Nicole Stein <nstein@oge.gov> wrote:

Good morning,

You are registered to attend the Quarterly DAEO Meeting, which will be held on Tuesday, April 25, 2017 from 10:00 a.m. to 11:15 a.m. at the Federal Bureau of Prisons. The meeting will be held in Conference Rooms, A, B, and C at 400 First Street NW, Washington, DC 20534. The nearest metro stop is Judiciary Square. Exit via the Courthouse Exit. Make a left and continue down D Street until you reach First Street. Make a left at the corner and it is the first building.

To expedite check-in please refrain from bringing electronic devices such as laptops. Remember to bring your Government ID.

Nicole

Nicole Stein
Chief, Agency Assistance Branch
U.S. Office of Government Ethics
nstein@oge.gov
(202) 482-9255

From: [Simms, Michelle C. EOP/WHO](#)
To: [Christopher J. Swartz](#)
Subject: The (b)(5)
Date: Tuesday, April 25, 2017 4:17:41 PM

Hey Chris.

(b)(5)

[Redacted]

What do you think?

V/R
Michelle

Michelle C. Simms
Ethics Counsel
Office of the White House Counsel
(O) (b) (6) / (M) (b) (6)
(b) (6)

From: [David J. Apol](#)
To: ["Schultz, James D. EOP/WHO"](#)
Subject: Transaction Report guidance
Date: Wednesday, April 26, 2017 1:31:13 PM
Attachments: [12x04 \(1\).pdf](#)

Attached Legal Advisory publicly
available on OGE website

Jim,

As you begin to navigate the periodic transaction reporting requirements, I think this Legal Advisory will be helpful. It answers a lot of questions which the STOCK Act was not entirely clear about. (Note: as noted in the heading to this LA, after we issued this advisory, the STOCK Act was amended to clarify that transactions of spouses and dependent children also needed to be reported.)

Feel free to call if you have any questions.

Dave

From: [Schultz, James D. EOP/WHO](#)
To: [Kimberly L. Sikora Panza](#)
Subject: RE: (b)(5), (6) Nominee - Preliminary Questions
Date: Wednesday, April 26, 2017 4:27:41 PM

Please send me (b)(6) full info. Thanks. J

JAMES D. SCHULTZ

Senior Associate Counsel and Special Assistant to the President
Office of the White House Counsel

(b)(6) (o)

(b)(6) (m)

From: Kimberly L. Sikora Panza [mailto:klspanza@oge.gov]

Sent: Wednesday, April 26, 2017 4:03 PM

To: Schultz, James D. EOP/WHO

Subject: RE: (b)(5), (6) Nominee - Preliminary Questions

Hi Jim,

I spoke with (b)(6) today regarding your input on the questions below, (b)(3), (5), (6)

(b)(6) and may be following up with you re: the same.

Just wanted to give you a status update.

Thanks,

Kim

From: Schultz, James D. EOP/WHO (b)(6)

Sent: Tuesday, April 25, 2017 11:34 AM

To: Kimberly L. Sikora Panza

Subject: RE: (b)(5), (6) Nominee - Preliminary Questions

Kim – give me a call. I am at my desk. J

JAMES D. SCHULTZ

Senior Associate Counsel and Special Assistant to the President
Office of the White House Counsel

(b)(6) (o)

(b)(6) (m)

From: Kimberly L. Sikora Panza [mailto:klspanza@oge.gov]

Sent: Tuesday, April 25, 2017 8:29 AM

To: Schultz, James D. EOP/WHO <(b)(6)>

Subject: RE: (b)(5), (6) Nominee - Preliminary Questions

Good morning, Jim,

I'm writing to follow up on my questions regarding (b)(5), (6) (regarding Pledge/conflicts issues – see below). I've been working directly with the filer's representative on technical reporting matters, and things are looking pretty good on that front. At this point, we need your input on these issues so that we can discuss them with the filer's representative, work on preparing an Ethics Agreement, etc.

Thank you in advance for your input,

Kim

From: Kimberly L. Sikora Panza
Sent: Friday, April 21, 2017 8:18 AM
To: 'Schultz, James D. EOP/WHO'
Subject: RE: (b)(5), (6) - Preliminary Questions

Good morning, Jim,

I'm writing to follow up on my questions below regarding (b)(5), (6), which seek your input on Pledge/conflicts questions.

Thank you in advance for your input.

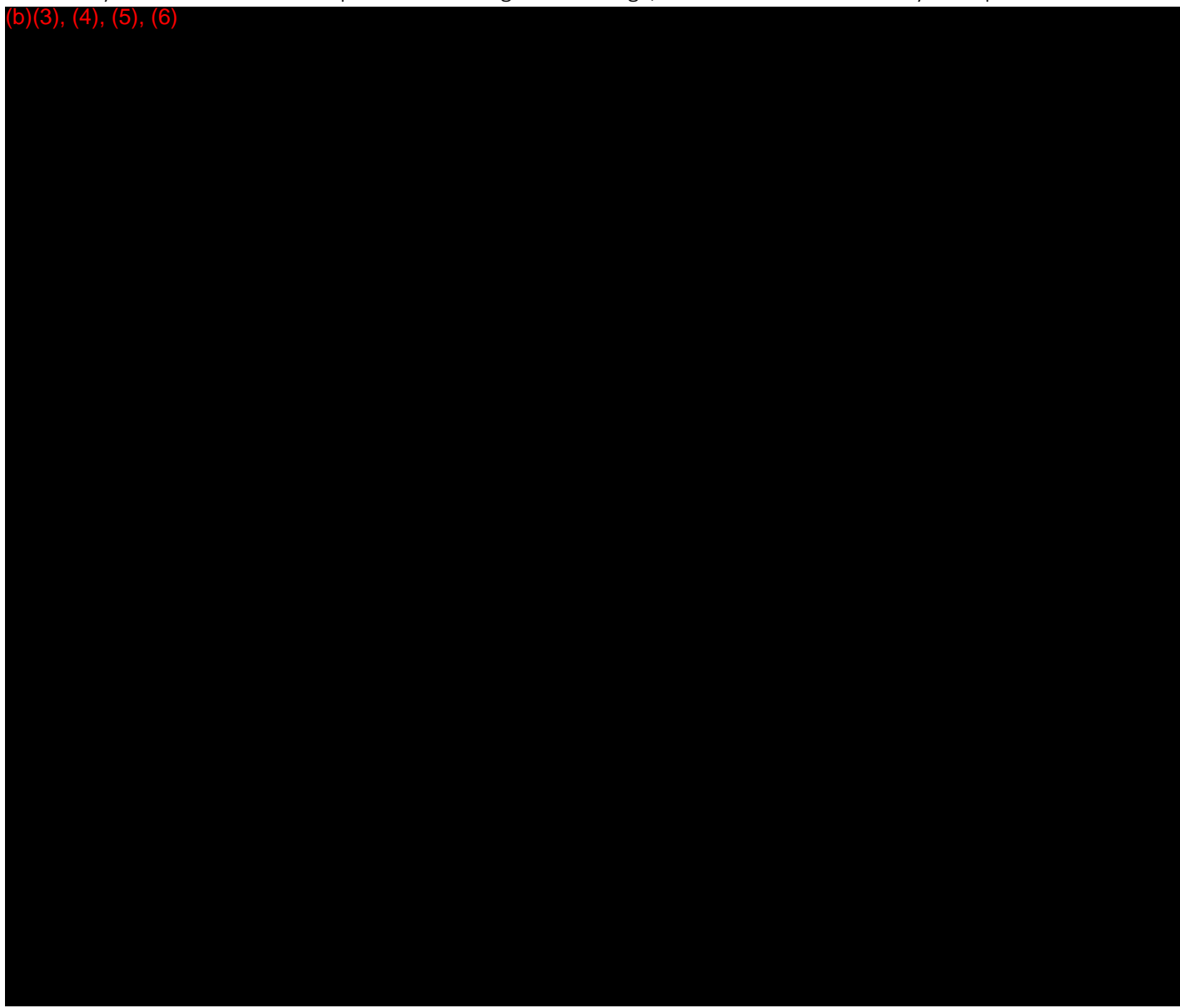
Kim

From: Kimberly L. Sikora Panza
Sent: Tuesday, April 18, 2017 4:07 PM
To: 'Schultz, James D. EOP/WHO'
Cc: Kimberly L. Sikora Panza
Subject: RE: (b)(5), (6) - Preliminary Questions

Good afternoon, Jim,

I am writing regarding (b)(5), (6). Per your instructions, I have been working directly with (b)(5), (6) representative (b)(6) regarding his financial disclosure report. At this time, we are still working through multiple reporting issues and details. I am reaching out to you today because I have a few questions relating to the Pledge/conflicts on which I need your input.

(b)(3), (4), (5), (6)



(b)(3), (4), (5), (6)

Thank you in advance for your input on these matters.

Best regards,

Kim Sikora Panza

From: Schultz, James D. EOP/WHO (b) (6)]

Sent: Tuesday, April 11, 2017 9:55 AM

To: Kimberly L. Sikora Panza

Subject: RE: (b)(5), (6) - Preliminary Questions

Please work directly. Thanks. J

JAMES D. SCHULTZ

Senior Associate Counsel and Special Assistant to the President
Office of the White House Counsel

(b) (6) (o)

(b) (6) (m)

From: Kimberly L. Sikora Panza [<mailto:kspanza@oge.gov>]

Sent: Tuesday, April 11, 2017 9:45 AM

To: Schultz, James D. EOP/WHO <(b) (6)>

Subject: RE: (b)(5), (6) - Preliminary Questions

Good morning, Jim,

I hope you are well.

I am writing to check in on the status of (b)(5), (6) – could you please let me know the status of our preliminary round of questions? I know that the filer’s representative has reached out to us regarding the nominee and his report – please let me know if I should work with her directly with my questions, etc.

Thanks,

Kim

From: Kimberly L. Sikora Panza

Sent: Monday, April 03, 2017 8:10 AM

To: Schultz, James D. EOP/WHO

Subject: RE: (b)(5), (6) - Preliminary Questions

Good morning, Jim,

I hope you had a nice weekend.

I am writing regarding nominee (b)(5), (6) at your convenience, could you please let me know the status of our preliminary round of questions so that I can update my team here?

Thanks in advance for your input.

Best regards,

Kim Sikora Panza

From: Kimberly L. Sikora Panza

Sent: Wednesday, March 29, 2017 1:28 PM

To: Schultz, James D. EOP/WHO

Cc: Kimberly L. Sikora Panza

Subject: (b)(5), (6) Preliminary Questions

Good afternoon, Jim,

I am the OGE reviewer assigned to review the report for (b)(5), (6). Please see below for my preliminary questions/comments based on my initial review of the report. I look forward to working with you!

As a preliminary matter, please note that we have been advised that this filer is represented by the following attorney:

(b) (6)

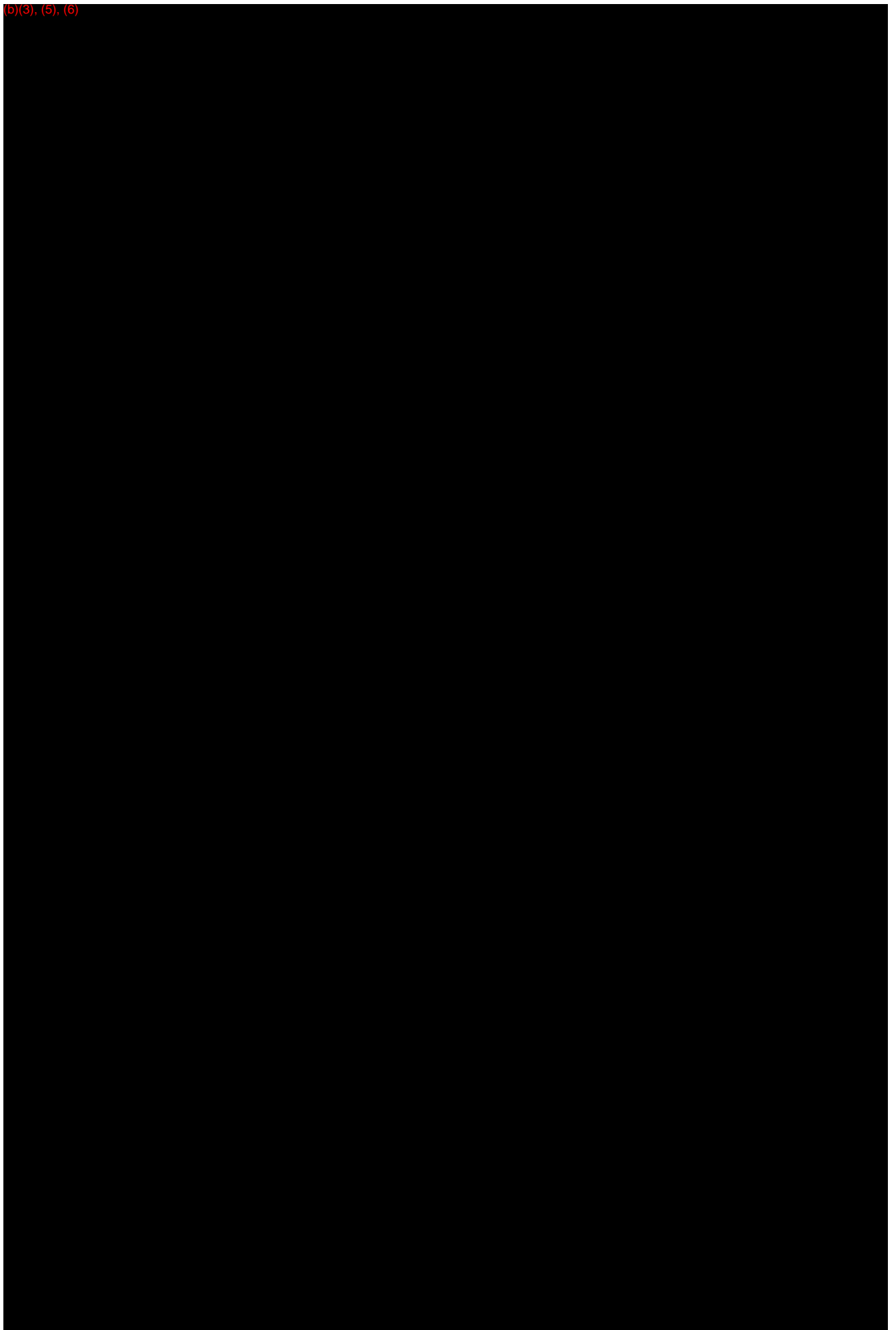


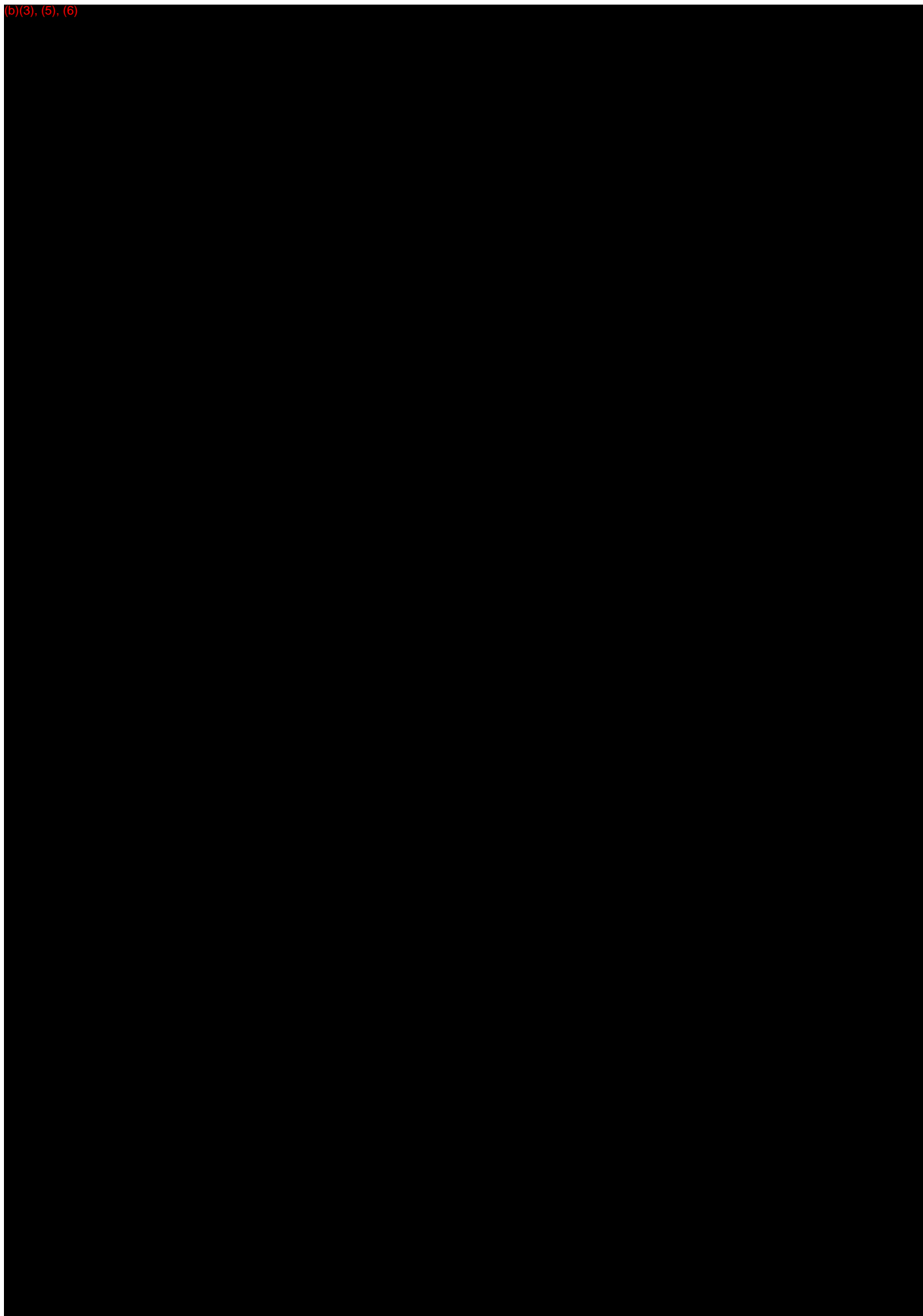
(b) (6) wasn't sure who the agency reviewer would be, perhaps you can reach out to her to let her know that you are the POC and will be working with her on this report. (If you'd prefer, I can forward her your email address, but I thought it would be more appropriate for you to reach out to her personally.)

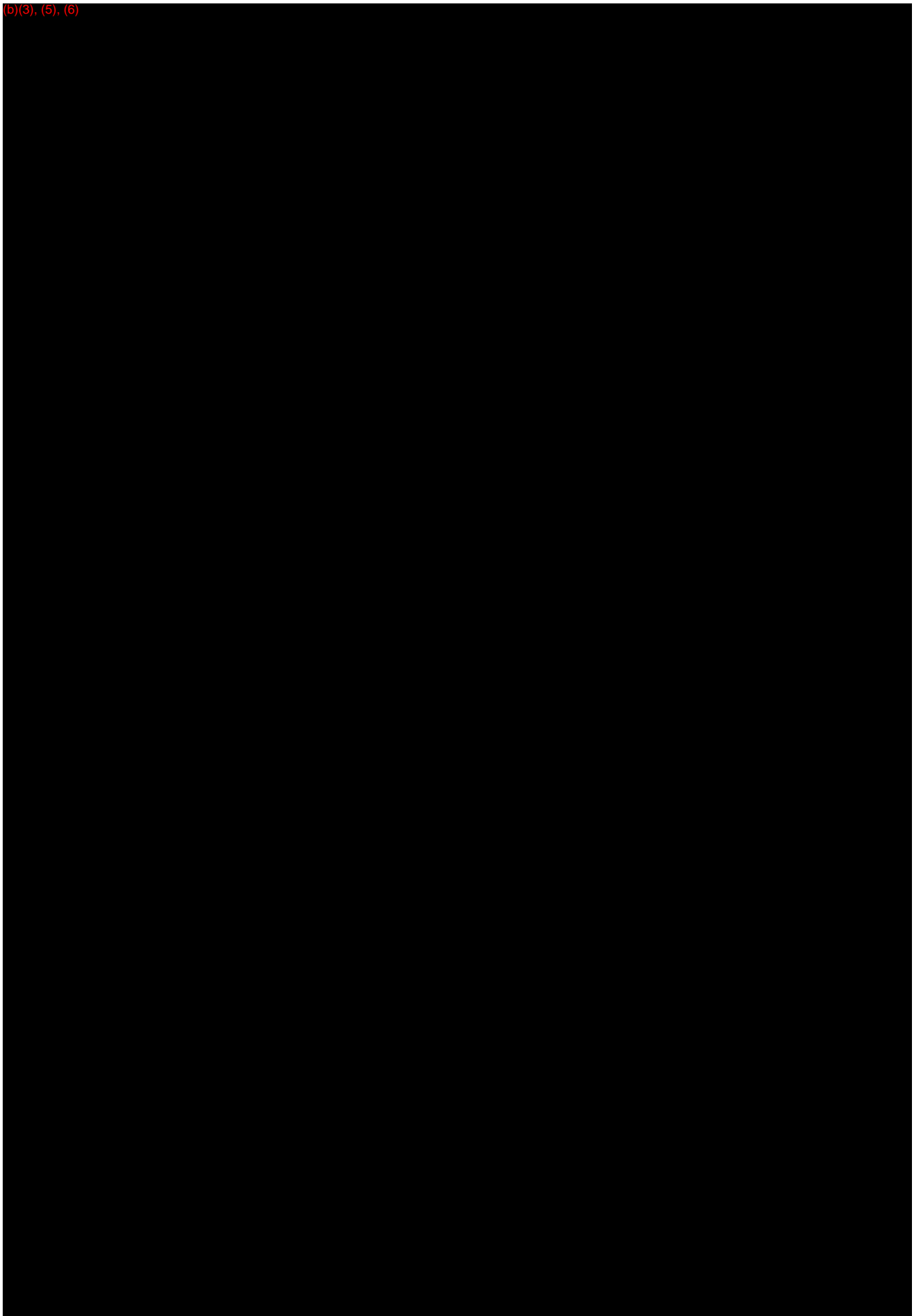
General/Background Questions

(b)(5), (6)









Thank you in advance for your input and assistance. I look forward to working with you!
Kim

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From: [Schultz, James D. EOP/WHO](#)
To: [David J. Apol](#)
Subject: (b)(5), (6)
Date: Wednesday, April 26, 2017 4:36:54 PM

Is he close to getting pre-cleared? Thx. J

JAMES D. SCHULTZ
Senior Associate Counsel and Special Assistant to the President
Office of the White House Counsel

(b) (6) (o)
(b) (6) (m)

From: [Passantino, Stefan C. EOP/WHO](#)
To: [Teresa L. Williamson](#)
Subject: RE: Position in Integrity
Date: Thursday, April 27, 2017 9:11:32 AM

Thank you. That should read (b)(5), (6) [REDACTED]
STEFAN C. PASSANTINO
Deputy Counsel to the President, Compliance & Ethics
Office of the White House Counsel

From: Teresa L. Williamson [mailto:tlwillia@oge.gov]
Sent: Thursday, April 27, 2017 7:43 AM
To: Passantino, Stefan C. EOP/WHO
Subject: Position in Integrity

Good Morning Stefan,

We received a report for (b)(5), (6) [REDACTED] in Integrity. The position listed in Integrity is not a PAS position, would you please confirm the position and I will add to Integrity.

Thanks!

Teresa

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From: [Patrick Shepherd](#)
To: ["Passantino, Stefan C. EOP/WHO"; Seth Jaffe](#)
Cc: [David J. Apol; Schultz, James D. EOP/WHO; Gast, Scott F. EOP/WHO](#)
Subject: RE: Advanced Practitioner's Webinar
Date: Thursday, April 27, 2017 10:21:31 AM

Stefan,

Below you will find instructions for "attending" the Pledge broadcast this afternoon. You may have also received these instructions from our training coordinator. You should be able to connect through the YouTube link. If YouTube is blocked, you can use the call-in number. Please let me know if you have any difficulty connecting.

We have also added your email address and the email addresses of your colleagues to our distribution list for future events.

Thank you for your interest in our training courses.

Transition Readiness Series: Executive Order 13770 – The "New Ethics Pledge"

In this one hour webinar OGE's Office of General Counsel will review the additional guidance recently published in LA-17-03 concerning the implementation of EO 13770. Specifically, the webinar will:

- Address in detail the extent of the continued applicability of prior guidance on EO 13490 to the new ethics pledge;
- Review LA-17-03 guidance concerning the "specific issue area" recusal obligation contained in new ethics pledge paragraph 7;
- Review the post-government employment restrictions contained in paragraphs 1 and 3;
- Demonstrate ways to use the attachments to LA-17-03 to your best advantage when giving advice to appointees; and
- Provide examples illustrating practical applications of various pledge provisions.

Please contact Training Registration at TrainingRegistration@oge.gov if you have registration questions.

Length: 60 minutes

Date: Thursday, April 27, 2017

Time: 12:00 noon -- 1:00 p.m. (Eastern)

Course Materials: <https://community.max.gov/x/QgGNSg>

Conference Number: 1-888-283-1761

Participant Code: 60318

YouTube Link: <https://www.youtube.com/watch?v=fXXV4xrY1kI>

Thanks,
Patrick D. Shepherd
Lead Instructor

(e) patrick.shepherd@oge.gov
(p) 202-482-9206

Legal, External Affairs, and Performance Branch
Program Counsel Division
U.S. Office of Government Ethics
@OfficeGovEthics
Youtube

From: Passantino, Stefan C. EOP/WHO (b) (6)
Sent: Tuesday, April 25, 2017 1:09 PM
To: Seth Jaffe
Cc: David J. Apol; Patrick Shepherd; Schultz, James D. EOP/WHO; Gast, Scott F. EOP/WHO
Subject: RE: Advanced Practitioner's Webinar

Thank you Seth. Please include Jim Schultz and Scott Gast (as well as myself) to the invite list for events like this.

STEFAN C. PASSANTINO
Deputy Counsel to the President, Compliance & Ethics
Office of the White House Counsel

From: Seth Jaffe [<mailto:sjaffe@oge.gov>]
Sent: Tuesday, April 25, 2017 12:46 PM
To: Passantino, Stefan C. EOP/WHO (b) (6)
Cc: David J. Apol <djapol@oge.gov>; Patrick Shepherd <pshephe@oge.gov>
Subject: Advanced Practitioner's Webinar

Stefan,

It was great to see that you were able to make the DAEO meeting earlier today.

Also, Dave asked me to make sure that you are registered for the Advanced Practitioner's Webinar on LA-17-03 (Additional Guidance on EO 13770). The webinar is scheduled for this Thursday the 27th at noon. Patrick Shepherd, who is copied on this email, will register you for the webinar as well as send you an email - along with all other registrants - Thursday morning with the details of how to log into the webinar.

Feel free to reach out to Patrick with any questions concerning logistics and I hope that you will be able to "attend" the webinar.

From: [Christopher J. Swartz](#)
To: ["Schultz, James D. EOP/WHO"; "De La Vega, Scott"](#)
Subject: Question
Date: Thursday, April 27, 2017 4:13:57 PM

I will not be able to get you an answer until tomorrow, as the tech side folks are gone for the day. I will loop back as soon as I have a chance to discuss with them.

Thanks!
CJS

Christopher J. Swartz
Assistant Counsel, Ethics Law and Policy Branch
General Counsel & Legal Policy Division
U.S. Office of Government Ethics
Telephone: 202-482-9266

Visit OGE's website: www.oge.gov
Follow OGE on Twitter: @OfficeGovEthics

From: [Passantino, Stefan C. EOP/WHO](#)
To: [Teresa L. Williamson](#)
Subject: (b)(5), (6)
Date: Monday, May 01, 2017 9:23:22 AM

Good morning (b)(5), (6) should be listed in Integrity as being reviewed for (b)(5), (6). Can you make that addition please?

STEFAN C. PASSANTINO
Deputy Counsel to the President, Compliance & Ethics
Office of the White House Counsel

From: [CD](#)
To: [Monica M. G. Ashar](#)
Subject: FW: CD Request - S. Spicer
Date: Monday, May 01, 2017 10:36:48 AM
Attachments: [S. Spicer CD Request to OGE.pdf](#)
[S. Spicer 278e.pdf](#)

19 page attachment withheld in full -
(b)(3), (4), (5), (6)

-----Original Message-----

From: De La Vega, Scott (b) (6)]
Sent: Monday, April 24, 2017 3:23 PM
To: CD
Cc: Elaine Newton
Subject: CD Request - S. Spicer

Good Afternoon Ms. Newton:

The Office of the White House Counsel is forwarding you a request from Sean Spicer for a Certificate of Divestiture (CD) for four (4) assets. Mr. Spicer is an Assistant to the President and White House Press Secretary.

Attached, please find the formal request from Mr. Spicer pursuant to 5 CFR 2634.1005(a) as well as our office's formal request to OGE pursuant to 5 CFR 2634.1005(b). The second attachment is a copy of Mr. Spicer's financial disclosure report which should also be with OGE for certification.

Please do not hesitate to reach out to our office if you need further information.

Regards,

Scott A. de la Vega
Ethics Counsel
Office of the White House Counsel
(o) (b) (6) / (c) (b) (6)

From: [Saltaformaggio, Robert L. EOP/WHO](#)
To: [Emory A. Rounds III](#)
Subject: RE: CD Request
Date: Tuesday, May 02, 2017 6:15:10 PM

Hi Emory,

I will be calling shortly.

Robert L. Saltaformaggio
Ethics Counsel
Office of the White House Counsel

(O) (b) (6) / (C) (b) (6)

(b) (6)

From: Emory A. Rounds III [mailto:earounds@oge.gov]

Sent: Tuesday, May 2, 2017 2:44 PM

To: Saltaformaggio, Robert L. EOP/WHO (b) (6)

Subject: RE: CD Request

Robert:

As I am telecommuting and my home phone doesn't indicate which is a masked (but good) government caller as opposed to a telemarketer, please e-mail me just before you call to ensure that I pick it up . . .

I will be "in" until about 3:30-ish today.

Thanks!

Emory

Emory Rounds
Associate Counsel
General Counsel and Legal Policy Division
Ethics Law and Policy Branch
U.S. Office of Government Ethics
1201 New York Avenue, NW, Suite 500
Washington, DC 20005-3917
Telephone: 202-482-9232

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From: [Mabry, Sandra S. EOP/WHO](#)
To: [Daniel L. Skalla](#)
Subject: RE: Transaction Question
Date: Tuesday, May 02, 2017 6:30:01 PM

Thanks, Dan. That's what I have. Sandy

From: Daniel L. Skalla [mailto:dlskalla@oge.gov]
Sent: Tuesday, May 2, 2017 9:51 AM
To: Mabry, Sandra S. EOP/WHO (b) (6)
Subject: Transaction Question

Yes, from our guide:

How to Report

Generally, filers report transactions as follows:

Description: Filers provide a description sufficient to identify the asset being reported (or both assets in the case of an exchange). The amount of information needed for a sufficient description will depend on the type of asset being reported. In general, the following rules apply.

- (1) Stock: Provide the name of the issuing company.
- (2) Other securities with specific names (e.g., bonds): Provide the full name of the asset and, unless clear from the name, describe the type of asset.

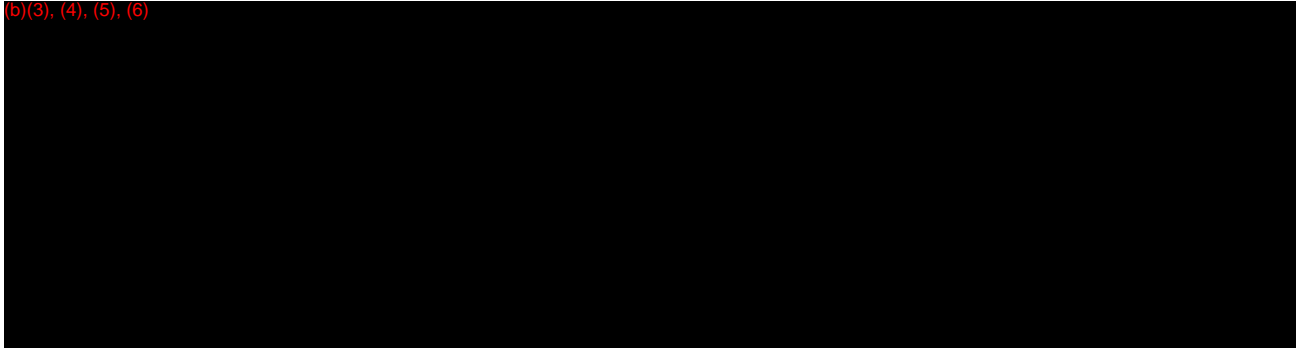
If the asset is an underlying holding of some other investment vehicle (e.g., held within a fund that does not qualify as an excepted investment fund), it is helpful if the filer identifies the investment vehicle (e.g., Positron Investments, LLC: Chevron Corp.).

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From: [Saltaformaggio, Robert L. EOP/WHO](#)
To: [Emory A. Rounds III](#)
Cc: [Schultz, James D. EOP/WHO](#)
Subject: RE: CD Request - C. Herndon
Date: Wednesday, May 03, 2017 9:38:29 AM

Good morning Emory,

(b)(3), (4), (5), (6)



Please let me know if you have any additional questions.

Robert L. Saltaformaggio
Ethics Counsel
Office of the White House Counsel

(O) (b) (6) / (C) (b) (6)

(b) (6)

From: Emory A. Rounds III [mailto:earounds@oge.gov]

Sent: Friday, April 28, 2017 10:43 AM

To: Saltaformaggio, Robert L. EOP/WHO (b) (6)

Subject: FW: CD Request - C. Herndon

No attachment found in search

Good morning.

(b)(3), (5), (6)



So, thanks!

Emory

Emory Rounds
Associate Counsel

General Counsel and Legal Policy Division
Ethics Law and Policy Branch
U.S. Office of Government Ethics
1201 New York Avenue, NW, Suite 500
Washington, DC 20005-3917
Telephone: 202-482-9232

From: CD
Sent: Friday, April 28, 2017 9:46 AM
To: Emory A. Rounds III
Subject: FW: CD Request - C. Herndon

Emory,

Please review this new CD request. Please give me a call when you get a chance. (b)(3), (5), (6)

Thanks, Elaine
202-482-9265

From: Saltaformaggio, Robert L. EOP/WHO (b) (6)
Sent: Thursday, April 27, 2017 11:27 AM
To: CD
Cc: Elaine Newton
Subject: CD Request - C. Herndon

No attachment found in search

Good morning Ms. Newton:

The Office of the White House Counsel is forwarding you a request from (b)(5), (6) for a Certificate of Divestiture (CD) for one asset. (b)(5), (6)

Attached, please find the formal request from (b)(5), (6) pursuant to 5 CFR 2634.1005(a) as well as our office's formal request to OGE pursuant to 5 CFR 2634.1005(b). The second attachment is a copy of (b)(5), (6) financial disclosure report which should also be with OGE for certification.

Please do not hesitate to reach out to our office if you need further information.

Robert L. Saltaformaggio
Ethics Counsel
Office of the White House Counsel
(O) (b) (6) / (C) (b) (6)
(b) (6)

From: [REDACTED]
To: [REDACTED]
Subject: [REDACTED]

(b)(4), (6)

2/7 page attachment withheld in full -
(b)(3), (4), (5), (6)

Hi [REDACTED],
I have not responded to this message. Thank you.

nonresponsive emails

[REDACTED]

From: [REDACTED]
To: [REDACTED]
Subject: [REDACTED]

(b)(3), (4), (5), (6)

[REDACTED]

From: [REDACTED]
To: [REDACTED]
Subject: [REDACTED]

(b)(3), (4), (5), (6)

From: [REDACTED]
To: [REDACTED]
Subject: [REDACTED]

(b)(3), (4), (5), (6)

nonresponsive emails

[REDACTED]

From: Teresa L. Williamson
Sent: Tuesday, May 09, 2017 12:17 PM
To: Stefan Passantino (b) (6); James D. Schultz
(b) (6)
Cc: Deborah J. Bortot; Keith Labeledz; Heather A. Jones
Subject: Not PAS

Stefan & Jim,

OGE received (b)(5), (6) report in Integrity today for the position (b)(5), (6)
(b)(5), (6) This position is no longer a PAS position and does not get
processed through OGE.

Thank you.
Teresa

Teresa Williamson
Presidential Nominations Branch
U.S. Office of Government Ethics
1201 New York Avenue, NW - Suite 500
Washington, DC 20005
202-482-9283

From: [De La Vega, Scott](#)
To: [Monica M. G. Ashar](#)
Subject: Spicer
Date: Wednesday, May 10, 2017 3:51:58 PM
Attachments: [Spicer CD Request \(signed\).pdf](#)

2 page attachment withheld in full - (b)
(3), (4), (5), (6)

Scott A. de la Vega
Ethics Counsel
Office of the White House Counsel
(o) (b) (6) / (c) (b) (6)

From: [Elaine Newton](#)
To: ["De La Vega, Scott"](#)
Bcc: [Elaine Newton](#)
Subject: RE: Spicer
Date: Wednesday, May 10, 2017 5:26:19 PM

Good Afternoon,

Are you available tomorrow morning at 9:00 a.m. to discuss the report?

Thanks, Elaine

-----Original Message-----

From: De La Vega, Scott (b) (6)]
Sent: Wednesday, May 10, 2017 4:07 PM
To: Elaine Newton
Subject: Spicer

Hi Elaine -

I met with Sean Spicer yesterday and received the answers to the OGE follow-up questions. Please let me know a good time for us to talk.

Regards,

Scott

Scott A. de la Vega
Ethics Counsel
Office of the White House Counsel
(o) (b) (6) (c) (b) (6)

From: [Saltaformaggio, Robert L. EOP/WHO](#)
To: [Rodrick T. Johnson](#)
Cc: [Elaine Newton](#); [Teresa L. Williamson](#); [Emory A. Rounds III](#)
Subject: RE: Certificate of Divestiture for Charles C. Herndon
Date: Thursday, May 11, 2017 3:02:52 PM

Mr. Johnson,

Confirming receipt.

v/r

Robert L. Saltaformaggio
Ethics Counsel
Office of the White House Counsel
(O) (b) (6) / (C) (b) (6)
(b) (6)

From: Rodrick T. Johnson [mailto:rjohnson@oge.gov]
Sent: Thursday, May 11, 2017 2:58 PM
To: Saltaformaggio, Robert L. EOP/WHO (b) (6)
Cc: Elaine Newton <enewton@oge.gov>; Teresa L. Williamson <tlwillia@oge.gov>; Emory A. Rounds III <earounds@oge.gov>
Subject: Certificate of Divestiture for Charles C. Herndon
Importance: High

No attachment found in search; final
CDs available on OGE's website

Good Afternoon, Mr. Saltaformaggio:

Attached is the Certificate of Divestiture OGE-2017-061 for Charles C. Herndon, Special Assistant to the President and Director of White House Information Technology. Please forward the original certificate to Mr. Herndon. Also enclosed is a copy of the certificate for your files.

The original certificate and one copy for your records will be mailed to you. We would appreciate your forwarding the original certificate to Mr. Herndon, upon receipt.

Please confirm receipt of this email by replying to all.

Thanks, Again
Rodrick Johnson

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From: [Christopher J. Swartz](#)
To: ["Gast, Scott F. EOP/WHO"](#)
Cc: ["De La Vega, Scott"](#)
Subject: Question
Date: Thursday, May 11, 2017 5:45:26 PM

SG-

Just tried to call you on the question we discussed yesterday. I'm leaving for the day, but happy to discuss anytime tomorrow.

Thanks!

CJS

Christopher J. Swartz
Assistant Counsel, Ethics Law and Policy Branch
General Counsel & Legal Policy Division
U.S. Office of Government Ethics
Telephone: 202-482-9266

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From: [Passantino, Stefan C. EOP/WHO](#)
To: [Teresa L. Williamson](#)
Cc: (b)(6) - Cynthia Shaw's email"; [Deborah J. Bortot](#); [Keith Labeledz](#); [Heather A. Jones](#)
Subject: RE: Report released to wrong agency
Date: Thursday, May 11, 2017 5:53:35 PM

I believe this has now been done. Please confirm.

STEFAN C. PASSANTINO
Deputy Counsel to the President, Compliance & Ethics
Office of the White House Counsel

From: Teresa L. Williamson [mailto:tlwillia@oge.gov]
Sent: Tuesday, May 9, 2017 12:30 PM
To: Passantino, Stefan C. EOP/WHO (b) (6); Schultz, James D.
EOP/WHO (b) (6) >
Cc: (b)(6) - Cynthia Shaw's email Deborah J. Bortot
<djbortot@oge.gov>; Keith Labeledz <klabeledz@oge.gov>; Heather A. Jones <hajones@oge.gov>
Subject: RE: Report released to wrong agency

Unfortunately, since the report was routed in Integrity, it cannot be rereleased at this point. A new report has to be created.

The OGE and agency reviewers assigned to the report can continue to work on the pdf version of the report until they get the new version in Integrity.

From: Passantino, Stefan C. EOP/WHO (b) (6)
Sent: Tuesday, May 09, 2017 12:19 PM
To: Teresa L. Williamson; Jim Robertson
Cc: (b)(6) - Cynthia Shaw's email"; Deborah J. Bortot; Keith Labeledz; Heather A. Jones
Subject: RE: Report released to wrong agency

Is this not something that can be "returned" and rereleased?

STEFAN C. PASSANTINO
Deputy Counsel to the President, Compliance & Ethics
Office of the White House Counsel

From: Teresa L. Williamson [<mailto:tlwillia@oge.gov>]
Sent: Tuesday, May 9, 2017 12:13 PM
To: Passantino, Stefan C. EOP/WHO (b) (6); Jim Robertson
<jfrobert@oge.gov>
Cc: (b)(6) - Cynthia Shaw's email Deborah J. Bortot
<djbortot@oge.gov>; Keith Labeledz <klabeledz@oge.gov>; Heather A. Jones <hajones@oge.gov>
Subject: Report released to wrong agency
Importance: High

Stefan & Jim,

(b)(5), (6) was released in Integrity as part of the (b)(5), (6). He should have been released to the (b)(5), (6) Agency. Unfortunately, as the report has been routed within Integrity, a new report needs to be completed by (b)(5), (6).

Would you please ask PPO to assign a new report to (b)(5), (6) and have him pre-populate the report and resubmit.

Thank you.

Teresa

Teresa Williamson
Presidential Nominations Branch
U.S. Office of Government Ethics
1201 New York Avenue, NW - Suite 500
Washington, DC 20005
202-482-9283

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From: [Mabry, Sandra S. EOP/WHO](mailto:Mabry.Sandra.S.EOP/WHO)
To: [Emory A. Rounds III](mailto:Emory.A.Rounds.III)
Subject: RE: (b)(5), (6) COD REQUEST
Date: Friday, May 12, 2017 3:19:43 PM

Thanks, Emory. Hope you have a great weekend, Sandy

-----Original Message-----

From: Emory A. Rounds III [<mailto:earounds@oge.gov>]
Sent: Friday, May 12, 2017 3:16 PM
To: Mabry, Sandra S. EOP/WHO (b) (6) >
Subject: RE: (b)(5), (6) COD REQUEST

Done.

-----Original Message-----

From: Mabry, Sandra S. EOP/WHO (b) (6)
Sent: Friday, May 12, 2017 2:33 PM
To: Emory A. Rounds III
Subject: RE: (b)(5), (6) COD REQUEST

Just forwarded Jim's message to you. You can send it to him now. Sandy

-----Original Message-----

From: Emory A. Rounds III [<mailto:earounds@oge.gov>]
Sent: Friday, May 12, 2017 2:30 PM
To: Mabry, Sandra S. EOP/WHO (b) (6) >
Subject: RE: (b)(5), (6) COD REQUEST

I am going to muse on the questions a bit more and flip them maybe Monday instead . . . Late Friday "sends" tend to get lost anyway . . .

-----Original Message-----

From: Mabry, Sandra S. EOP/WHO (b) (6)
Sent: Friday, May 12, 2017 12:46 PM
To: Emory A. Rounds III
Subject: RE: (b)(5), (6) COD REQUEST

Don't rub it in. Actually, I keep pretty regular hours.

Thinking about 24/7s, if you don't hear from him before you "leave the office," you could send the questions to both of us. Sandy

-----Original Message-----

From: Emory A. Rounds III [<mailto:earounds@oge.gov>]
Sent: Friday, May 12, 2017 12:36 PM
To: Mabry, Sandra S. EOP/WHO (b) (6) >
Subject: RE: (b)(5), (6) COD REQUEST

3:30 . . . unlike you 24/7s . . .

-----Original Message-----

From: Mabry, Sandra S. EOP/WHO (b) (6)]
Sent: Friday, May 12, 2017 12:35 PM
To: Emory A. Rounds III
Subject: RE: (b)(5), (6) COD REQUEST

That's because I checked in with her to see if it had been received. What time are you off the clock today?

-----Original Message-----

From: Emory A. Rounds III [<mailto:earounds@oge.gov>]
Sent: Friday, May 12, 2017 12:25 PM
To: Mabry, Sandra S. EOP/WHO (b) (6)
Subject: RE: (b)(5), (6) COD REQUEST

Thanks!

Elaine had mentioned you as the POC . . .

-----Original Message-----

From: Mabry, Sandra S. EOP/WHO (b) (6)
Sent: Friday, May 12, 2017 12:20 PM
To: Emory A. Rounds III
Subject: RE: (b)(5), (6) COD REQUEST

Emory, I forwarded your message to Jim because I think he's working this one. Please let me know whether or not you hear from him. Thanks, Sandy

-----Original Message-----

From: Emory A. Rounds III [<mailto:earounds@oge.gov>]
Sent: Friday, May 12, 2017 9:24 AM
To: Mabry, Sandra S. EOP/WHO (b) (6) >
Subject: (b)(5), (6) COD REQUEST

Good morning, Sandy.

I will have questions regarding a CD Request from (b)(5), (6) and want to ensure that you are indeed the WHCO POC before sending.

Thanks!

Emory

-----Original Message-----

From: Schultz, James D. EOP/WHO (b) (6)
Sent: Thursday, April 20, 2017 1:21 PM
To: David J. Apol
Cc: Passantino, Stefan C. EOP/WHO
Subject: (b)(5), (6) COD REQUEST

Dave

Please find the attached COD request. Please call with any questions. J

20 page attachment withheld in full -
(b)(3), (4), (5), (6)

JAMES D. SCHULTZ

Senior Associate Counsel and Special Assistant to the President Office of the White House Counsel

(b) (6) (o)
(b) (6) (m)

From: [Gast, Scott F. EOP/WHO](#)
To: [Christopher J. Swartz](#)
Subject: RE: OGE--Update to Gift Reporting/WAG Rule
Date: Wednesday, May 17, 2017 6:32:33 PM

Thanks, Chris

From: Christopher J. Swartz [mailto:cjswartz@oge.gov]
Sent: Wednesday, May 17, 2017 12:57 PM
To: Schultz, James D. EOP/WHO (b) (6); De La Vega, Scott (b) (6); Gast, Scott F. EOP/WHO (b) (6); Baykan, Deniz M. EOP/OVP (b) (6); Mabry, Sandra S. EOP/WHO (b) (6); Simms, Michelle C. EOP/WHO (b) (6)
Subject: OGE--Update to Gift Reporting/WAG Rule

Attachment publicly available in FR

Hi folks-

Tomorrow we are updating the OGE regulations setting forth (1) the WAG dollar threshold for gifts from non-sponsors; and (2) the dollar threshold for reporting of gifts for both public and confidential public financial disclosure reports (OGE Form 278 and OGE Form 450). Attached you will find the public inspection copy of the rule changes that will be published tomorrow. This can be found on the Federal Register website as well.

In a nutshell:

- **WAG:** After publication, the dollar ceiling for gifts from non-sponsors will be raised from \$375 to \$390. The WAG amendments will take effect tomorrow upon publication in the Federal Register and will apply going forward. This law is not retroactive.
- **REPORTING:** After publication, the dollar ceiling will be raised from \$375 to \$390 for the aggregation reporting threshold and \$150 to \$156 for the de minimis exception for reporting gifts. The Public and Confidential Filing thresholds will apply retroactively to the reporting of gifts that were received on or after January 1, 2017. This dollar threshold will be used for employees filing annual reports next year covering CY '17 and termination reports covering CY '17.

Note that for any public or confidential filers who filed an annual report this year covering CY '16 (which were required to be filed as of May 15), the dollar thresholds that apply were those in effect during 2016. As such, those dollar thresholds will be \$375 and \$150, respectively.

As always, if you have any questions, please feel free to let me know!

Chris

Christopher J. Swartz
Assistant Counsel, Ethics Law and Policy Branch
General Counsel & Legal Policy Division
U.S. Office of Government Ethics
Telephone: 202-482-9266

From: [Passantino, Stefan C. EOP/WHO](#)
To: [David J. Apol](#)
Subject: Re: update call
Date: Thursday, May 18, 2017 4:03:14 PM

Sure. Nothing else going on ... :-)

Stefan C. Passantino
Deputy Counsel to the President
Office of the White House Counsel

On May 18, 2017, at 3:47 PM, David J. Apol <djapol@oge.gov> wrote:

Does 5 work for you today?

David J. Apol
General Counsel
U.S. Office of Government Ethics
1201 New York Ave., NW, Suite 500
Washington, DC 20005-3917
(202) 482-9292

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From: [Passantino, Stefan C. EOP/WHO](#)
To: [Teresa L. Williamson](#)
Subject: RE: positions in Integrity
Date: Monday, May 22, 2017 8:35:57 AM

(b)(5), (6)

STEFAN C. PASSANTINO
Deputy Counsel to the President, Compliance & Ethics
Office of the White House Counsel

From: Teresa L. Williamson [mailto:tlwillia@oge.gov]

Sent: Thursday, May 18, 2017 8:13 AM

To: Passantino, Stefan C. EOP/WHO

Subject: FW: positions in Integrity

Good Morning Stefan,

One more (b)(5), (6) came over in Integrity without the full position name. Once you provide I will add to Integrity. Thanks!! Teresa

(b)(5), (6)

From: Teresa L. Williamson

Sent: Wednesday, May 17, 2017 12:11 PM

To: 'Passantino, Stefan C. EOP/WHO'

Subject: positions in Integrity

Stefan,

We just received two (b)(5), (6) in Integrity that don't include the full position name. Would you please provide and I will add to Integrity.

Thanks!!!! Teresa

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From: Daniel L. Skalla
To: Simms, Michelle C. EOP/WHO; George Hancock
Cc: Teresa L. Williamson; Lori Kelly
Subject: RE: WH filers - OGE close reports w/o certification
Date: Monday, May 22, 2017 3:13:27 PM

Hi Michelle

Yes we can delete it. Just to confirm you want us to delete the report highlighted in yellow?

Thanks
Dan

From: Simms, Michelle C. EOP/WHO (b)(6)
Sent: Monday, May 22, 2017 2:57 PM
To: Daniel L. Skalla; George Hancock
Cc: Teresa L. Williamson; Lori Kelly
Subject: RE: WH filers - OGE close reports w/o certification?

Digging into this further, it looks like the first part of your suggestion was done, the filer has two reports in the system

(b)(5), (6)



I can't delete the DAP report (highlighted) is it possible for OGE to delete it?

--Michelle
(b)(6)

From: Daniel L. Skalla [mailto:dlskalla@oge.gov]
Sent: Friday, May 19, 2017 11:29 AM
To: George Hancock <ghancock@oge.gov>; Simms, Michelle C. EOP/WHO (b)(6)
Cc: Teresa L. Williamson <twillia@oge.gov>; Lori Kelly <lkelly@oge.gov>
Subject: RE: WH filers - OGE close reports w/o certification?

As I understand it a filer has been assigned a report under the wrong group (one that comes to OGE when it should not).

As such the filer should be assigned a new report in the correct group which should be prepopulated with the information from the wrong group report then the old report should be deleted. Alternatively the report could be moved on the back-end of Integrity into the proper group. Because this process involves Integrity programming on the back-end it is available once per agency per year and may take several weeks to complete (this is the least favorable of the two options).

Regarding declining to certify OGE will only decline to certify reports that (1) are required to come to OGE and (2) have a valid reason for OGE to decline certification.

Please let me know if you have any additional questions.

Dan Skalla
Chief, Financial Disclosure Branch
Compliance Division
U.S. Office of Government Ethics
1201 New York Ave. NW, Suite 500
Washington, DC 20005-3917
Tel. 202.482.9222
Fax 202.482.9238

Visit OGE's website >www.oge.gov
Follow OGE on Twitter @OfficeGovEthics

From: George Hancock
Sent: Friday, May 19, 2017 10:36 AM
To: Simms, Michelle C. EOP/WHO
Cc: Daniel L. Skalla; Teresa L. Williamson
Subject: RE: WH filers - OGE close reports w/o certification?

Adding Dan Skalla since your question is about OGE closing reports.

Michelle: once the report issue is resolved, you can register the filer in the appropriate place (or remove in (b)(5), (6) case).

Thank you.

From: Simms, Michelle C. EOP/WHO (b)(6)
Sent: Friday, May 19, 2017 10:32 AM
To: Teresa L. Williamson; George Hancock
Subject: RE: WH filer - wrong agency in Integrity

Hey George

This one is about (b)(5), (6). My question is whether his report could be "closed without certification" on the OGE side since certification is not required... we can't do it over here. The same question would be for (b)(5), (6)

--Michelle
(b)(6)

From: Teresa L. Williamson [mailto:twillia@oge.gov]
Sent: Friday, May 19, 2017 10:28 AM
To: George Hancock <ghancock@oge.gov>
Cc: Simms, Michelle C. EOP/WHO (b)(6)
Subject: WH filer - wrong agency in Integrity

Hi George

Would you please reach out to Michelle Simms at the WH at (b)(6). They need assistance with a new entrant filer in Integrity that was entered as a filer with the White House DAP agency (which comes to OGE) and it should have been with the White House SAP agency (which doesn't come to OGE).

Thanks!
Teresa

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From: [Schultz, James D. FOP/WHO](#)
To: [Emory A. Rounds III](#)
Subject: (b)(5), (6)
Date: Monday, May 22, 2017 6:19:59 PM
Attachments: (b)(5), (6) [.xlsx](#)
(b)(5), (6) [.XLS](#)

2 page attachment withheld in full - (b)(3),
(4), (5), (6)

(b)(3), (4), (5), (6)

JAMES D. SCHULTZ
Senior Associate Counsel and Special Assistant to the President
Office of the White House Counsel

(b) (6) (o)
(b) (6) (m)

From: [Simms, Michelle C. EOP/WHO](#)
To: [Christopher J. Swartz](#)
Subject: RE: Question regarding a person who was reassigned
Date: Thursday, May 25, 2017 4:21:06 PM

About to call you re: this

--Michelle

(b) (6)

From: Christopher J. Swartz [mailto:cjswartz@oge.gov]
Sent: Friday, April 21, 2017 3:26 PM
To: Simms, Michelle C. EOP/WHO <(b) (6)>
Subject: RE: Question regarding a person who was reassigned

Hi Michelle-

I am aware of (b)(5), (6) Report. Before I left, I provided Stefan a few options as to how to get the report to OGE through Integrity (b)(5), (6)

(b)(5), (6)
(b)(5), (6)
(b)(5), (6)
(b)(5), (6)

I believe we were under the impression that (b)(5), (6) was always an (b)(6)

Anyways, it may help to ding George again. I will forward the copy to our review team here and they can follow up on substance.

Thanks!

Chris

From: Simms, Michelle C. EOP/WHO (b) (6)
Sent: Friday, April 21, 2017 3:16 PM
To: Christopher J. Swartz
Subject: FW: Question regarding a person who was reassigned

Hi Chris,

9 page attachment withheld in full - (b)(3), (4), (5), (6)

Please see conversation below between Rachel and I regarding whether OGE should review this report. She left me a voicemail that it is not required that OGE review, so we could send a PDF version. Here it is.

--Michelle

(b) (6)

From: Simms, Michelle C. EOP/WHO

Sent: Wednesday, April 19, 2017 8:53 AM

To: Schultz, James D. EOP/WHO (b) (6) (b) (6)

Cc: De La Vega, Scott (b) (6) >; Saltaformaggio, Robert L. EOP/WHO (b) (6); (b)(6) - Sandra Mabry's email

Subject: FW: Question regarding a person who was reassigned

FYSA

--Michelle

(b) (6)

From: Rachel K. Dowell [<mailto:rkdownell@oge.gov>]

Sent: Tuesday, April 18, 2017 4:23 PM

To: Simms, Michelle C. EOP/WHO (b) (6) >

Subject: RE: Question regarding a person who was reassigned

I will check on that and get back to you. Thanks!

From: Simms, Michelle C. EOP/WHO (b) (6)

Sent: Tuesday, April 18, 2017 4:01 PM

To: Rachel K. Dowell

Subject: RE: Question regarding a person who was reassigned

Next question is how? Can we send a PDF? . . . it won't transfer in Integrity

--Michelle

(b) (6)

From: Rachel K. Dowell [<mailto:rkdownell@oge.gov>]

Sent: Tuesday, April 18, 2017 3:59 PM

To: Simms, Michelle C. EOP/WHO (b) (6) >

Subject: RE: Question regarding a person who was reassigned

Hi Michelle,

Yes, OGE will review this report.

Thanks!

Rachel

From: Simms, Michelle C. EOP/WHO (b) (6)

Sent: Tuesday, April 18, 2017 10:48 AM

To: Rachel K. Dowell

Cc: De La Vega, Scott

Subject: Question regarding a person who was reassigned

Hi Rachel,

WH question du jour.

We have a person who filed his NE 278 based on his assignment to (b)(5), (6) position on 1/20/2017. He subsequently was transferred to an (b)(5), (6) position on or around 1/30/2017. IAW [DO-08-040](#) we have reviewed the form in light of the new position.

The question that remains is that (b)(5), (6) positions do not require OGE review, but (b)(5), (6) positions do. Will OGE review be required of his form even though he wasn't originally assigned to that position?

Thanks!!!

V/R
Michelle

Michelle C. Simms
Ethics Counsel
Office of the White House Counsel
(O) (b) (6) (M) (b) (6)
(b) (6)

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From: [Passantino, Stefan C. EOP/WHO](#)
To: [Teresa L. Williamson](#)
Subject: RE: wrong agency possibly
Date: Friday, May 26, 2017 11:02:24 AM

You were correct. Please let me know if I reassigned it correctly within Integrity.

STEFAN C. PASSANTINO

Deputy Counsel to the President, Compliance & Ethics
Office of the White House Counsel

From: Teresa L. Williamson [mailto:tlwillia@oge.gov]

Sent: Friday, May 26, 2017 8:44 AM

To: Passantino, Stefan C. EOP/WHO

Subject: wrong agency possibly

Good Morning Stefan,

Mr. (b)(5), (6) was received in Integrity as (b)(5), (6), if that position is correct, the agency the report was released to is incorrect. The report should be released to the (b)(5), (6)

At this point, OGE hasn't routed the report in Integrity (and I don't believe the agency has) so you should be able to reassign the report to the correct agency without a new report having to be created by (b)(5), (6).

On the other hand, if (b)(5), (6) is under the correct agency, but wrong position, please let me know and I will correct the position in Integrity.

Thanks!

Teresa

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From: [Elaine Newton](#)
To: "De La Vega, Scott"
Subject: RE: (b)(5), (6)
Date: Tuesday, May 30, 2017 7:36:24 AM

Good Morning,

I hope to have an answer by the end of the day.

Thanks, Elaine

From: De La Vega, Scott (b) (6)]
Sent: Thursday, May 25, 2017 5:35 PM
To: Elaine Newton
Subject: RE: (b)(5), (6)

Hi Elaine –

Just checking in to see where we are with getting Sean Spicer's 278 certified. (5), (6)
[REDACTED] ?

Thanks,

Scott

Scott A. de la Vega
Ethics Counsel
Office of the White House Counsel
(o) (b) (6) / (c) (b) (6)

From: Elaine Newton [<mailto:enewton@oge.gov>]
Sent: Wednesday, May 17, 2017 4:23 PM
To: De La Vega, Scott (b) (6)
Subject: (b)(5), (6)

Scott,

No attachment found in search

(b)(3), (4), (5), (6)



From: [Schultz, James D. EOP/WHO](#)
To: [Emory A. Rounds III](#)
Subject: FW: OGE Inquiry regarding COD
Date: Tuesday, May 30, 2017 5:27:29 PM
Attachments: (b) (5), (6)
(b) (5), (6) .XLS

2 page attachment withheld in full
- (b)(3), (4), (5), (6)

Emory – I cannot recall if this was sent to you. Can you review and give me a call. J

JAMES D. SCHULTZ
Senior Associate Counsel and Special Assistant to the President
Office of the White House Counsel

(b) (6) (o)
(b) (6) (m)

nonresponsive emails

nonresponsive emails

From: [Passantino, Stefan C. EOP/WHO](#)
To: [Teresa L. Williamson](#)
Subject: RE: position clarification
Date: Wednesday, May 31, 2017 3:04:49 PM

You are correct. It's (b)(5), (6) and not (b)(5), (6). Please make that change.

STEFAN C. PASSANTINO

Deputy Counsel to the President, Compliance & Ethics
Office of the White House Counsel

From: Teresa L. Williamson [mailto:tlwillia@oge.gov]

Sent: Wednesday, May 31, 2017 11:14 AM

To: Passantino, Stefan C. EOP/WHO

Subject: position clarification

Hi Stefan,

I wanted to get clarification on the position for (b)(5), (6) in Integrity. The position currently says (b)(5), (6). I believe the position should be (b)(5), (6). Please let me know if I can go ahead and make this change.

(b)(5), (6)

Thanks! Teresa

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From: [Christopher J. Swartz](#)
To: [Nicole Stein](#)
Subject: FW: 208 Waivers
Date: Wednesday, May 31, 2017 6:45:39 PM
Attachments: [Liddell.pdf](#)
[Augustine.pdf](#)

Attachments available on WH website

FYI: As per my voicemail.

Chris

-----Original Message-----

From: Schultz, James D. EOP/WHO (b) (6)
Sent: Wednesday, May 31, 2017 6:29 PM
To: Christopher J. Swartz
Subject: 208 Waivers

No attachment found in search

Chris

Per our conversation earlier today, attached are copies of the 208 waivers for Liddell and Augustine. J

JAMES D. SCHULTZ

Senior Associate Counsel and Special Assistant to the President Office of the White House Counsel

(b) (6) (o)
(b) (6) (m)

From: [Heather A. Jones](#)
To: [David J. Apol](#)
Subject: Stefan update
Date: Friday, June 02, 2017 8:51:47 AM

I have a meeting at 11. Do you want to meet at 10:30?

Heather Jones
(202) 482-9316
Office of Government Ethics

Visit OGE's website: www.oge.gov
Follow OGE on Twitter: [@OfficeGovEthics](#)

From: [Schultz, James D. EOP/WHO](#)
To: [David J. Apol](#)
Subject: Saw you called
Date: Friday, June 02, 2017 6:36:39 PM

Let me know a good time.

James D. Schultz
Senior Associate Counsel and Special Assistant to the President
Office of White House Counsel
(b) (6) (m)

From: [Schultz, James D. EOP/WHO](#)
To: [Reese, Christopher M. EOP/WHO](#)
Cc: [David J. Apol](#)
Subject: Re: OGE Contact
Date: Tuesday, June 06, 2017 4:29:37 PM

Dave Apol may be able to help. He is copied.

James D. Schultz
Senior Associate Counsel and Special Assistant to the President
Office of White House Counsel

(b) (6) (m)

> On Jun 6, 2017, at 3:22 PM, Reese, Christopher M. EOP/WHO (b) (6) > wrote:

>

> Good afternoon James,

>

> I'm looking for a contact at OGE who (b)(5), (6) .

> Do you have any information?

>

> Thanks in advance.

>

> Chris Reese

> White House Management & Administration

> Executive Office of the President

(b) (6)

From: [Schultz, James D. EOP/WHO](#)
To: [David J. Apol](#)
Subject: Fwd: Seventeen Nominations Sent to the Senate Today
Date: Tuesday, June 06, 2017 4:29:54 PM

James D. Schultz
Senior Associate Counsel and Special Assistant to the President
Office of White House Counsel
(b) (6)

Begin forwarded message:

From: White House Press Office <whitehouse-noreply@messages.whitehouse.gov>
Date: June 6, 2017 at 4:22:40 PM EDT
To: (b) (6) >
Subject: Seventeen Nominations Sent to the Senate Today
Reply-To: <whitehouse-noreply@messages.whitehouse.gov>

THE WHITE HOUSE
Office of the Press Secretary

FOR IMMEDIATE RELEASE

June 6, 2017

NOMINATIONS SENT TO THE SENATE:

Brian Allen Benczkowski, of Virginia, to be an Assistant Attorney General, vice Leslie Ragon Caldwell.

David Steele Bohigian, of Missouri, to be Executive Vice President of the Overseas Private Investment Corporation, vice Mimi E. Alemayehou.

Steven Gill Bradbury, of Virginia, to be General Counsel of the Department of Transportation, vice Kathryn B. Thomson, resigned.

Annie Caputo, of Virginia, to be a Member of the Nuclear Regulatory Commission for the term of five years expiring June 30, 2022, vice William Charles Ostendorff, term expired.

Robert Charrow, of Maryland, to be General Counsel of the Department of Health and Human Services, vice William B. Schultz.

Carlos G. Muñiz, of Florida, to be General Counsel, Department of Education, vice James Cole, Jr.

Peter Louis Oppenheim, of Maryland, to be Assistant Secretary for Legislation and Congressional Affairs, Department of Education, vice Julius Lloyd Horwich.

Joseph Otting, of Nevada, to be Comptroller of the Currency for a term of five years, vice Thomas J. Curry, term expired.

Stephen Parente, of Minnesota, to be an Assistant Secretary of Health and Human Services, vice Richard G. Frank.

David P. Pekoske, of Maryland, to be an Assistant Secretary of Homeland Security, vice Peter V. Neffenger.

Richard V. Spencer, of Wyoming, to be Secretary of the Navy, vice Raymond Edwin Mabus, Jr.

Charles Douglas Stimson, of Virginia, to be General Counsel of the Department of the Navy, vice Paul Luis Oostburg Sanz.

Kristine L. Svinicki, of Virginia, to be a Member of the Nuclear Regulatory Commission for the term of five years expiring June 30, 2022. (Reappointment)

Elizabeth Erin Walsh, of the District of Columbia, to be Assistant Secretary of Commerce and Director General of the United States and Foreign Commercial Service, vice Arun Madhavan Kumar.

Ray Washburne, of Texas, to be President of the Overseas Private Investment Corporation, vice Elizabeth L. Littlefield.

Owen West, of Connecticut, to be an Assistant Secretary of Defense, vice Michael D. Lumpkin.

David Wright, of South Carolina, to be a Member of the Nuclear Regulatory Commission for the term of five years expiring June 30, 2020, vice Jeffery Martin Baran, resigned.

From: [Elaine Newton](#)
To: [Patrick J. Lightfoot](#)
Subject: FW: See attached.
Date: Wednesday, June 07, 2017 11:29:00 AM

-----Original Message-----

From: Schultz, James D. EOP/WHO (b) (6)
Sent: Tuesday, June 06, 2017 12:04 PM
To: David J. Apol
Cc: Elaine Newton
Subject: RE: See attached.

Thank you. Elaine, I will be the point of contact on this; however, the appointee is represented by private counsel as well. J

JAMES D. SCHULTZ

Senior Associate Counsel and Special Assistant to the President Office of the White House Counsel

(b) (6) (o)
(b) (6) (m)

-----Original Message-----

From: David J. Apol [<mailto:djapol@oge.gov>]
Sent: Tuesday, June 6, 2017 12:02 PM
To: Schultz, James D. EOP/WHO (b) (6)
Cc: Elaine Newton <enewton@oge.gov>
Subject: RE: See attached.

Jim,

I'm forwarding this to Elaine to assign. We will get back to you.

Dave

-----Original Message-----

From: Schultz, James D. EOP/WHO (b) (6)
Sent: Monday, June 05, 2017 7:49 PM
To: David J. Apol
Subject: See attached.

JAMES D. SCHULTZ

Senior Associate Counsel and Special Assistant to the President Office of the White House Counsel

(b) (6) (o)
(b) (6) (m)

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From: [Elaine Newton](#)
To: [Patrick J. Lightfoot](#)
Subject: FW: See attached.
Date: Wednesday, June 07, 2017 11:29:10 AM
Attachments: [CONWAY COD PACKAGE.PDF](#)

6 page attachment withheld in full -
(b)(3), (4), (5), (6)

-----Original Message-----

From: David J. Apol
Sent: Wednesday, June 07, 2017 11:16 AM
To: Elaine Newton
Subject: FW: See attached.

Sorry. Here it is

-----Original Message-----

From: Schultz, James D. EOP/WHO (b) (6)
Sent: Monday, June 05, 2017 7:49 PM
To: David J. Apol
Subject: See attached.

JAMES D. SCHULTZ

Senior Associate Counsel and Special Assistant to the President Office of the White House Counsel

(b) (6) (o)
(b) (6) (m)

From: [Passantino, Stefan C. EOP/WHO](#)
To: [Elaine Newton](#); [De La Vega, Scott](#)
Cc: [Monica M. G. Ashar](#); [Teresa L. Williamson](#); [Rodrick T. Johnson](#)
Subject: RE: Certificate of Divestiture for Sean M. Spicer
Date: Wednesday, June 07, 2017 2:33:51 PM

Received. Thank you.

STEFAN C. PASSANTINO
Deputy Counsel to the President, Compliance & Ethics
Office of the White House Counsel

From: Elaine Newton [mailto:enewton@oge.gov]
Sent: Wednesday, June 7, 2017 2:31 PM
To: Passantino, Stefan C. EOP/WHO (b) (6) >; De La Vega, Scott (b) (6) >
Cc: Monica M. G. Ashar <mmgashar@oge.gov>; Teresa L. Williamson <tlwillia@oge.gov>; Rodrick T. Johnson <rjohnson@oge.gov>; Elaine Newton <enewton@oge.gov>
Subject: Certificate of Divestiture for Sean M. Spicer

Good Afternoon:

Final CD available on OGE's website

Attached is Certificate of Divestiture OGE-2017-059 for Sean M. Spicer, Assistant to the President and White House Press Secretary. Please forward the certificate to Mr. Spicer.

The original certificate and one copy for your records will be mailed to you. We would appreciate your forwarding the original certificate to Mr. Spicer, upon receipt.

Please confirm receipt of this email by replying to all.

Thank you,
Elaine Newton

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From: [Patrick J. Lightfoot](#)
To: ["Schultz, James D. EOP/WHO"](#)
Subject: RE: Conway CD request
Date: Thursday, June 08, 2017 3:09:06 PM

Hi Jim,

Below are my follow-up questions/comments on Ms. Conway's CD request:

(b)(3), (5), (6)



Thanks!

--Patrick

--

Patrick J. Lightfoot
Assistant Counsel, Ethics Law & Policy Branch
General Counsel & Legal Policy Division

U.S. Office of Government Ethics
1201 New York Ave NW, Suite 500
Washington, DC 20005
Telephone: (202) 482-9271

Visit OGE's website: www.oge.gov
Follow OGE on Twitter: @OfficeGovEthics

From: [De La Vega, Scott](#)
To: [Emory A. Rounds III](#)
Subject: (b) (6)
Date: Friday, June 09, 2017 11:06:00 AM

Hi Emory -

(5), (6)

Would you be able

to give us a call at some point to discuss?

Scott A. de la Vega
Ethics Counsel
Office of the White House Counsel
(o) (b) (6) (c) (b) (6)

From: [Heather A. Jones](#)
To: [Teresa L. Williamson](#); [Deborah J. Bortot](#)
Subject: FW: name change for ppo
Date: Friday, June 09, 2017 2:52:39 PM

FYI

From: Passantino, Stefan C. EOP/WHO (b) (6)
Sent: Friday, June 09, 2017 2:33 PM
To: Heather A. Jones
Subject: RE: name change for ppo

Ok. Also, (b)(5), (6) is going to State as the (b)(5), (6)
(b)(5), (6) The Integrity file will need to be cleaned up.

STEFAN C. PASSANTINO
Deputy Counsel to the President, Compliance & Ethics
Office of the White House Counsel

From: Heather A. Jones [<mailto:hajones@oge.gov>]
Sent: Friday, June 9, 2017 2:28 PM
To: Passantino, Stefan C. EOP/WHO (b) (6)
Subject: RE: name change for ppo

I think we can, thanks

From: Passantino, Stefan C. EOP/WHO (b) (6)
Sent: Friday, June 09, 2017 11:23 AM
To: Heather A. Jones
Subject: RE: name change for ppo

The name has been corrected. I can't edit the position, however. Can you do that?

STEFAN C. PASSANTINO
Deputy Counsel to the President, Compliance & Ethics
Office of the White House Counsel

From: Heather A. Jones [<mailto:hajones@oge.gov>]
Sent: Thursday, June 8, 2017 4:56 PM
To: Passantino, Stefan C. EOP/WHO <(b) (6)>
Subject: RE: name change for ppo

His position is Position: (b)(5), (6)

From: Heather A. Jones
Sent: Thursday, June 08, 2017 4:54 PM

To: Stefan Passantino (b) (6)
Subject: FW: name change for ppo
Importance: High

Can you please ask PPO to create a new report for (b)(5), (6) ? His name is incorrect.

The correct name is (b)(5), (6)

Thanks,
Heather

From: Jim Robertson
Sent: Thursday, June 08, 2017 4:18 PM
To: Heather A. Jones
Subject: name change for ppo

The correct name is: (b)(5), (6)

Currently: (b)(5), (6)

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From: [Passantino, Stefan C. EOP/WHO](#)
To: [Teresa L. Williamson](#)
Subject: RE: (b)(5), (6)
Date: Monday, June 12, 2017 2:01:12 PM

You too.

STEFAN C. PASSANTINO
Deputy Counsel to the President, Compliance & Ethics
Office of the White House Counsel

-----Original Message-----

From: Teresa L. Williamson [<mailto:twillia@oge.gov>]
Sent: Monday, June 12, 2017 2:00 PM
To: Passantino, Stefan C. EOP/WHO (b) (6) >
Subject: RE: (b)(5), (6)

Thanks!!! Have a great day!!!

-----Original Message-----

From: Passantino, Stefan C. EOP/WHO (b) (6)
Sent: Monday, June 12, 2017 1:59 PM
To: Teresa L. Williamson
Subject: (b)(5), (6)

I just pushed (b)(5), (6) 278 through and noticed the relevant district was not included. He is being considered for the (b)(5), (6)

STEFAN C. PASSANTINO
Deputy Counsel to the President, Compliance & Ethics Office of the White House Counsel

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From: [Deborah J. Bortot](#)
To: ["Passantino, Stefan C. EOP/WHO"](#)
Cc: [Heather A. Jones](#); [Keith Labeledz](#); [George Hancock](#); [Mabry, Sandra S. EOP/WHO](#); [Teresa L. Williamson](#)
Subject: RE: position clarification
Date: Tuesday, June 13, 2017 12:36:27 PM

Stefan,

The official source for identifying political positions in the executive branch is the publication titled, "United States Government Policy and Supporting Positions." This publication, commonly known as the Plum Book, is published alternately by the Senate and the House committees after each Presidential election.

To assist the Presidential Transition Team, OGE compiled an unofficial Excel workbook listing the civilian Presidentially appointed, Senate-confirmed positions traditionally subject to OGE's review in the nomination process.

See the " (Unofficial) Excel workbook listing positions for which OGE reviews nominees" on the Presidential Transition page of OGE's website. Here is a link:

<https://www.oge.gov/web/oge.nsf/Resources/PRESIDENTIAL+TRANSITION>

Thanks,
Deb

From: Passantino, Stefan C. EOP/WHO (b) (6)
Sent: Tuesday, June 13, 2017 11:56 AM
To: Teresa L. Williamson
Cc: Heather A. Jones; Deborah J. Bortot; Keith Labeledz; George Hancock; Mabry, Sandra S. EOP/WHO
Subject: RE: position clarification

Thank you. I am also working with PPO to ensure position titles are fully and accurately entered into Integrity. Unfortunately, by the time the form gets to me, and I see the error, I have no ability to make the edit and have to send the form on to you (b)(5), (6) being an example). Is there possibly a master index of PAS position titles you have that I could forward along to PPO to make everyone's life easier?

STEFAN C. PASSANTINO
Deputy Counsel to the President, Compliance & Ethics
Office of the White House Counsel

From: Teresa L. Williamson [<mailto:tlwillia@oge.gov>]
Sent: Tuesday, June 13, 2017 11:23 AM
To: Passantino, Stefan C. EOP/WHO <(b) (6)>
Cc: Heather A. Jones <hajones@oge.gov>; Deborah J. Bortot <djbortot@oge.gov>; Keith Labeledz <klabeledz@oge.gov>; George Hancock <ghancock@oge.gov>
Subject: RE: position clarification

Stefan,

I will correct (b)(5), (6) title in Integrity showing him as the (b)(5), (6)

The (b)(5), (6) is a PA position, not a PAS position, therefore as you know the report is not processed through OGE. The (b)(5), (6) files an OGE-278.

George Hancock of our office would be able to provide assistance with how to handle (b)(5), (6) Integrity report for PA processing. He can be reached at 202-482-9309 or by email at ghancock@oge.gov.

Thanks
Teresa

From: Passantino, Stefan C. EOP/WHO (b) (6)
Sent: Monday, June 12, 2017 4:12 PM
To: Teresa L. Williamson
Cc: Heather A. Jones; Deborah J. Bortot
Subject: RE: position clarification

Actually, I show (b)(5), (6). Are you able to make that change on your end? Also, (b)(5), (6) just files a 450, correct?

STEFAN C. PASSANTINO
Deputy Counsel to the President, Compliance & Ethics
Office of the White House Counsel

From: Teresa L. Williamson [<mailto:twillia@oge.gov>]
Sent: Monday, June 12, 2017 2:19 PM
To: Passantino, Stefan C. EOP/WHO (b) (6)
Cc: Heather A. Jones <hajones@oge.gov>; Deborah J. Bortot <djbortot@oge.gov>
Subject: position clarification

Stefan,

We received (b)(5), (6) report in Integrity, would you confirm his position and agency are correct. If the information is correct in Integrity, I don't believe the report comes to OGE for processing. OGE only processes the position (b)(5), (6) (report for (b)(5), (6) in Integrity for that position).

Thank you.
Teresa

From: [Passantino, Stefan C. EOP/WHO](#)
To: [Teresa L. Williamson](#)
Subject: RE: position clarification - (b)(5), (6)
Date: Tuesday, June 13, 2017 4:33:45 PM

The position is: (b)(5), (6)

Our regular person in PPO is now back from vacation and I am hoping the need for corrections is significantly reduced.

STEFAN C. PASSANTINO

Deputy Counsel to the President, Compliance & Ethics
Office of the White House Counsel

From: Teresa L. Williamson [mailto:tlwillia@oge.gov]

Sent: Tuesday, June 13, 2017 9:06 AM

To: Passantino, Stefan C. EOP/WHO

Subject: position clarification - (b)(5), (6)

Good Morning Stefan,

We received (b)(5), (6) in Integrity as an (b)(5), (6). Would you please provide his fill title so that I can add to Integrity.

Thanks!

Teresa

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From: [Passantino, Stefan C. EOP/WHO](#)
To: [Teresa L. Williamson](#)
Subject: RE: position clarification - (b)(5), (6)
Date: Thursday, June 15, 2017 2:08:22 PM

(b)(5), (6) is a Member of the (b)(5), (6). We are still working through catching some data entry issues caused when our lead was on vacation. I am hoping we have this sorted out. Also, I just released (b)(5), (6) to (b)(5), (6) as the agency was omitted on the 278.

STEFAN C. PASSANTINO

Deputy Counsel to the President, Compliance & Ethics
Office of the White House Counsel

From: Teresa L. Williamson [mailto:tlwillia@oge.gov]

Sent: Thursday, June 15, 2017 8:43 AM

To: Passantino, Stefan C. EOP/WHO

Subject: position clarification - (b)(5), (6)

Good Morning Stefan,

Would you please confirm the position for (b)(5), (6)

Thanks! Teresa

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From: [Deborah J. Bortot](#)
To: ["Passantino, Stefan C. EOP/WHO"](#)
Subject: see attachment 1 of 2
Date: Friday, June 16, 2017 2:50:33 PM
Attachments: [Trump, Donald J. final278 pages 1-51.pdf](#)

51 page attachment withheld in full - (b)(3), (4), (5), (6)

From: [Deborah J. Bortot](#)
To: ["Passantino, Stefan C. EOP/WHO"](#)
Subject: see attachment 2 of 2
Date: Friday, June 16, 2017 2:55:24 PM
Attachments: [Trump, Donald J. final278 Part2 Exhibit A.pdf](#)

47 page attachment withheld in full - (b)
(3), (4), (5), (6)

From: [Christopher J. Swartz](#)
To: "[Gast, Scott F. EOP/WHO](#)"; "[De La Vega, Scott](#)"
Cc: "[Schultz, James D. EOP/WHO](#)"; "(b)(6) - Sandra Mabry's email"; "[Simms, Michelle C. EOP/WHO](#)"
Subject: FW: OGE Announces June 27 & 29 Massive Open Online Course: Widely Attended Gatherings
Date: Wednesday, June 21, 2017 9:50:19 AM

All-

I wanted to make you aware of this course that OGE will be holding online. The course covers application of the WAG exception, which as you know, was recently updated as of January 1, 2017. Although I know that schedules can be tight, I tend to think that these courses can be exceptionally beneficial not only in thinking through the rules, but also considering how to assess programmatic ways to implement the rules.

As always, please let me know if you have any questions-

Very best,
Chris

Christopher J. Swartz
Assistant Counsel, Ethics Law and Policy Branch
General Counsel & Legal Policy Division
U.S. Office of Government Ethics
Telephone: 202-482-9266

Visit OGE's website: www.oge.gov
Follow OGE on Twitter: @OfficeGovEthics

From: News and Info for Ethics Officials [mailto:oge-ethicsinfo@LISTSERV.GSA.GOV] **On Behalf Of** Ethics Mailinglist
Sent: Tuesday, June 20, 2017 6:12 PM
To: OGE-ETHICSINFO@LISTSERV.GSA.GOV
Subject: OGE Announces June 27 & 29 Massive Open Online Course: Widely Attended Gatherings

Massive Open Online Course (MOOC): Widely Attended Gatherings

Widely attended gatherings (WAG) determinations are some of the most complicated gift analyses ethics officials routinely face. The IEG is conducting a new Widely Attended Gathering Massive Open Online Course to give ethics officials an opportunity to practice applying the updated standards in Subpart B of the Standards of Conduct.

The class consists of two sessions. The first session on Tuesday, June 27th will be an introduction to the regulation and the worksheet, along with instructions for the exercise. Participants will then have two days to complete the exercise. On Thursday, June 29th IEG instructors will debrief the exercise and allow time for questions from participants. We strongly encourage intra-office collaboration (and competition) for the exercise. Sign up with your colleagues and work together to test your skills!

Click on the link below to enroll in the distance learning event as soon possible but no later than COB June 26th. You will receive the log in information via email an hour before the event. Please contact TrainingRegistration@oge.gov if you have registration questions.

Length: 2 days
Date: Tuesday, June 27, 2017 & Thursday, June 29, 2017
Time: 12:00 p.m. – 2:00 p.m. (Eastern - both days)
To Register: <https://community.max.gov/x/Y4lxT>

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From: [Teresa L. Williamson](#)
To: [Keith Labeledz](#)
Subject: FW: position clarification - (b)(5), (6)
Date: Thursday, June 22, 2017 10:40:00 AM

Fyi – I've updated the position list.:)

From: Passantino, Stefan C. EOP/WHO (b) (6)
Sent: Wednesday, June 21, 2017 5:00 PM
To: Teresa L. Williamson
Subject: RE: position clarification - (b)(5), (6)

I am advised that (b)(5), (6) is re-titling the position formerly known as (b)(5), (6).
(b)(5), (6) It is correct as entered. Thank you.

STEFAN C. PASSANTINO
Deputy Counsel to the President, Compliance & Ethics
Office of the White House Counsel

From: Teresa L. Williamson [<mailto:tlwillia@oge.gov>]
Sent: Wednesday, June 21, 2017 11:35 AM
To: Passantino, Stefan C. EOP/WHO
Subject: position clarification - (b)(5), (6)

Hi Stefan,

We received (b)(5), (6) report in Integrity and wanted to confirm her position is correct. Could you tell me whether this a title change from one of the existing (b)(5), (6) or if it is a brand new use of the available (b)(5), (6)

Thanks
Teresa

Teresa Williamson
Presidential Nominations Branch
U.S. Office of Government Ethics
1201 New York Avenue, NW - Suite 500
Washington, DC 20005
202-482-9283

OGE Confidential Notice: This message may contain Controlled Unclassified Information (CUI) that requires safeguarding or dissemination control under applicable law, regulation, or Government-

From: [Passantino, Stefan C. EOP/WHO](#)
To: [David J. Apol](#)
Subject: RE: (b)(5), (6)
Date: Thursday, June 22, 2017 5:22:43 PM

No, thank you.

STEFAN C. PASSANTINO
Deputy Counsel to the President, Compliance & Ethics
Office of the White House Counsel

-----Original Message-----

From: David J. Apol [<mailto:djapol@oge.gov>]
Sent: Thursday, June 22, 2017 4:20 PM
To: Passantino, Stefan C. EOP/WHO (b) (6)
Subject: RE: (b)(5), (6)

Stefan,

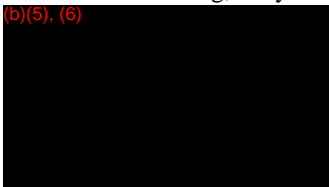
We have got the information on these four and passed it on to Sandy. (B, B, B, and A respectively). Do you want to discuss them more before tomorrow's call?

Dave

-----Original Message-----

From: Passantino, Stefan C. EOP/WHO [(b) (6)]
Sent: Thursday, June 22, 2017 9:21 AM
To: David J. Apol
Cc: Mabry, Sandra S. EOP/WHO
Subject: (b)(5), (6)

Good morning, are you able to provide the preclearance status of the following candidates:

(b)(5), (6)


STEFAN C. PASSANTINO
Deputy Counsel to the President, Compliance & Ethics Office of the White House Counsel

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From: [Passantino, Stefan C. EOP/WHO](#)
To: [Teresa L. Williamson](#)
Subject: (b)(5), (6)
Date: Thursday, June 22, 2017 6:01:54 PM

I have identified one more. (b)(5), (6) is put up for the (b)(5), (6). I have been in communication with (b)(5) about these Integrity entries and have passed the word. There may be a few more in the pipeline but these entries will be corrected going forward.

STEFAN C. PASSANTINO
Deputy Counsel to the President, Compliance & Ethics
Office of the White House Counsel

-----Original Message-----

From: Passantino, Stefan C. EOP/WHO
Sent: Thursday, June 22, 2017 3:06 PM
To: 'Teresa L. Williamson' <tlwillia@oge.gov>
Subject: (b)(5), (6)

I'm sorry, I have another. (b)(5), (6) is being put up for the (b)(5), (6).

STEFAN C. PASSANTINO
Deputy Counsel to the President, Compliance & Ethics
Office of the White House Counsel

-----Original Message-----

From: Teresa L. Williamson [<mailto:tlwillia@oge.gov>]
Sent: Thursday, June 22, 2017 1:27 PM
To: Passantino, Stefan C. EOP/WHO (b) (6)
Subject: RE: (b)(5), (6)

Thanks! I will update the position.

-----Original Message-----

From: Passantino, Stefan C. EOP/WHO (b) (6)
Sent: Thursday, June 22, 2017 1:18 PM
To: Teresa L. Williamson
Subject: (b)(5), (6)

We do not have a (b)(5), (6) associated with (b)(5), (6) in Integrity. He is being put up for the (b)(5), (6).

STEFAN C. PASSANTINO
Deputy Counsel to the President, Compliance & Ethics Office of the White House Counsel

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From: [Passantino, Stefan C. EOP/WHO](#)
To: [Teresa L. Williamson](#)
Subject: (b)(5), (6)
Date: Friday, June 23, 2017 8:16:26 AM

(b)(5), (6) is being put up for (b)(5), (6).

STEFAN C. PASSANTINO
Deputy Counsel to the President, Compliance & Ethics
Office of the White House Counsel

From: [Nominees](#)
To: "[Elston, Michael J - Washington, DC](#)"; [De La Vega, Scott](#); [Nominees](#); [Elaine Newton](#)
Cc: [Passantino, Stefan C. EOP/WHO](#)
Subject: RE: Confidential Nominee 278 - (b)(5), (6)
Date: Monday, June 26, 2017 8:30:09 AM
Attachments: [image001.png](#)

No attachment found in search

Good Morning,

Nominee, (b)(5), (6), has been assigned to OGE Reviewer:

Elaine Newton
202-482-9265
enewton@oge.gov

Thank you.

Teresa Williamson
Presidential Nominations Branch
U.S. Office of Government Ethics
1201 New York Avenue, NW – Suite 500
Washington, DC 20005
Teresa.Williamson@oge.gov
(202) 482-9283

nonresponsive emails

From: De La Vega, Scott (b) (6)

Sent: Friday, June 23, 2017 2:20 PM

To: Elston, Michael J - Washington, DC (b) (6); 'nominees@oge.gov'
<nominees@oge.gov>

Cc: Passantino, Stefan C. EOP/WHO (b) (6)

Subject: Confidential Nominee 278

Importance: High

No attachment found in search

Attached, please find both an Excel and PDF version of (b)(5), (6) financial disclosure report. (b)(5), (6) is not public information at this point.

Thank you for your careful review of the report.

Regards,

Scott A. de la Vega

Ethics Counsel

Office of the White House Counsel

(o) (b) (6) / (c) (b) (6)

From: [Nominees](#)
To: "De La Vega, Scott"; (b)(6) - sa.quirk's email; [Nominees; Rachel K. Dowell](#)
Cc: [Passantino, Stefan C. EOP/WHO](#)
Subject: RE: Confidential Nominee Report - (b)(5), (6)
Date: Monday, June 26, 2017 8:34:46 AM

Good Morning,

Nominee, (b)(5), (6), has been assigned to OGE Reviewer:
[Rachel Dowell](#)
[202-482-9267](#)
rkdowell@oge.gov

Thank you.

Teresa Williamson
Presidential Nominations Branch
U.S. Office of Government Ethics
1201 New York Avenue, NW – Suite 500
Washington, DC 20005
Teresa.Williamson@oge.gov
(202) 482-9283

From: De La Vega, Scott (b) (6)]
Sent: Friday, June 23, 2017 2:26 PM
To: (b)(6) - sa.quirk's email; Nominees
Cc: Passantino, Stefan C. EOP/WHO
Subject: Confidential Nominee Report

No attachment found in search

Attached, please find a PDF version of (b)(5), (6) financial disclosure report. (b)(5), (6)
potential nomination to the (b)(5), (6) is not public information
at this point.

Thank you for your careful review of the report.

Regards,

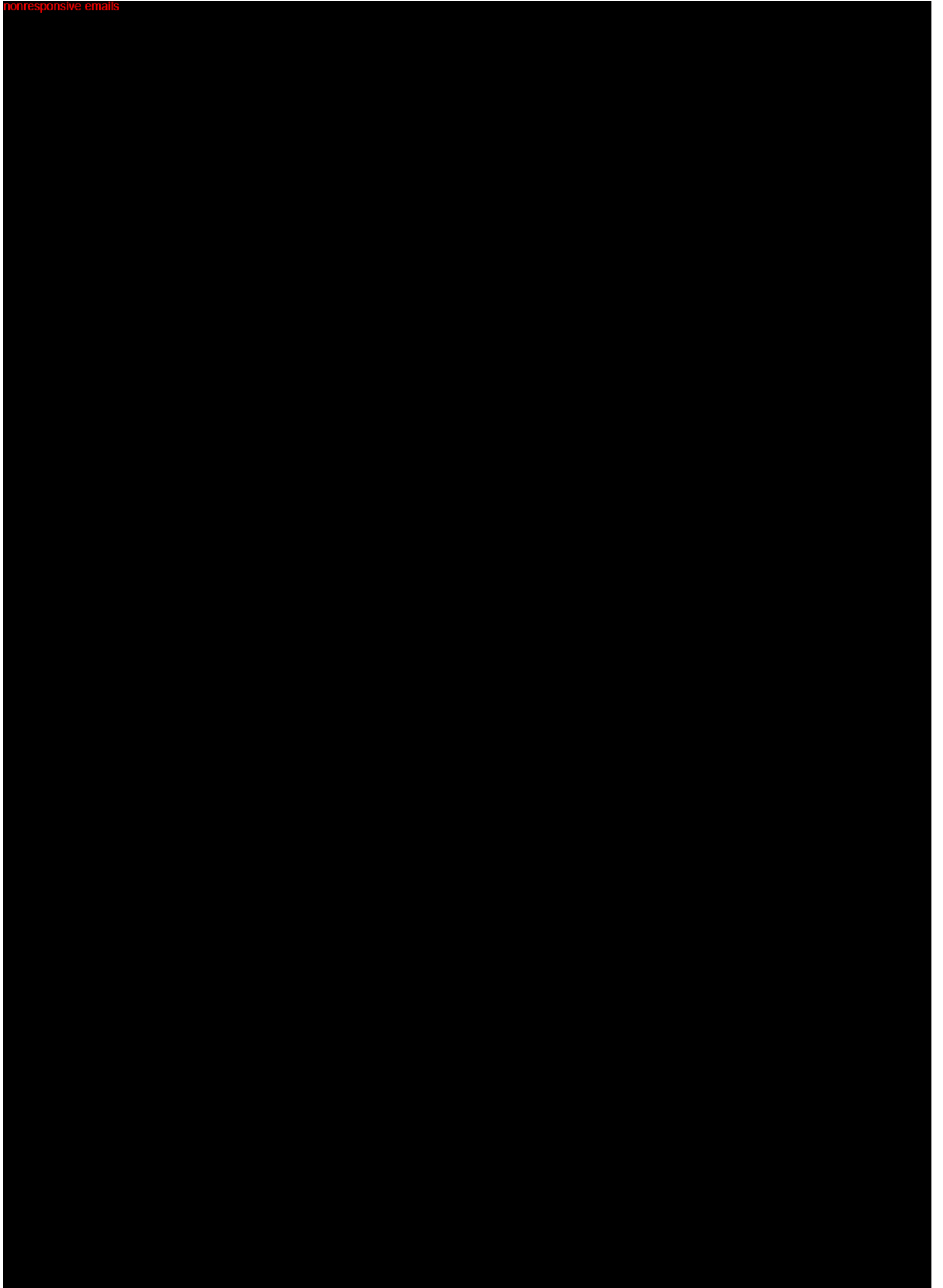
Scott A. de la Vega
Ethics Counsel
Office of the White House Counsel
(o) (b) (6) / (c) (b) (6)

From: [De La Vega, Scott](#)
To: [Rachel K. Dowell](#)
Subject: FW: K Juster - [REDACTED]
Date: Monday, June 26, 2017 10:56:49 AM

nonresponsive emails



nonresponsive emails



From: Passantino, Stefan C. EOP/WHO
To: Teresa L. Williamson
Subject: RE: (b)(5), (6)
Date: Monday, June 26, 2017 2:11:31 PM

Yes, confirmed.
STEFAN C. PASSANTINO
Deputy Counsel to the President, Compliance & Ethics
Office of the White House Counsel

From: Teresa L. Williamson [mailto:tlwillia@oge.gov]
Sent: Monday, June 26, 2017 12:21 PM
To: Passantino, Stefan C. EOP/WHO
Subject: RE: (b)(5), (6)
Would you confirm (b)(5), (6) position, Integrity has (b)(5), (6). I'm guessing that is (b)(5), (6). Let me know and I'll add to Integrity.
Thanks! Teresa

From: Passantino, Stefan C. EOP/WHO (b) (6)
Sent: Monday, June 26, 2017 10:55 AM
To: Teresa L. Williamson
Subject: RE (b)(5), (6) Nominees

You too.
STEFAN C. PASSANTINO
Deputy Counsel to the President, Compliance & Ethics
Office of the White House Counsel

From: Teresa L. Williamson [mailto:tlwillia@oge.gov]
Sent: Monday, June 26, 2017 10:52 AM
To: Passantino, Stefan C. EOP/WHO (b) (6)
Subject: RE: (b)(5), (6) Nominees
Thanks!! Have a great Monday! Teresa

From: Passantino, Stefan C. EOP/WHO (b) (6)
Sent: Monday, June 26, 2017 10:51 AM
To: Teresa L. Williamson
Subject: RE: (b)(5), (6) Nominees

We have one more - (b)(5), (6). Releasing his report now.

STEFAN C. PASSANTINO
Deputy Counsel to the President, Compliance & Ethics
Office of the White House Counsel

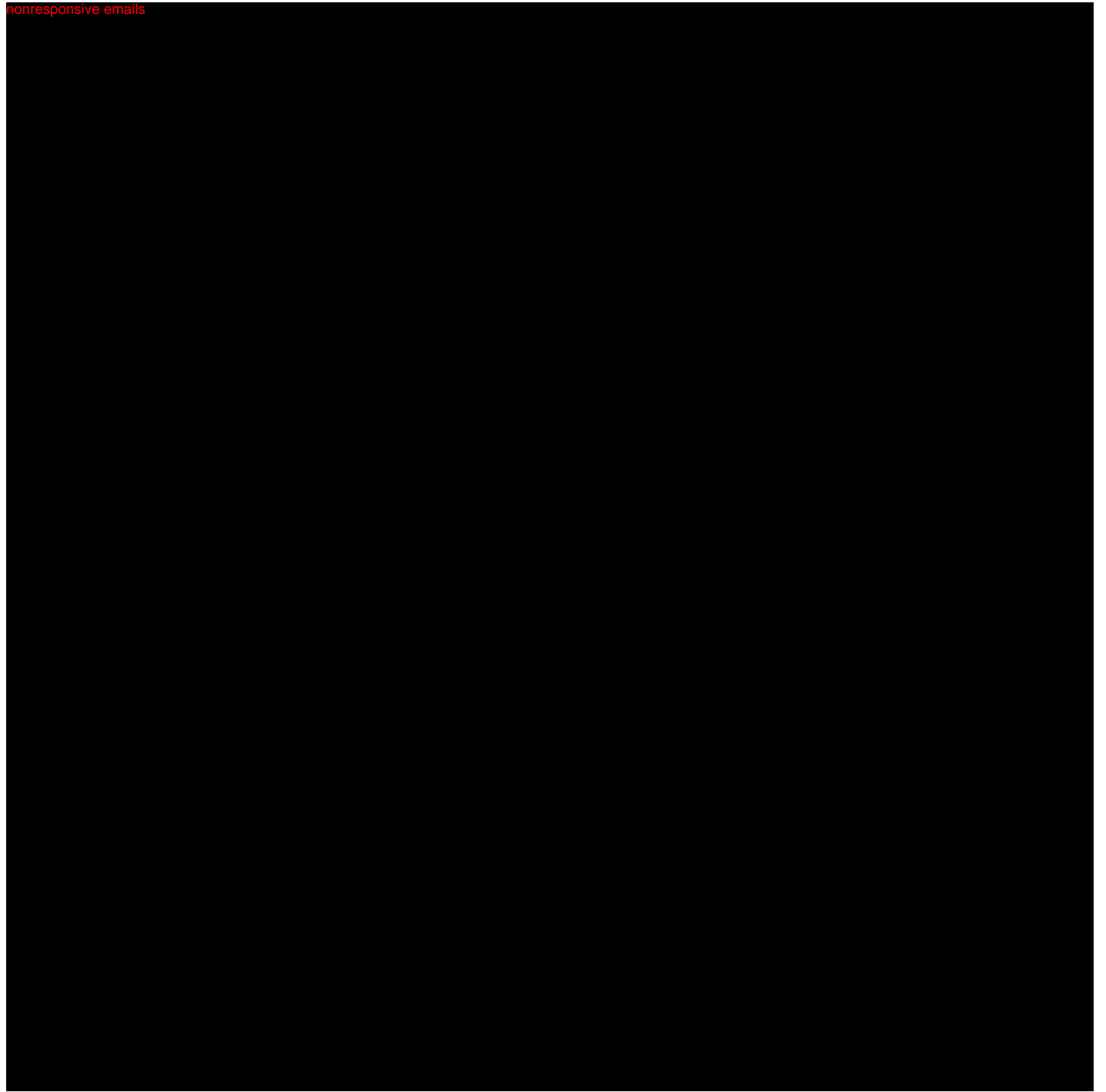
From: Passantino, Stefan C. EOP/WHO
Sent: Monday, June 26, 2017 10:34 AM
To: Teresa L. Williamson <tlwillia@oge.gov>
Subject: FW: (b)(5), (6) Nominees

FYI. I will process these this morning.
STEFAN C. PASSANTINO
Deputy Counsel to the President, Compliance & Ethics
Office of the White House Counsel

nonresponsive emails



nonresponsive emails



From: [Passantino, Stefan C. EOP/WHO](#)
To: [Teresa L. Williamson](#)
Subject: (b)(5), (6)
Date: Tuesday, June 27, 2017 10:23:48 AM

We are putting (b)(5), (6) up for (b)(5), (6).

STEFAN C. PASSANTINO
Deputy Counsel to the President, Compliance & Ethics
Office of the White House Counsel

From: [Passantino, Stefan C. EOP/WHO](#)
To: [Teresa L. Williamson](#)
Cc: [Deborah J. Bortot](#)
Subject: RE: [REDACTED] nominees
Date: Tuesday, June 27, 2017 12:43:49 PM

Thank you. I have passed the word.
STEFAN C. PASSANTINO
Deputy Counsel to the President, Compliance & Ethics
Office of the White House Counsel

From: Teresa L. Williamson [mailto:tlwillia@oge.gov]
Sent: Tuesday, June 27, 2017 11:00 AM
To: Passantino, Stefan C. EOP/WHO
Cc: Deborah J. Bortot
Subject: (b)(5), (6) nominees
Importance: High

Hi Stefan,

We received two nominees, (b)(5), (6) through Integrity today for the position (b)(5), (6). This position requires the filing of a confidential OGE278 report. The filers will need to complete a 278C using the excel version and the reports will need to be removed from Integrity.

Thanks
Teresa

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From: [Schultz, James D. EOP/WHO](#)
To: [David J. Apol](#)
Subject: Re: Call with OGE
Date: Tuesday, June 27, 2017 4:44:51 PM

Does 5 work?

James D. Schultz
Senior Associate Counsel and Special Assistant to the President
Office of White House Counsel
(b) (6) (m)

On Jun 27, 2017, at 4:22 PM, David J. Apol <djapol@oge.gov> wrote:

Does now work for you?. If not, I should be available until 6.

From: Schultz, James D. EOP/WHO (b) (6)
Sent: Tuesday, June 27, 2017 1:48 PM
To: David J. Apol
Cc: Deborah J. Bortot
Subject: Re: Call with OGE

Let me know what works. The filer is unavailable tomorrow.

James D. Schultz
Senior Associate Counsel and Special Assistant to the President
Office of White House Counsel
(b) (6) (m)

On Jun 26, 2017, at 12:29 PM, David J. Apol <djapol@oge.gov> wrote:

OK, but I'd like to wait until tomorrow afternoon, when the person who knows the issues from our side is here so I can know what the outstanding issues are (if any).

From: Schultz, James D. EOP/WHO (b) (6)]
Sent: Monday, June 26, 2017 11:21 AM
To: David J. Apol
Cc: Deborah J. Bortot
Subject: RE: Call with OGE

Ok. (b)(3), (5), (6)

[REDACTED]
J

JAMES D. SCHULTZ
Senior Associate Counsel and Special Assistant to the President
Office of the White House Counsel
(b) (6) (o)

(b) (6) (m)

From: David J. Apol [<mailto:djapol@oge.gov>]
Sent: Monday, June 26, 2017 11:18 AM
To: Schultz, James D. EOP/WHO <(b) (6)>
Cc: Deborah J. Bortot <djbortot@oge.gov>
Subject: RE: Call with OGE

We can talk, but it seems premature. Based on the summary I have of the report status, (b)(3), (5), (6)

The reviewer is not in today, so I would at least not want to do a conference call before talking with him.

From: Schultz, James D. EOP/WHO (b) (6)
Sent: Monday, June 26, 2017 9:59 AM
To: David J. Apol
Cc: McAvoy, Ryan P. EOP/WHO
Subject: Fwd: Call with OGE

Dave

Can we schedule a call for tomorrow? Ryan will circulate call in numbers once we set a time. Please let me know.

James D. Schultz
Senior Associate Counsel and Special Assistant to the President
Office of White House Counsel

(b) (6) (m)

Begin forwarded message:

nonresponsive emails



nonresponsive emails



From: [Passantino, Stefan C. EOP/WHO](#)
To: [Teresa L. Williamson](#)
Subject: (b)(5), (6)
Date: Wednesday, June 28, 2017 10:22:08 AM

We are putting (b)(5), (6) up for (b)(5), (6). That is missing on the Integrity report I just sent over.

STEFAN C. PASSANTINO
Deputy Counsel to the President, Compliance & Ethics
Office of the White House Counsel

From: [David J. Apol](#)
To: ["Schultz, James D. EOP/WHO"](#)
Subject: RE: time to talk
Date: Wednesday, June 28, 2017 1:42:56 PM

Jim,

I think we resolved the big issues. We will continue to work on the technical issues of this report with the same urgency we are giving to the other reports we have, unless you let us know that this one needs to be at the top of the que.

Dave

From: Schultz, James D. EOP/WHO (b) (6)
Sent: Tuesday, June 27, 2017 6:29 PM
To: David J. Apol
Cc: (b)(5), (6)
Subject: Re: time to talk

Sorry. I was jammed up in meetings.

James D. Schultz
Senior Associate Counsel and Special Assistant to the President
Office of White House Counsel
(b) (6) (m)

On Jun 27, 2017, at 5:40 PM, David J. Apol <djapol@oge.gov> wrote:

Sorry, I missed Jim's email. So that we don't continue to miss each others emails, I'll call Jim at 5:45 and try to conference you in (b)(5), (6)

From: (b)(5), (6) (b) (6)
Sent: Tuesday, June 27, 2017 4:30 PM
To: David J. Apol
Cc: Schultz, James D. EOP/WHO
Subject: Re: time to talk

David,

Thanks for reaching out. I am available now or later today. If Jim is also available, I can circulate a conference bridge. Otherwise, I can just call your office directly.

Best regards,
(b)(5), (6)

On Tue, Jun 27, 2017 at 1:22 PM, David J. Apol <djapol@oge.gov> wrote:
We can talk now, or are available until 6.

David J. Apol
General Counsel

U.S. Office of Government Ethics
1201 New York Ave., NW, Suite 500
Washington, DC 20005-3917
[\(202\) 482-9292](tel:(202)482-9292)

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From: [De La Vega, Scott](#)
To: [Christopher J. Swartz](#)
Cc: [Mabry, Sandra S. EOP/WHO](#)
Subject: RE: Nominee Transmittal Letter
Date: Wednesday, June 28, 2017 5:44:11 PM

Thanks Chris – you're the best!

From: Christopher J. Swartz [mailto:cjswartz@oge.gov]
Sent: Wednesday, June 28, 2017 5:38 PM
To: Mabry, Sandra S. EOP/WHO <(b) (6)>
Cc: Nominees <Nominees@oge.gov>; De La Vega, Scott (b) (6) >
Subject: Nominee Transmittal Letter

2 page attachment withheld in full - (b)(5)

Sandy:

Please see attached, in regards to our earlier conversation. As you know, WHCO has previously sent along a nominee transmittal letter at the time that a new nominee was being sent to an agency for review. I've attached two samples of the way that this letter was formatted in the past. The first sample is prepared in a memorandum format; the other is simply the text of an email.

In the past, the memorandum has been prepared and sent to the agency where the individual is being considered for nomination, cc'ing OGE by sending the document to nominees@oge.gov (cc'd here).

I hope this is helpful, and please let me know if I can be of further assistance!
Chris

Christopher J. Swartz
Assistant Counsel, Ethics Law and Policy Branch
General Counsel & Legal Policy Division
U.S. Office of Government Ethics
Telephone: 202-482-9266

Visit OGE's website: www.oge.gov
Follow OGE on Twitter: @OfficeGovEthics

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From: [Christopher J. Swartz](#)
To: "De La Vega, Scott"
Subject: POCs for (b)(5), (6)
Date: Friday, June 30, 2017 11:10:55 AM

Hey Scott-

Here are some good POC's for (b)(5), (6)

(b)(5), (6)
[Redacted]
[Redacted]
[Redacted]

Please let me know where you are leaning!

Enjoy the 4th!
Chris

Christopher J. Swartz
Assistant Counsel, Ethics Law and Policy Branch
General Counsel & Legal Policy Division
U.S. Office of Government Ethics
Telephone: 202-482-9266

Visit OGE's website: www.oge.gov
Follow OGE on Twitter: @OfficeGovEthics

From: [Passantino, Stefan C. EOP/WHO](#)
To: [Teresa L. Williamson](#); [George Hancock](#)
Cc: [De La Vega, Scott](#); [Keith Labedz](#)
Subject: RE: Duplicate Entry in Integrity
Date: Friday, June 30, 2017 12:27:10 PM

Done

STEFAN C. PASSANTINO
Deputy Counsel to the President, Compliance & Ethics
Office of the White House Counsel

-----Original Message-----

From: Teresa L. Williamson [<mailto:tlwillia@oge.gov>]
Sent: Friday, June 30, 2017 11:28 AM
To: Passantino, Stefan C. EOP/WHO (b) (6); George Hancock
<ghancock@oge.gov>
Cc: De La Vega, Scott (b) (6); Keith Labedz <klabedz@oge.gov>
Subject: RE: Duplicate Entry in Integrity

Stefan, You can send both to me and I will delete one of them. Thanks! Teresa

-----Original Message-----

From: Passantino, Stefan C. EOP/WHO (b) (6)
Sent: Friday, June 30, 2017 11:25 AM
To: Teresa L. Williamson; George Hancock
Cc: De La Vega, Scott
Subject: Duplicate Entry in Integrity

(b)(5), (6) submitted to separate but identical 278's. I cannot delete or return them. Should I send you both or just one? Is there a way for George to delete the duplicate?

STEFAN C. PASSANTINO
Deputy Counsel to the President, Compliance & Ethics Office of the White House Counsel

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From: [Teresa L. Williamson](#)
To: ["Passantino, Stefan C. EOP/WHO"](#)
Cc: [Deborah J. Bortot](#); [Heather A. Jones](#)
Bcc: [Teresa L. Williamson](#)
Subject: (b)(5), (6) - 278C filer
Date: Friday, June 30, 2017 3:09:27 PM

Hi Stefan,

We received (b)(5), (6) through Integrity today for the position (b)(5), (6). This position requires the filing of a confidential OGE278 report. The filer will need to complete a 278C using the excel version and the report will need to be removed from Integrity.

Thanks
Teresa

From: [Heather A. Jones](#)
To: [Teresa L. Williamson](#)
Subject: FW: Clearance Priorities
Date: Monday, July 10, 2017 10:47:37 AM

From: Passantino, Stefan C. EOP/WHO (b) (6)
Sent: Monday, July 10, 2017 8:29 AM
To: David J. Apol; Heather A. Jones
Cc: Mabry, Sandra S. EOP/WHO
Subject: RE: Clearance Priorities

Dave, Heather, thank you for helping to push through a number of preclearances late on Friday. I appreciate all of the hard work from your team. Dave, for our call today, let's please make a point to discuss what we can do to move the names on the email below (I believe we got two done over the weekend). Also, over the weekend, (b)(5), (6)

Stefan. Thank you.

(b)(5), (6)



(b)(5), (6)

STEFAN C. PASSANTINO
Deputy Counsel to the President, Compliance & Ethics
Office of the White House Counsel

-----Original Message-----

From: Passantino, Stefan C. EOP/WHO
Sent: Wednesday, July 5, 2017 2:16 PM
To: Apol, David (djapol@oge.gov) <djapol@oge.gov>
Cc: Mabry, Sandra S. EOP/WHO <(b) (6)>
Subject: Clearance Priorities

Hello Dave, I hope you had a good 4th. Following up on our call last Friday, here is the list of folks the White House is hoping OGE can prioritize for ethics preclearance. (b)(5), (6)

(b)(5), (6)
are marked with an asterisk.

(b)(5), (6)

(b)(5), (6)



STEFAN C. PASSANTINO
Deputy Counsel to the President, Compliance & Ethics
Office of the White House Counsel

From: [Passantino, Stefan C. EOP/WHO](#)
To: [David J. Apol](#)
Cc: [De La Vega, Scott](#); [Mabry, Sandra S. EOP/WHO](#)
Subject: FW: Twelve Nominations Sent to the Senate Today
Date: Tuesday, July 11, 2017 6:29:17 PM

Dave, per our conversation, I can confirm that Randy Quarles and Mark Menezes were nominated today. Both are precleared. I will try to get you the actual nominations but if it is possible to get the Senate the OGE paperwork tonight I would be very grateful. Stefan.

STEFAN C. PASSANTINO
Deputy Counsel to the President, Compliance & Ethics
Office of the White House Counsel

From: White House Press Office (b) (6)
Sent: Tuesday, July 11, 2017 6:09 PM
To: Matich, Nicholas T. EOP/WHO <(b) (6)>
Subject: Twelve Nominations Sent to the Senate Today

THE WHITE HOUSE
Office of the Press Secretary

FOR IMMEDIATE RELEASE
July 11, 2017

NOMINATIONS SENT TO THE SENATE:

Ronald L. Batory, of New Jersey, to be Administrator of the Federal Railroad Administration, vice Sarah Elizabeth Feinberg.

Susan Combs, of Texas, to be an Assistant Secretary of the Interior, vice Rhea S. Suh, resigned.

Paul Dabbar, of New York, to be Under Secretary for Science, Department of Energy, vice Franklin M. Orr, Jr.

Lewis M. Eisenberg, of Florida, to be Ambassador Extraordinary and Plenipotentiary of the United States of America to the Italian Republic, and to serve concurrently and without additional compensation as Ambassador Extraordinary and Plenipotentiary of the United States of America to the Republic of San Marino.

Robert P. Kadlec, of New York, to be Medical Director in the Regular Corps of the Public Health Service, subject to qualifications therefor as provided by law and regulations, and to be Assistant Secretary for Preparedness and Response, Department of Health and Human Services, vice Nicole Lurie.

Stephen B. King, of Wisconsin, to be Ambassador Extraordinary and Plenipotentiary of the United States of America to the Czech Republic.

Mark Wesley Menezes, of Virginia, to be Under Secretary of Energy, vice Kristina M. Johnson, resigned.

Randal Quarles, of Colorado, to be a Member of the Board of Governors of the Federal Reserve System for the unexpired term of fourteen years from February 1, 2004, vice Jeremy C. Stein, resigned.

Randal Quarles, of Colorado, to be a Member of the Board of Governors of the Federal Reserve System for the term of fourteen years from February 1, 2018. (Reappointment)

Randal Quarles, of Colorado, to be Vice Chairman for Supervision

of the Board of Governors of the Federal Reserve System for a term of four years. (New Position)

Dennis Shea, of Virginia, to be a Deputy United States Trade Representative (Geneva Office), with the rank of Ambassador, vice Michael W. Punke.

Mary Kirtley Waters, of Virginia, to be an Assistant Secretary of State (Legislative Affairs), vice Julia Frifield.

###

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From: [Nominees](#)
To: "De La Vega, Scott"; (b)(5), (6) ; Nominees: [Patrick J. Lightfoot](#)
Cc: [Passantino, Stefan C. EOP/WHO](#)
Subject: RE: Confidential Nominee Report - (b)(5), (6)
Date: Monday, July 17, 2017 2:34:51 PM

Good Afternoon,

Nominee, (b)(5), (6), has been assigned to OGE Reviewer:
Patrick Lightfoot
202-482-9271
Patrick.Lightfoot@oge.gov

Thank you.

Teresa Williamson
Presidential Nominations Branch
U.S. Office of Government Ethics
1201 New York Avenue, NW – Suite 500
Washington, DC 20005
Teresa.Williamson@oge.gov
(202) 482-9283

From: De La Vega, Scott (b) (6)]
Sent: Friday, July 14, 2017 6:16 PM
To: (b)(6) ; Nominees
Cc: Passantino, Stefan C. EOP/WHO
Subject: Confidential Nominee Report

No attachment found in search

Attached, please find a draft confidential financial disclosure report for (b)(5), (6) relating to a potential nomination by the President to (b)(5), (6)

Because (b)(5), (6) has not been formally nominated, please treat this matter confidentially unless and until the White House makes an official announcement.

(b)(5), (6) contact information is: (b) (6)

Thank you for your careful review of the report.

Regards,

Scott A. de la Vega
Ethics Counsel
Office of the White House Counsel
(o) (b) (6) / (c) (b) (6)

From: [Nominees](#)
To: "De La Vega, Scott"; (b)(6); Nominees; [Wendy G. Pond](#)
Cc: [Passantino, Stefan C. EOP/WHO](#)
Subject: RE: Confidential Nominee Report (b)(5), (6)
Date: Monday, July 17, 2017 2:35:48 PM

Good Afternoon,

Nominee (b)(5), (6), has been assigned to OGE Reviewer:
Wendy Pond
202-482-9285
Wendy.Pond@oge.gov

Thank you.

Teresa Williamson
Presidential Nominations Branch
U.S. Office of Government Ethics
1201 New York Avenue, NW – Suite 500
Washington, DC 20005
Teresa.Williamson@oge.gov
(202) 482-9283

From: De La Vega, Scott (b) (6)
Sent: Friday, July 14, 2017 6:06 PM
To: (b)(6); Nominees
Cc: Passantino, Stefan C. EOP/WHO
Subject: Confidential Nominee Report

No attachment found in search

Attached, please find a draft confidential financial disclosure report for (b)(5), (6) relating to a potential nomination by the President to the (b)(5), (6).

Because (b)(5), (6) has not been formally nominated, please treat this matter confidentially unless and until the White House makes an official announcement.

(b)(5), (6) contact information is: (b) (6)

Thank you for your careful review of the report.

Regards,

Scott A. de la Vega
Ethics Counsel
Office of the White House Counsel
(o) (b) (6) / (c) (b) (6)

From: [Nominees](#)
To: "De La Vega, Scott"; (b)(5), (6); Nominees; [Christopher J. Swartz](#)
Cc: [Passantino, Stefan C. EOP/WHO](#)
Subject: RE: Confidential Nominee Report - (b)(5), (6)
Date: Monday, July 17, 2017 2:40:17 PM

Good Afternoon,

Nominee, (b)(5), (6), has been assigned to OGE Reviewer:
Chris Swartz
202-482-9266
cjswartz@oge.gov

Thank you.

Teresa Williamson
Presidential Nominations Branch
U.S. Office of Government Ethics
1201 New York Avenue, NW – Suite 500
Washington, DC 20005
Teresa.Williamson@oge.gov
(202) 482-9283

From: De La Vega, Scott (b) (6)
Sent: Friday, July 14, 2017 5:43 PM
To: (b)(5), (6); Nominees
Cc: Passantino, Stefan C. EOP/WHO
Subject: Confidential Nominee Report

No attachment found in search

Attached, please find a draft confidential financial disclosure report for (b)(5), (6) relating to a potential nomination by the President to the (b)(5), (6).

Because (b)(5), (6) has not been formally nominated, please treat this matter confidentially unless and until the White House makes an official announcement.

(b)(5), (6) contact information is: (b) (6)

Thank you for your careful review of the report.

Regards,

Scott A. de la Vega
Ethics Counsel
Office of the White House Counsel
(o) (b) (6) (c) (b) (6)

From: [Nominees](#)
To: "De La Vega, Scott"; (b)(5), (6); [Nominees; Kimberly L. Sikora Panza](#)
Cc: [Passantino, Stefan C. EOP/WHO](#)
Subject: RE: Confidential Nominee Report - (b)(5), (6)
Date: Monday, July 17, 2017 2:41:28 PM

Good Afternoon,

Nominee, (b)(5), (6), has been assigned to OGE Reviewer:
Kim Sikora Panza
202-482-9214
Kimberly.SikoraPanza@oge.gov

Thank you.

Teresa Williamson
Presidential Nominations Branch
U.S. Office of Government Ethics
1201 New York Avenue, NW – Suite 500
Washington, DC 20005
Teresa.Williamson@oge.gov
(202) 482-9283

-----Original Message-----

From: De La Vega, Scott (b) (6)
Sent: Friday, July 14, 2017 5:54 PM
To: (b)(5), (6) Nominees
Cc: Passantino, Stefan C. EOP/WHO
Subject: Confidential Nominee Report

No attachment found in search

Attached, please find a draft confidential financial disclosure report for (b)(5), (6) relating to a potential nomination by the President to the (b)(5), (6).

Because (b)(5), (6) has not been formally nominated, please treat this matter confidentially unless and until the White House makes an official announcement.

(b)(5), (6) contact information is: (b) (6)

Thank you for your careful review of the report.

Regards,

Scott A. de la Vega
Ethics Counsel
Office of the White House Counsel

From: [Nominees](#)
To: "De La Vega, Scott"; (b)(5), (6); **Nominees:** [Rachel K. Dowell](#)
Cc: [Passantino, Stefan C. EOP/WHO](#)
Subject: RE: Confidential Nominee Report - (b)(5), (6)
Date: Monday, July 17, 2017 2:42:40 PM

Good Afternoon,

Nominee (b)(5), (6), has been assigned to OGE Reviewer:
Rachel Dowell
202-482-9267
rkdowell@oge.gov

Thank you.

Teresa Williamson
Presidential Nominations Branch
U.S. Office of Government Ethics
1201 New York Avenue, NW – Suite 500
Washington, DC 20005
Teresa.Williamson@oge.gov
(202) 482-9283

From: De La Vega, Scott (b) (6)
Sent: Friday, July 14, 2017 5:27 PM
To: (b)(5), (6) Nominees
Cc: Passantino, Stefan C. EOP/WHO
Subject: Confidential Nominee Report

No attachment found in search

Attached, please find a draft confidential Financial Disclosure Report for (b)(5), (6) regarding a possible appointment by the President as a (b)(5), (6)

Because (b)(5), (6) has not been formally nominated, please treat this matter confidentially unless and until the White House makes an official announcement.

(b)(5), (6) contact information is: (b) (6)

Thank you for your careful review of the report.

Regards,

Scott A. de la Vega
Ethics Counsel
Office of the White House Counsel

From: [Deborah J. Bortot](#)
To: [David J. Apol](#)
Subject: RE: BIs
Date: Wednesday, July 19, 2017 8:03:19 AM

yes

From: David J. Apol
Sent: Tuesday, July 18, 2017 10:35 AM
To: Deborah J. Bortot; Heather A. Jones
Subject: FW: BIs

Just got this. My plan is to tell him we will try to pick off any low hanging fruit among these, but unless told otherwise, will keep the reviewers on the previously identified priorities. Does that sound like a good way to proceed?

From: Passantino, Stefan C. EOP/WHO (b) (6)
Sent: Tuesday, July 18, 2017 9:34 AM
To: David J. Apol
Subject: FW: BIs

Good morning Dave, I am forwarding an email I received from (b)(5), (6)
We can discuss whenever you have time. Thank you.

STEFAN C. PASSANTINO
Deputy Counsel to the President, Compliance & Ethics
Office of the White House Counsel

nonresponsive emails



From: [Teresa L. Williamson](#)
To: ["Passantino, Stefan C. EOP/WHO"](#)
Subject: RE: (b)(5), (6)
Date: Wednesday, July 19, 2017 9:41:07 AM

Thanks Stefan! Have a great day!

From: Passantino, Stefan C. EOP/WHO (b) (6)
Sent: Wednesday, July 19, 2017 9:40 AM
To: Teresa L. Williamson
Subject: FW: (b)(5), (6)

Good morning. I just wanted to pass along this email I received yesterday.

STEFAN C. PASSANTINO
Deputy Counsel to the President, Compliance & Ethics
Office of the White House Counsel

nonresponsive emails



From: [Teresa L. Williamson](#)
To: "[De La Vega, Scott](#)"; [Deborah J. Bortot](#); [Heather A. Jones](#)
Cc: [Mabry, Sandra S. EOP/WHO](#)
Subject: RE: POC for (b)(5), (6)
Date: Wednesday, July 19, 2017 10:52:05 AM

Hi Scott,

I have: (b)(5), (6), (b) (6)

Thanks!
Teresa

-----Original Message-----

From: De La Vega, Scott (b) (6)
Sent: Wednesday, July 19, 2017 10:47 AM
To: Deborah J. Bortot; Teresa L. Williamson; Heather A. Jones
Cc: Mabry, Sandra S. EOP/WHO
Subject: POC for (b)(5), (6)

Good Morning Deb, Teresa, and Heather -

Would you happen to know an ethics point of contact to send nominees for the (b)(5), (6) ?

Thank you!

Scott

Scott A. de la Vega
Ethics Counsel
Office of the White House Counsel
(o) (b) (6) / (c) (b) (6)

From: [Christopher J. Swartz](#)
To: "[Gast, Scott F. EOP/WHO](#)"
Subject: RE: White House Tour
Date: Wednesday, July 19, 2017 11:09:00 AM

Hey Scott-

(b) (6). I am going to try my best to attend tonight, and will hopefully let you know by 2 if that isn't going to happen. My hope is that I will be able to tell by then. If not, I may need to send a chaperone in my stead, which obviously could be a bit complicated with WAVES so I will try to let you know ASAP.

Thanks again, and sorry for the ***potential*** audible

nonresponsive records

Chris

From: Gast, Scott F. EOP/WHO (b) (6)]
Sent: Friday, July 14, 2017 6:44 PM
To: Christopher J. Swartz
Subject: Re: White House Tour

Yes we should be all set. We could meet around 9 pm at the visitors entrance at 17th and State Place.

On Jul 14, 2017, at 1:26 PM, Christopher J. Swartz <cjswartz@oge.gov> wrote:

Hi Scott-

I just wanted to reconfirm that we are on for next Wednesday at 9:15 PM for a West Wing tour. Also, where would you like for us to meet you?

Thanks, and looking forward to it!

Chris

From: Gast, Scott F. EOP/WHO [(b) (6)]
Sent: Thursday, June 22, 2017 5:59 PM
To: Christopher J. Swartz
Subject: RE: White House Tour

And here's the boarding pass!

From: Christopher J. Swartz [<mailto:cjswartz@oge.gov>]
Sent: Thursday, June 22, 2017 5:57 PM
To: Gast, Scott F. EOP/WHO (b) (6)
Subject: RE: White House Tour

Excellent! Thanks, Scott.

From: Gast, Scott F. EOP/WHO [(b) (6)]
Sent: Thursday, June 22, 2017 5:57 PM
To: Christopher J. Swartz
Subject: RE: White House Tour

It's actually for 9:15 on 7/19... Here's the link:

Nonresponsive network info

From: Christopher J. Swartz [<mailto:cjswartz@oge.gov>]
Sent: Thursday, June 22, 2017 5:42 PM
To: Gast, Scott F. EOP/WHO [(b) (6)]
Subject: RE: White House Tour

Great, that works! It will be 4 from our side (me and the three interns). If you shoot me the WAVES, I will distribute the message to our folks.

Thanks again!
Chris

From: Gast, Scott F. EOP/WHO [(b) (6)]
Sent: Thursday, June 22, 2017 5:33 PM
To: Christopher J. Swartz
Subject: RE: White House Tour

How about Wednesday, 7/19, at 9 pm? I reserved a tour for six people.

From: Christopher J. Swartz [<mailto:cjswartz@oge.gov>]
Sent: Thursday, June 22, 2017 5:05 PM
To: Gast, Scott F. EOP/WHO <[(b) (6)] >
Subject: RE: White House Tour

Hey Scott-

I guess the interns were motivated, so they have provided me the following dates:

- 07/14: free after 5
- 07/15: free all day
- 07/16: free all day
- 07/17: free after 5:30
- 07/19: after 5
- 07/21: after 12
- 07/22: all day
- 07/23: all day (last day we can do it)

Thanks again and please let me know if any of these days works out for you!

V/r
Chris

From: Christopher J. Swartz
Sent: Thursday, June 22, 2017 4:37 PM
To: 'Gast, Scott F. EOP/WHO'
Subject: White House Tour

Hi Scott-

I wanted to thank you again for your offer to provide a tour of the White House to our summer interns. I will get back to you ASAP on Monday with dates that everyone is available from our side.

Thanks again, and talk soon!
Chris

Christopher J. Swartz
Assistant Counsel, Ethics Law and Policy Branch
General Counsel & Legal Policy Division
U.S. Office of Government Ethics
Telephone: 202-482-9266

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From: [Rachel K. Dowell](#)
To: ["Gast, Scott F. EOP/WHO"](#)
Subject: Tour
Date: Wednesday, July 19, 2017 12:01:46 PM

Hi Scott – Hope all is well!

Chris is (b) (6) k today and wanted to see if I could bring our interns over for the 9pm tour instead. We recognize that it might not be possible to submit my WAVES information so close to the tour time, so if that is the case, we will try to work something else out on our end.

Thanks in advance,
Rachel

--

Rachel K. Dowell
Assistant Counsel
Office of Government Ethics
General Counsel & Legal Policy Division
Ethics Law and Policy Branch
(202) 482-9267

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From: [McAvoy, Ryan P. EOP/WHO](#)
To: [Rachel K. Dowell](#)
Cc: [Gast, Scott F. EOP/WHO](#)
Subject: RE: White House Clearance
Date: Wednesday, July 19, 2017 2:54:20 PM

Good Afternoon Rachel,

You have been cleared for this evening's tour. Please join your interns at the visitors entrance of the Eisenhower Executive Office Building, located at the intersection of 17th Street and State Place. Upon arrival, you will be required to present photo ID which corresponds with the information that you submitted. Should you have any further questions, please feel free to reach back out to either myself or Scott Gast. Thank you.

Best,

Ryan P. McAvoy
Office of Counsel to the President
Work: (b) (6)
Mobile: (b) (6)

-----Original Message-----

From: McAvoy, Ryan P. EOP/WHO
Sent: Wednesday, July 19, 2017 1:26 PM
To: 'rkdowell@oge.gov' <rkdowell@oge.gov>
Subject: White House Clearance

Good Afternoon Rachel,

Please use the following link to submit your information for clearance to the White House grounds as soon as possible. Should you have any questions, please feel free to reach back out.

RSVP Link [Nonresponsive network info](#)

Best regards,

Ryan P. McAvoy
Office of Counsel to the President
Work: (b) (6)
Mobile: (b) (6)

From: [Gast, Scott F. EOP/WHO](#)
To: [Christopher J. Swartz](#)
Cc: [Rachel K. Dowell](#)
Subject: RE: Tour Tonight
Date: Thursday, July 20, 2017 2:21:07 PM

Seems like a great group of interns. I'm just sorry you weren't able to join us! Hope you're feeling better.

From: Christopher J. Swartz [mailto:cjswartz@oge.gov]
Sent: Thursday, July 20, 2017 10:34 AM
To: Gast, Scott F. EOP/WHO (b) (6)
Cc: Rachel K. Dowell <rkdownell@oge.gov>
Subject: RE: Tour Tonight

Scott-

I just wanted to say thank you so much for taking around our interns yesterday. I had a chance to speak with them today and they were ecstatic about the tour and can't say how much they appreciated it.

Thanks so much, and we will have to catch-up soon.
Chris

From: Gast, Scott F. EOP/WHO (b) (6)
Sent: Wednesday, July 19, 2017 2:14 PM
To: Christopher J. Swartz
Cc: Rachel K. Dowell
Subject: RE: Tour Tonight

No worries. We will miss you here, but happy to have Rachel. Take it easy and feel better!

Rachel, Ryan McAvoy should have reached out to you to get you started in WAVES. Let me know if you haven't heard from him, and we'll see you tonight.

From: Christopher J. Swartz [mailto:cjswartz@oge.gov]
Sent: Wednesday, July 19, 2017 12:44 PM
To: Gast, Scott F. EOP/WHO <(b) (6)>
Cc: Rachel K. Dowell <rkdownell@oge.gov>
Subject: Tour Tonight

Hi Scott-

I've asked Rachel Dowell if she wouldn't mind standing in for me tonight, if that is possible, (b) (6). I understand that this may not be possible, and I also understand that it is another ask when you have already been very generous with your time. If it is not possible, please let me know and I will inform our interns.

Again, many many thanks for your consideration and feel free to call me at (b) (6) 2.

We will have to catch-up another time!

Chris

Christopher J. Swartz
Assistant Counsel, Ethics Law and Policy Branch
General Counsel & Legal Policy Division
U.S. Office of Government Ethics
Telephone: 202-482-9266

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From: [Passantino, Stefan C. EOP/WHO](#)
To: [David J. Apol](#)
Subject: FW: The President has signed
Date: Thursday, July 20, 2017 6:07:31 PM

Congratulations.

STEFAN C. PASSANTINO
Deputy Counsel to the President, Compliance & Ethics
Office of the White House Counsel

Good afternoon,

The President signed the following today:

Nominations:

Robert L. Wilkie to be Under Secretary of Defense for Personnel and Readiness
Samuel H. Clovis Jr. to be Under Secretary of Agriculture for Research, Education and Economics
Mark T. Esper to be Secretary of the Army
Anthony Kurta to be a Principal Deputy Under Secretary of Defense (Personnel and Readiness)
Daniel Alan Craig to be Deputy Administrator of FEMA, DHS
Joseph Balash to be Assistant Secretary of the Interior (Land and Minerals Management)
Kathleen M. Fitzpatrick to be Ambassador Extraordinary and Plenipotentiary to the Democratic Republic of Timor-Leste
Wess Mitchell to be an Assistant Secretary of State (European and Eurasian Affairs)
HHS nomination lists covering 143 candidates for appointment to the Regular Corps of the Commissioned Corps of the United States Public Health Service

Commission:

William Francis Haggerty, IV as Ambassador to Japan

Designation:

David John Apol to be designated Acting Director of the Office Government Ethics

From: [Passantino, Stefan C. EOP/WHO](#)
To: [Teresa L. Williamson](#)
Subject: RE: Question on two nominee"s positions
Date: Thursday, July 20, 2017 7:24:41 PM

(b)(5), (6) will be (b)(5), (6)
(b)(5), (6) is being considered for (b)(5), (6)

STEFAN C. PASSANTINO
Deputy Counsel to the President, Compliance & Ethics
Office of the White House Counsel

From: Teresa L. Williamson [mailto:tlwillia@oge.gov]
Sent: Thursday, July 20, 2017 12:26 PM
To: Passantino, Stefan C. EOP/WHO
Subject: Question on two nominee's positions

Hi Stefan,
We received (b)(5), (6) for an (b)(5), (6), would you provide the (b)(5), (6) so that I can add to Integrity.
In addition, we received (b)(5), (6) for the position (b)(5), (6) I don't believe the (b)(5), (6). Should the position be changed to "(b)(5), (6)" or another position?

Thanks
Teresa
Teresa Williamson
Presidential Nominations Branch
U.S. Office of Government Ethics
1201 New York Avenue, NW – Suite 500
Washington, DC 20005
Teresa.Williamson@oge.gov
(202) 482-9283

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From: [Jennifer Matis](#)
To: "[Mabry, Sandra S. EOP/WHO](#)"
Subject: RE: Contact at PPO?
Date: Friday, July 21, 2017 9:50:02 AM

Thanks, you too!

Thanks,

Jen

Jennifer Matis
Assistant Counsel
Legal, External Affairs and Performance Branch
U.S. Office of Government Ethics
202-482-9216
jennifer.matis@oge.gov

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From: Mabry, Sandra S. EOP/WHO [(b) (6)]
Sent: Friday, July 21, 2017 9:44 AM
To: Jennifer Matis
Subject: RE: Contact at PPO?

Jen, here it is. Hope you have a good weekend, Sandy

John DeStefano
Director of Presidential Personnel
email [(b) (6)]

From: Jennifer Matis [<mailto:jmatis@oge.gov>]
Sent: Thursday, July 20, 2017 3:39 PM
To: Mabry, Sandra S. EOP/WHO <[(b) (6)]>
Subject: Contact at PPO?

Hi Sandy,

Hope you are well. We need to submit a notification of the vacancy in the director position to GAO and Congress. It is my understanding that a copy is also supposed to go to PPO. Do you have a contact to whom we can send it?

Thanks,

Jen

From: [Schultz, James D. EOP/WHO](#)
To: [David J. Apol](#)
Subject: Call
Date: Friday, July 21, 2017 12:08:02 PM

Dave.

First, congrats. Second, I have a time sensitive issue to discuss. Please give me a call.

James D. Schultz
Senior Associate Counsel and Special Assistant to the President
Office of White House Counsel
(b) (6) (m)

From: [Schultz, James D. EOP/WHO](#)
To: [David J. Apol](#)
Subject: Just tried you.
Date: Friday, July 21, 2017 2:56:31 PM

James D. Schultz
Senior Associate Counsel and Special Assistant to the President
Office of White House Counsel
(b) (6) (m)

From: [Teresa L. Williamson](#)
To: [Elaine Newton](#)
Subject: FW: same position
Date: Monday, July 24, 2017 10:57:56 AM

Fyi – I will pick up the (b)(5), (6) folder from you. I am deleting from FDTs, the report will stay in Integrity for a while until we are fully convinced it won't need be needed for another position.
Thanks Teresa

From: Passantino, Stefan C. EOP/WHO (b) (5)
Sent: Monday, July 24, 2017 9:36 AM
To: Teresa L. Williamson
Cc: Deborah J. Bortot; Heather A. Jones
Subject: RE: same position

Correct. (b)(5), (6) withdrew and (b)(5), (6) is the replacement candidate. Thank you for confirming.

STEFAN C. PASSANTINO
Deputy Counsel to the President, Compliance & Ethics
Office of the White House Counsel

From: Teresa L. Williamson [<mailto:twillia@oge.gov>]
Sent: Monday, July 24, 2017 9:04 AM
To: Passantino, Stefan C. EOP/WHO <(b) (6)>
Cc: Deborah J. Bortot <djbortot@oge.gov>; Heather A. Jones <hajones@oge.gov>
Subject: same position

Good Morning Stefan,

I hope you had a great weekend! We received (b)(5), (6) in Integrity for the position (b)(5), (6). We had already received a nominee earlier this year, (b)(5), (6) for the same position (his report was precleared 4/27/2017). Is the position for (b)(5), (6) correct? If so, has (b)(5), (6) been withdrawn. (6)

Thanks! Teresa

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From: [Passantino, Stefan C. EOP/WHO](#)
To: [Megan V. Granahan](#)
Cc: [David J. Apol](#)
Subject: (b)(5), (6)
Date: Monday, July 24, 2017 4:55:04 PM

Megan, if you get an opportunity to give me a call at some point, I just want to be sure I have all of the salient facts on (b)(5), (6) candidate. Thank you.

STEFAN C. PASSANTINO
Deputy Counsel to the President, Compliance & Ethics
Office of the White House Counsel

(b) (6) (o)

(b) (6) (m)

From: [David J. Apol](#)
To: [Heather A. Jones](#)
Subject: FW: OGE this coming week
Date: Monday, July 24, 2017 5:19:30 PM

From: Passantino, Stefan C. EOP/WHO (b) (6)]
Sent: Saturday, July 22, 2017 1:55 PM
To: David J. Apol
Subject: Fwd: OGE this coming week

FYI - from Justice.

Stefan C. Passantino
Deputy Counsel to the President
Office of the White House Counsel

Begin forwarded message:

nonresponsive emails



From: [Mabry, Sandra S. EOP/WHO](mailto:Mabry.Sandra.S.EOP/WHO)
To: [Lorna A. Syme](mailto:Lorna.A.Syme)
Subject: RE: (b)(5), (6)
Date: Tuesday, July 25, 2017 11:45:13 AM

Lorna, I'll see what I can do. Sandy

From: Lorna A. Syme [mailto:lasyme@oge.gov]
Sent: Tuesday, July 25, 2017 11:43 AM
To: Mabry, Sandra S. EOP/WHO (b)(6)
Cc: 'Sadler, Rob - SOL' (b)(6) >
Subject: FW: (b)(5), (6)

Sandy:

Is there any chance you can look into this? I don't think the change has been made yet – or at least we haven't received the new draft yet with the correct name. We want to keep the nominee report moving but don't want to make further changes to the draft we have until we get the new report (since the new report will be prepopulated with the information from this draft).

Thanks!

Lorna

From: Passantino, Stefan C. EOP/WHO (b)(6)
Sent: Friday, July 14, 2017 10:01 AM
To: Lorna A. Syme
Subject: RE: (b)(5), (6)

Thank you. We will make that change.

STEFAN C. PASSANTINO
Deputy Counsel to the President, Compliance & Ethics
Office of the White House Counsel

From: Lorna A. Syme [mailto:lasyme@oge.gov]
Sent: Thursday, July 13, 2017 6:57 PM
To: Passantino, Stefan C. EOP/WHO <(b)(6)>
Subject: (b)(5), (6)

Stefan:

(b)(5), (6) name is not correct on his 278e in Integrity. We need PPO to create a new report for him so that his name is correct. His name should read: (b)(5), (6).

Thank you!

From: [Simms, Michelle C. EOP/WHO](#)
To: [Khaner, Bradley](#); [George Hancock](#); [Heller, Susan](#)
Cc: [Passantino, Stefan C. EOP/WHO](#)
Subject: RE: Integrity & Former (b) (6), (6)
Date: Monday, July 31, 2017 2:49:07 PM

Also, can you please forward me his Ethics Pledge at your convenience? Thanks!

--Michelle

(b) (6)

From: Simms, Michelle C. EOP/WHO
Sent: Monday, July 31, 2017 2:37 PM
To: 'Khaner, Bradley' (b) (6); George Hancock <ghancock@oge.gov>; Heller, Susan (b) (6) >
Subject: RE: Integrity & Former DHS Secretary's PFDR

Hello all, his EOP email is (b) (6)

Thanks!!

--Michelle

(b) (6)

nonresponsive emails



From: George Hancock [mailto:ghancock@oge.gov]
Sent: Monday, July 31, 2017 1:15 PM
To: Khaner, Bradley (b) (6); Heller, Susan (b) (6) >
Cc: Simms, Michelle C. EOP/WHO (b) (6) >
Subject: RE: Integrity & Former DHS Secretary's PFDR

Adding M. Simms, WH POC.

Brad:

What was his email address in Integrity? Once the WH adds him with his new EOP email address, we need to merge so that he has access to his prior Integrity report(s). Usually, the losing agency retains the filer until we complete the merge.

Notes: reports filed at DHS stay w/DHS. You can export to pdf and provide to WH if necessary.

Thank you.

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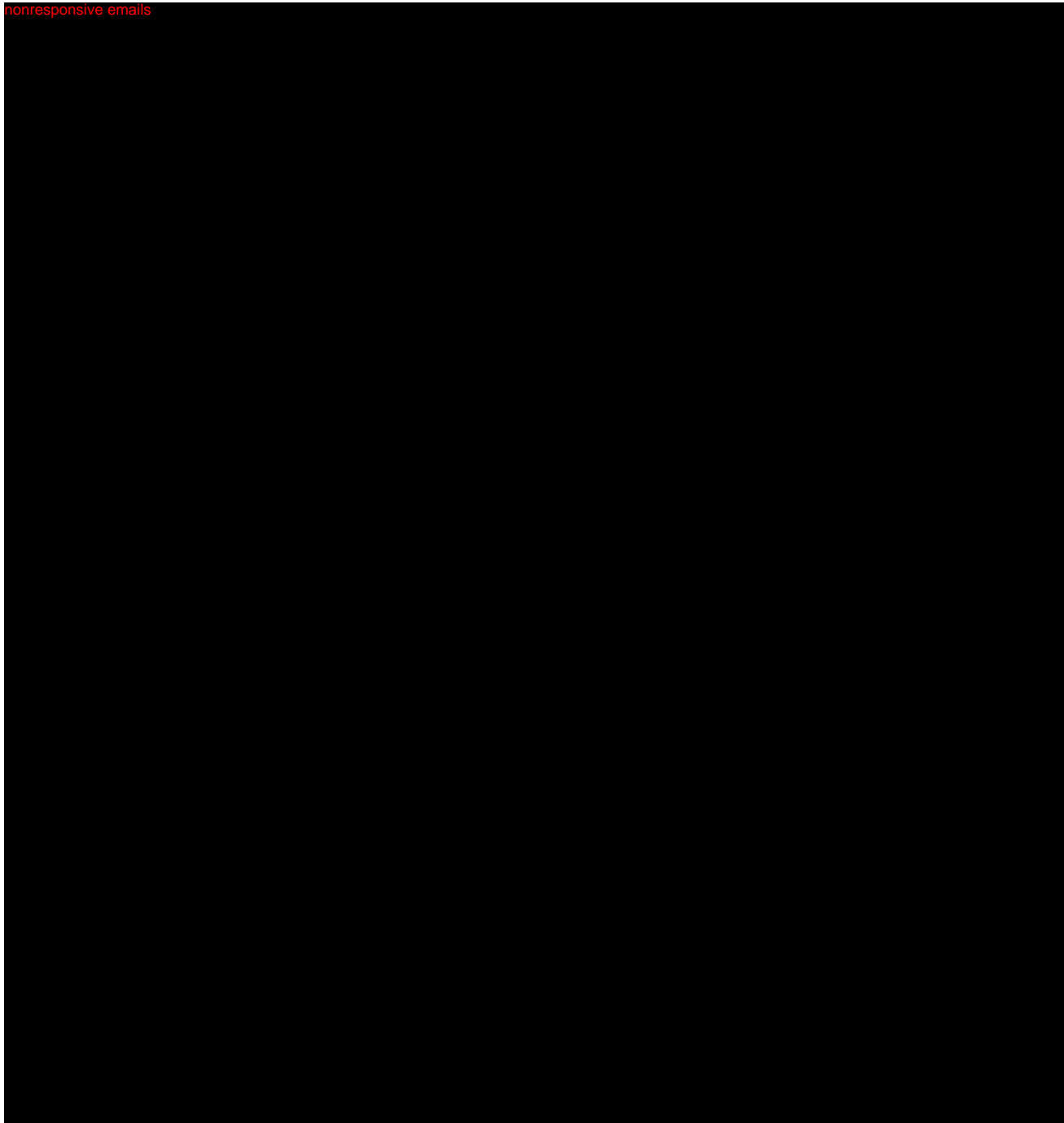
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From: [David J. Apol](#)
To: [Vincent Salamone](#); [Deborah J. Bortot](#); [Heather A. Jones](#)
Subject: FW: (b)(5), (b)(5), (6)
Date: Wednesday, August 02, 2017 2:53:53 PM

Just got a phone call on this one. So let's put it at the front of the que.

-----Original Message-----

From: Passantino, Stefan C. EOP/WHO (b) (6)]
Sent: Wednesday, August 02, 2017 12:59 PM
To: Bressack, Leah (ODAG); David J. Apol
Cc: Luther, Robert EOP/WHO
Subject: Re: (b)(5), (b)(5), (6)
(6)

Happy to help Leah. Dave, I am advised by Leah Bressack at Justice that (b)(5), (6) is their #1 priority as he is ready to nominate. I apologize that I am breaking protocol somewhat by connecting you both on this email but I am (b) (6) and do not want to have a communication delay on my part hold up an opportunity to get him in front of the Senate before recess.

Stefan C. Passantino
Deputy Counsel to the President
Office of the White House Counsel

nonresponsive emails



From: [Schultz, James D. EOP/WHO](#)
To: [Patrick J. Lightfoot](#)
Subject: Re: Conway Responses
Date: Thursday, August 03, 2017 10:14:02 AM

Understood (b)(3), (5), (6)

James D. Schultz
Senior Associate Counsel and Special Assistant to the President
Office of White House Counsel

(b) (6) (m)

On Aug 3, 2017, at 10:06 AM, Patrick J. Lightfoot <pjlightf@oge.gov> wrote:

Hi Jim,

I wanted to follow-up on my email below. (b)(5), (6)

I would like to be able to forward the complete CD package for management review as soon as possible, but cannot do so until I've got all the necessary information.

Thanks in advance!

--Patrick

-----Original Message-----

From: Patrick J. Lightfoot
Sent: Tuesday, August 01, 2017 8:53 AM
To: 'Schultz, James D. EOP/WHO'
Subject: RE: Conway Responses

Hi Jim,

(b)(3), (5), (6)

Thanks!

--Patrick

-----Original Message-----

From: Schultz, James D. EOP/WHO (b) (6)

Sent: Tuesday, July 18, 2017 11:11 AM
To: Patrick J. Lightfoot
Subject: RE: Conway Responses

Patrick - please call me.

JAMES D. SCHULTZ
Senior Associate Counsel and Special Assistant to the President Office of the White
House Counsel
(b) (6) (o)
(b) (6) (m)

-----Original Message-----

From: Patrick J. Lightfoot [<mailto:pjlightf@oge.gov>]
Sent: Tuesday, July 18, 2017 9:40 AM
To: Schultz, James D. EOP/WHO (b) (6)
Subject: RE: Conway Responses

Hi Jim,

Just wanted to follow-up on the official notification date. (b)(3), (5), (6)

[REDACTED]
[REDACTED]
[REDACTED] Thanks!

-----Original Message-----

From: Schultz, James D. EOP/WHO (b) (6)]
Sent: Monday, July 17, 2017 6:20 PM
To: Patrick J. Lightfoot
Subject: RE: Conway Responses

(b)(3), (5), (6)
[REDACTED]

JAMES D. SCHULTZ
Senior Associate Counsel and Special Assistant to the President Office of the White
House Counsel
(b) (6) (o)
(b) (6) (m)

-----Original Message-----

From: Schultz, James D. EOP/WHO
Sent: Monday, July 17, 2017 6:15 PM

To: 'Patrick J. Lightfoot' <pjlightf@oge.gov>

Subject: RE: Conway Responses

(b)(3), (4), (5), (6)



JAMES D. SCHULTZ

Senior Associate Counsel and Special Assistant to the President Office of the White House Counsel

(b) (6) (o)

(b) (6) (m)

-----Original Message-----

From: Patrick J. Lightfoot [<mailto:pjlightf@oge.gov>]

Sent: Monday, July 17, 2017 3:05 PM

To: Schultz, James D. EOP/WHO (b) (6)

Subject: RE: Conway Responses

No problem, I've copied the original email below:

From: Patrick J. Lightfoot
Sent: Thursday, June 08, 2017 3:09 PM
To: 'Schultz, James D. EOP/WHO'
Subject: RE: Conway CD request

Hi Jim,

Below are my follow-up questions/comments on Ms. Conway's CD request:

(b)(3), (5), (6)



Thanks!

--Patrick

-----Original Message-----

From: Schultz, James D. EOP/WHO (b) (6)]
Sent: Monday, July 17, 2017 3:01 PM
To: Patrick J. Lightfoot
Subject: RE: Conway Responses

Patrick - could you resend me the CD questions? I do not recall them and maybe thought they were the 278 questions. J

JAMES D. SCHULTZ

Senior Associate Counsel and Special Assistant to the President Office of the White House Counsel

(b) (6) (o)

(b) (6) (m)

-----Original Message-----

From: Patrick J. Lightfoot [<mailto:pjlightf@oge.gov>]
Sent: Monday, July 17, 2017 2:57 PM
To: Schultz, James D. EOP/WHO <(b) (6)>
Subject: RE: Conway Responses

Hi Jim,

Following up on the status of this along with the initial questions on Ms. Conway's CD request (sent 6/8).

Thanks!

--Patrick

--

Patrick J. Lightfoot

Assistant Counsel, Ethics Law & Policy Branch General Counsel & Legal Policy Division

U.S. Office of Government Ethics
1201 New York Ave NW, Suite 500
Washington, DC 20005
Telephone: (202) 482-9271

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-----Original Message-----

From: Patrick J. Lightfoot

Sent: Monday, June 12, 2017 1:57 PM

To: 'Schultz, James D. EOP/WHO'

Subject: RE: Conway Responses

No attachment found in search

Hi Jim,

Please see our second round of questions in the attached doc. The notes in red were your responses to initial questions. The report has been returned in Integrity.

--Patrick

-----Original Message-----

From: Schultz, James D. EOP/WHO (b) (6)

Sent: Monday, June 05, 2017 7:24 PM

To: Patrick J. Lightfoot

Subject: Conway Responses

Patrick - you should have the certified 278 in the morning.

JAMES D. SCHULTZ

Senior Associate Counsel and Special Assistant to the President Office of the White
House Counsel

(b) (6) (o)

(b) (6) (m)

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From: [Patrick J. Lightfoot](#)
To: ["Schultz, James D. EOP/WHO"](#)
Subject: Conway CD
Date: Thursday, August 03, 2017 10:52:30 AM

Hi Jim,

To recap our call, the two pieces of information we need for the CD: (b)(3), (5), (6)

[REDACTED]

Thanks!

--Patrick

From: [Vetter, Ruth M SES OSD OGC \(US\)](#)
To: [De La Vega, Scott](#); [Seth Jaffe](#)
Cc: [Mabry, Sandra S. EOP/WHO](#)
Subject: RE: Certification of Annual OGE 278e for (b)(5), (6)
Date: Friday, August 04, 2017 10:27:24 AM

Ok- thanks, Scott!

Ruth M.S. Vetter
Director, Standards of Conduct Office
Office of the General Counsel
Department of Defense
1600 Defense Pentagon (Room 3E783)
Washington, D.C. 20301-1600
(b) (6)
Fax: (703)695-4970

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-----Original Message-----

From: De La Vega, Scott (b) (6)]
Sent: Friday, August 04, 2017 10:04 AM
To: 'sjaffe@oge.gov'; Vetter, Ruth M SES OSD OGC (US)
Cc: Mabry, Sandra S. EOP/WHO
Subject: FW: Certification of Annual OGE 278e for (b)(5), (6)

Ruth and Seth -

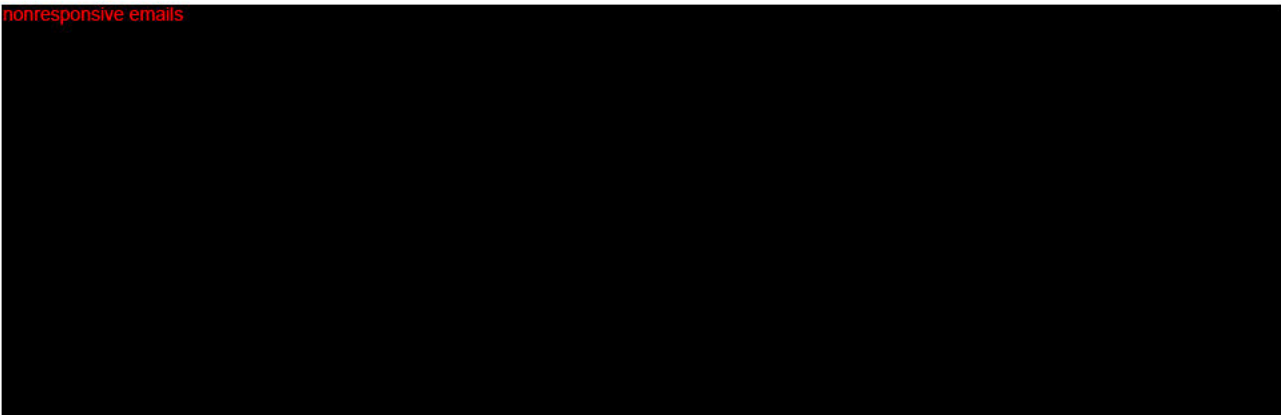
I will be (b)(5), (6). Sandy Mabry is fully aware of this matter and will be the White House POC on this issue while I'm out.

Thank you.

Scott

Scott A. de la Vega
Ethics Counsel
Office of the White House Counsel
(o) (b) (6) (c) (b) (6)

nonresponsive emails



nonresponsive emails

-----Original Message-----

From: Seth Jaffe [<mailto:sjaffe@oge.gov>]

Sent: Friday, August 04, 2017 8:56 AM

To: 'De La Vega, Scott'

Cc: Vetter, Ruth M SES OSD OGC (US)

Subject: [Non-DoD Source] RE: Certification of Annual OGE 278e for (b)(5), (6)

Scott & Ruth,

I will have an attorney here look into this and we will get back to Ruth after we have a chance to review.

Thanks,

Seth

Seth H. Jaffe

Chief, Ethics Law & Policy Branch

U.S. Office of Government Ethics

(202) 482-9303

-----Original Message-----

From: De La Vega, Scott (b) (6)

Sent: Thursday, August 03, 2017 6:31 PM

To: Vetter, Ruth M SES OSD OGC (US)

Cc: Seth Jaffe; David J. Apol; Tigner, Susan D SES USARMY HQDA OGC (US)

Subject: Re: Certification of Annual OGE 278e for (b)(5), (6)

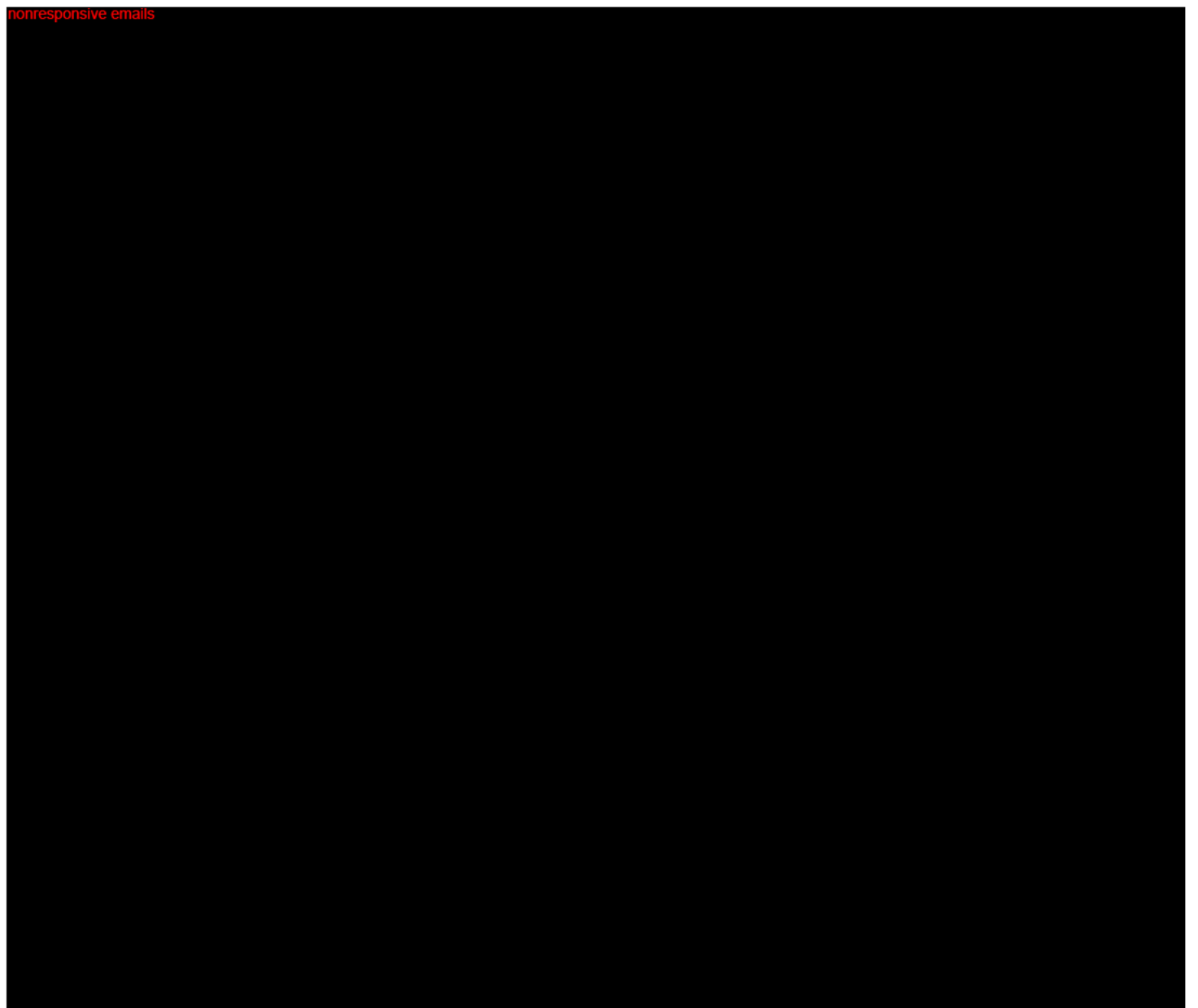
I'd like to add that Susan and Ruth have been incredibly patient and accommodating in this matter and that the White House has no aversion to certifying the report (it would have to be a hard copy) but we would like OGE's guidance to ensure OGE agrees with the process. Thank you all.

Scott

Sent from my iPhone

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>
>

From: [Patrick J. Lightfoot](#)
To: "Schultz, James D. EOP/WHO"
Subject: RE: Confirmation for Certificate of Divestiture
Date: Monday, August 07, 2017 11:54:32 AM

Will do. Thanks, Jim!

From: Schultz, James D. EOP/WHO (b) (6)
Sent: Monday, August 07, 2017 11:52 AM
To: Patrick J. Lightfoot
Subject: RE: Confirmation for Certificate of Divestiture

(b)(3), (5), (6)

Thanks. J

JAMES D. SCHULTZ
Senior Associate Counsel and Special Assistant to the President
Office of the White House Counsel

(b) (6) (o)

(b) (6) (m)

From: Schultz, James D. EOP/WHO
Sent: Thursday, August 3, 2017 12:41 PM
To: 'Patrick J. Lightfoot' <pjlightf@oge.gov>
Subject: RE: Confirmation for Certificate of Divestiture

(b)(3), (5), (6)

Please let me know.

JAMES D. SCHULTZ
Senior Associate Counsel and Special Assistant to the President
Office of the White House Counsel

(b) (5), (b) (6) (o)

(b) (6) (m)

From: Patrick J. Lightfoot [<mailto:pjlightf@oge.gov>]
Sent: Thursday, August 3, 2017 12:32 PM
To: Schultz, James D. EOP/WHO (b) (6) >
Subject: RE: Confirmation for Certificate of Divestiture

Thanks, Jim! With that I'll move the CD package forward for management's review.

--Patrick

From: Schultz, James D. EOP/WHO (b) (6)]
Sent: Thursday, August 03, 2017 12:31 PM
To: Patrick J. Lightfoot
Subject: FW: Confirmation for Certificate of Divestiture

Patrick

(b)(3), (5), (6)

JAMES D. SCHULTZ
Senior Associate Counsel and Special Assistant to the President
Office of the White House Counsel

(b) (6) (o)
(b) (6) (m)

nonresponsive emails

-----Original Message-----

From: Passantino, Stefan C. EOP/WHO (b) (6)

Sent: Tuesday, August 08, 2017 1:31 PM

To: Teresa L. Williamson

Subject: (b)(5), (6)

We had previously approved for the (b)(5), (6) but are now moving him to be our nominee as (b)(5), (6). Are there any changes needed on your side? Can we keep the existing 278?

STEFAN C. PASSANTINO

Deputy Counsel to the President, Compliance & Ethics Office of the White House Counsel

From: [Schultz, James D. FOP/WHO](#)
To: [Rodrick T. Johnson](#)
Cc: [Seth Jaffe](#); [Patrick J. Lightfoot](#); [Teresa L. Williamson](#)
Subject: Re: Certificate of Divestiture Ms. Conway and her spouse, George Conway
Date: Wednesday, August 09, 2017 4:52:34 PM

Thank you.

James D. Schultz
Senior Associate Counsel and Special Assistant to the President
Office of White House Counsel
(b) (6) (m)

> On Aug 9, 2017, at 4:42 PM, Rodrick T. Johnson <rjohnson@oge.gov> wrote:

>

> Good Afternoon, Mr. Schultz:

>

> Enclosed is a Certificate of Divestiture OGE 2017-111 for Kellyanne E. Conway, Counselor to the President, and Certificate of Divestiture OGE-2017-112 for Ms. Conway and her spouse, George Conway. We would appreciate your forwarding the original certificates to Ms. Conway. Also enclosed is a copy of the certificate for your files.

>

> The original certificate and one copy for your records will be mailed to you. We would appreciate your forwarding the original certificate to Ms. Conway, upon receipt.

>

> Please confirm receipt of this email by replying to all.

>

> Thanks, Again

> Rodrick Johnson

>

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> OGE Confidential Notice: This message may contain Controlled Unclassified Information (CUI) that requires safeguarding or dissemination control under applicable law, regulation, or Government-wide policy. This email, including all attachments, may constitute a Federal record or other Government property that is intended only for the use of the individual or entity to which it is addressed. If you are not the intended recipient or the employee or agent responsible for delivering the transmission to the intended recipient, you are hereby notified that any dissemination, distribution, copying or use of this email or its contents is strictly prohibited. If you have received this email in error, please notify the sender by responding to the email and then immediately delete the email.

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> <Conway, Kallyanne E. CD's 111 - 112.pdf>

Final CD available on OGE's website